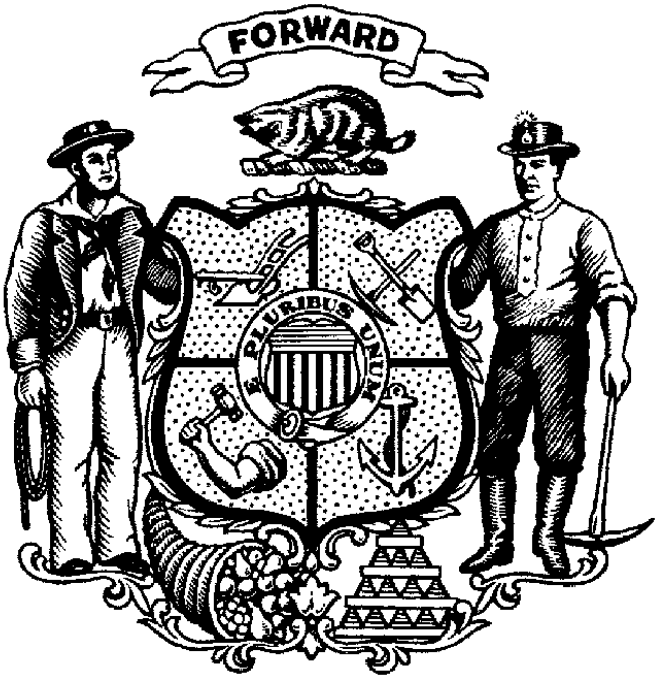
State of Wisconsin

Department of Corrections



Agency Budget Request
2017 – 2019 Biennium
September 15, 2016

Table of Contents

Cover Letter 3
Description 4
Mission 5
Goals 6
Performance Measures 7
Organization Chart 12
Agency Total by Fund Source 13
Agency Total by Program 14
Agency Total by Decision Item (DIN) 19
General Purpose Revenue (GPR) - Earned 21
Program Revenue and Balances Statement 23
Decision Items 64
Statutory Language 267

Scott Walker
Governor

Jon Litscher
Secretary

State of Wisconsin
Department of Corrections

Mailing Address

3099 E. Washington Ave.
Post Office Box 7925
Madison, WI 53707-7925
Telephone (608) 240-5000
Fax (608) 240-3300

September 15, 2016

Scott Neitzel, Secretary
Department of Administration
101 East Wilson Street
Madison, WI 53707-7864

Dear Secretary Neitzel:

I am pleased to submit the Department of Corrections' 2017- 2019 biennial budget request. We are committed to public safety while also ensuring a safe and secure environment for staff, youth, and inmates in DOC custody. This request has been developed in line with the 2017 – 2019 biennial budget instructions and incorporates a cost-to-continue approach for most operations. The $0.80 per hour wage increase for front-line security staff previously announced in May 2016 has been incorporated as part of DOC's budget request.

In line with DOC's priorities, we have identified several areas where modest investments can have a significant positive impact on DOC operations. Among these are additional mental health staff at Green Bay Correctional Institution, Waupun Correctional Institution, and Columbia Correctional Institution to increase the availability of mental health services to inmates in restrictive housing and funding to establish a treatment and diversion unit for inmates with serious mental illness at risk of placement in restrictive housing at Oshkosh Correctional Institution.

The Department's request also includes additional front-line security staff at Copper Lake School/Lincoln Hills School (CLS/LHS) to move towards compliance with the Prison Rape Elimination Act, which requires certain staffing ratios at juvenile correctional institutions by October 2017. Given the number of youth at CLS/LHS with serious mental illness, we also propose the addition of licensed nursing staff to administer medication to all youth confined at CLS/LHS and additional mental health staff at Copper Lake School to increase the availability of psychological services for youth.

We recognize that offenders with Serious Mental Illness often need support to ensure they are able to safely and successfully transition into the community. The Department's Opening Avenues to Reentry Success (OARS) program provides integrated case management and mental health services in 37 counties to eligible offenders on community supervision. Recognizing the success of this program to date, we propose the addition of staff in Milwaukee and Dane counties to increase capacity as well as expansion to Eau Claire, Langlade, Lincoln, Menominee, and Shawano counties.

DOC is also working closely with the Department of Workforce Development to make in-demand skills training available to inmates and offenders, building on earlier programs developed under Governor Walker's leadership. We know that a critical ingredient to success for offenders in the community is the ability to get a job. Providing skills training while an individual is incarcerated or on community supervision can ultimately save taxpayer dollars by enabling inmates and offenders to transition to independence in the community.

I look forward to working with you and your staff as you develop the Governor's 2017-19 biennial budget.

Sincerely,

Jon E Litscher

Jon Litscher, Secretary
Department of Corrections

AGENCY DESCRIPTION

The department is headed by a secretary who is appointed by the Governor with the advice and consent of the Senate. The department's programs are administered by the following four divisions: Adult Institutions, Community Corrections, Juvenile Corrections, and Management Services. In addition, the Parole Commission is a statutory commission created in the department that is responsible for granting parole for prisoners who have committed felonies.

The department manages 18 correctional institutions, 1 holds facility and 16 correctional centers for adults and 2 schools for juveniles. The department provides health services, education, employment training and other offender programming for incarcerated offenders; administers the probation, parole and extended supervision program; assigns inmate security levels; directs the placement and movement of offenders throughout the system; administers the State of Wisconsin's Sex Offender Registry program, operates an electronic monitoring center for adults, juveniles and counties; and provides victim advocacy services. The department also administers juvenile community supervision, which offers a wide range of social, educational and employment assistance; and the Grow Academy, which provides delinquent male youth educational, developmental, and restorative justice support through an agricultural science-based curriculum.

Department of Corrections Mission, Vision and Core Values

MISSION STATEMENT:

Protect the public, our staff and those in our charge.

Provide opportunities for positive change and success.

Promote, inform and educate others about our programs and successes.

Partner and collaborate with community service providers and other criminal justice entities.

VISION STATEMENT:

To achieve excellence in correctional practices while fostering safety for victims and communities.

Every Person - Every Family - Every Community Matters

Directly related to our Mission and Vision Statements are the primary ideals we hold highest in pursuit of our goals. What do we need to do? What do we strive for? **What are our Core Values**?

CORE VALUES:

We are accountable to each other and the citizens of Wisconsin.

- We manage our resources in an efficient, effective, sustainable, and innovative manner.
- We demonstrate competence and proficiency in the work necessary to accomplish our mission.
- We take individual responsibility for how we plan, perform, and manage our work.

We do what's right - legally and morally - as demonstrated by our actions.

- We value courage, candor, and conviction of purpose.
- We expect ethical behavior and integrity in all we do.
- We require honesty, adherence to the law, and the fair and equitable treatment of others.

We recognize employees as the department's most important resource.

- We work towards building a workforce of diverse individuals who achieve great things together.
- We recognize exemplary performance.
- We advocate in the best interest of our workforce.

We value safety – for our employees, the people in our charge and the citizens we serve.

- We provide the resources and training necessary for employees to safely accomplish our mission.
- We operate safe and secure facilities.
- We offer opportunities for offenders to become productive members of their communities.

We expect competence and professionalism in our communications, demeanor, and appearance.

- We demonstrate knowledge and skills within our areas of responsibility.
- We respond effectively and appropriately in our interactions and communications.
- We treat all people with dignity and respect.
- We recognize that we have one opportunity to make a positive first impression.

Departmental Goals

Goal: Promote community safety through effective, humane custody and supervision of offenders.

Goal: Provide for a continuous investment in quality leadership.

Goal: Provide opportunities for successful offender participation and completion in programming and work, to promote positive lifestyle changes and law-abiding behaviors.

Goal: Develop meaningful evaluation and accountability processes for effective management of resources.

Goal: Assist in the recovery of victims of crime by providing information and opportunities to participate in the correctional system.

Goal: Build, maintain and empower a diverse, competent and professional work force.

Goal: Promote department relationships, credibility, understanding and involvement with the community.

Goal: Research, develop and utilize technological innovations to ensure effective and efficient decision making by the department.

Goal: Build a mutually-supported criminal justice relationship among federal, state, county and community law enforcement and corrections agencies.

Goal: Provide accountability to taxpayers through efficient, effective and innovative management of resources.

Goal: Promote the use of evidence-based practices through the completion of risk and needs assessments for all inmates.

Performance Measures

2015 AND 2016 GOALS

Prog. No.	Performance Measure	Goal 2015	Actual 2015	Goal 2016	Actual 2016
1.	Reduce Recidivism. The 2009 recidivism rate is 30.1% for the 3-year follow-up period. *	Decrease the percent of recidivists.	The 2010 recidivism rate is 32.2% for the 3-year follow-up period.	Decrease the percent of recidivists.	The 2011 recidivism rate is 31.3% for the 3-year follow-up period.
1.	Decrease Percent of Victim Restitution Owed After Offenders Discharge from Supervision.*	No numeric baseline available. Increase the amount of victim restitution paid before an offender discharges from supervision.	$6,094,650.84 paid by offenders whose commitments discharged in FY15. This amount is 23.2% of the total original obligations owed, and is 38.9% of the adjusted obligations owed.^	Increase the amount of victim restitution paid before an offender discharges from supervision.	$5,171,351.54 paid by offenders whose commitments discharged in FY15. This amount is 18.7% of the total original obligations owed, and is 50.6% of the adjusted obligations owed.^
1.	Increase Employment Rates of Offenders on Supervision. *	No numeric baseline available. Increase employment rates of offenders on Supervision.	471 Windows to Work participants obtained 661 episodes of employment during FY15. Participants earned an average of $10.11 per hour at hire.	Increase employment rates of offenders on Supervision.	405 Windows to Work participants obtained 546 episodes of employment during FY16. Participants earned an average of $10.54 per hour at hire.
1.	Increase the Amount of Risk and Needs Assessments Completed for all Inmates.*	No numeric baseline available. Increase the amount of risk assessments completed for inmates.	45,206 COMPAS assessments completed in FY15 for offenders in DAI and DCC.	Increase the amount of risk assessments completed for inmates.	45,263 COMPAS assessments completed in FY16 for offenders in DAI and DCC.
1.	Increase the Percentage of Primary Program Completion (Primary programs include: Alcohol and Other Drug Abuse; Cognitive Group Intervention Program; Domestic Violence;	Increase the percentage of primary programs completed.	6,209 primary program completions.	Increase the percentage of primary programs completed.	7,079 primary program completions.

Prog. No.	Performance Measure	Goal 2015	Actual 2015	Goal 2016	Actual 2016
	Anger Management; and Sex Offender Treatment.* (The percent of inmates that completed primary programs in 2013 is 76%.)				
3	Increase by 2% the number of youth (enrolled in a Division of Juvenile Corrections school for at least six months) who demonstrate progress in math and reading levels as measured by standardized tests.	Increase over 2014 percentage of 90% reading and 83% math.	LHS: Reading – 51%, Math – 48% CLS: Reading – 77%, Math – 73%	Increase from 2015.	LHS: Reading – 64%, Math – 55% CLS: Reading – 78%, Math – 55%
3	Increase school credits earned per youth.*	New measure, no base currently established to be able to set a goal. Increase over 2014 average of 1.75	LHS – 1.5 credits per youth. CLS – 1.7 credits per youth. **Note data was converted mid-FY2015 and may not be complete*.	Increase over 2015 average	LHS - 2.3 credits per youth. CLS – 4.4 credits per youth.
3	Increase accountability in service provider contacts.*	Complete audits of 20% of service providers	DJC audited 44% of their service providers in FY15.	Complete audits of 20% of service providers	DJC audited 26% of their service providers in FY16.
3	Provide increased reporting available to county partners.*	Currently no reporting readily available to counties. The goal is to provide detailed reports for each county on: no. of commitments, average length of	DJC continues to publish each month detailing institution and community population including a breakdown by committing county/region. In meetings counties have yet to determine what information they	Work with DOC webmaster to provide online information for each county on youth under DJC supervision.	Working on providing information about each county on youth under DJC supervision. A new juvenile management system was purchased and develop began mid-FY16. This system will allow the department to streamline information and data that can be provided to counties.

Prog. No.	Performance Measure	Goal 2015	Actual 2015	Goal 2016	Actual 2016
		stay, commitment offenses, youth progress, and youth on state supervision. Continue to provide monthly information to counties via the DJC At-A-Glance brochures. Work to implement a new offender management system to provide county-specific information.	would like to see routinely made available for their youth committed to DJC.		

*New Goal

^ Original Obligation Owed: The amount that was entered from the JOC or other type of court signed order.
Total (Adjusted) Obligation Owed: If DOC has made adjustments on the amount due for either payments made while in the institution, paid by other, or reduced by a court order. This includes adjustments for civil judgments ordered.
Total Paid Payee: Paid amount the offender has paid toward each obligation.

2017, 2018 AND 2019 GOALS

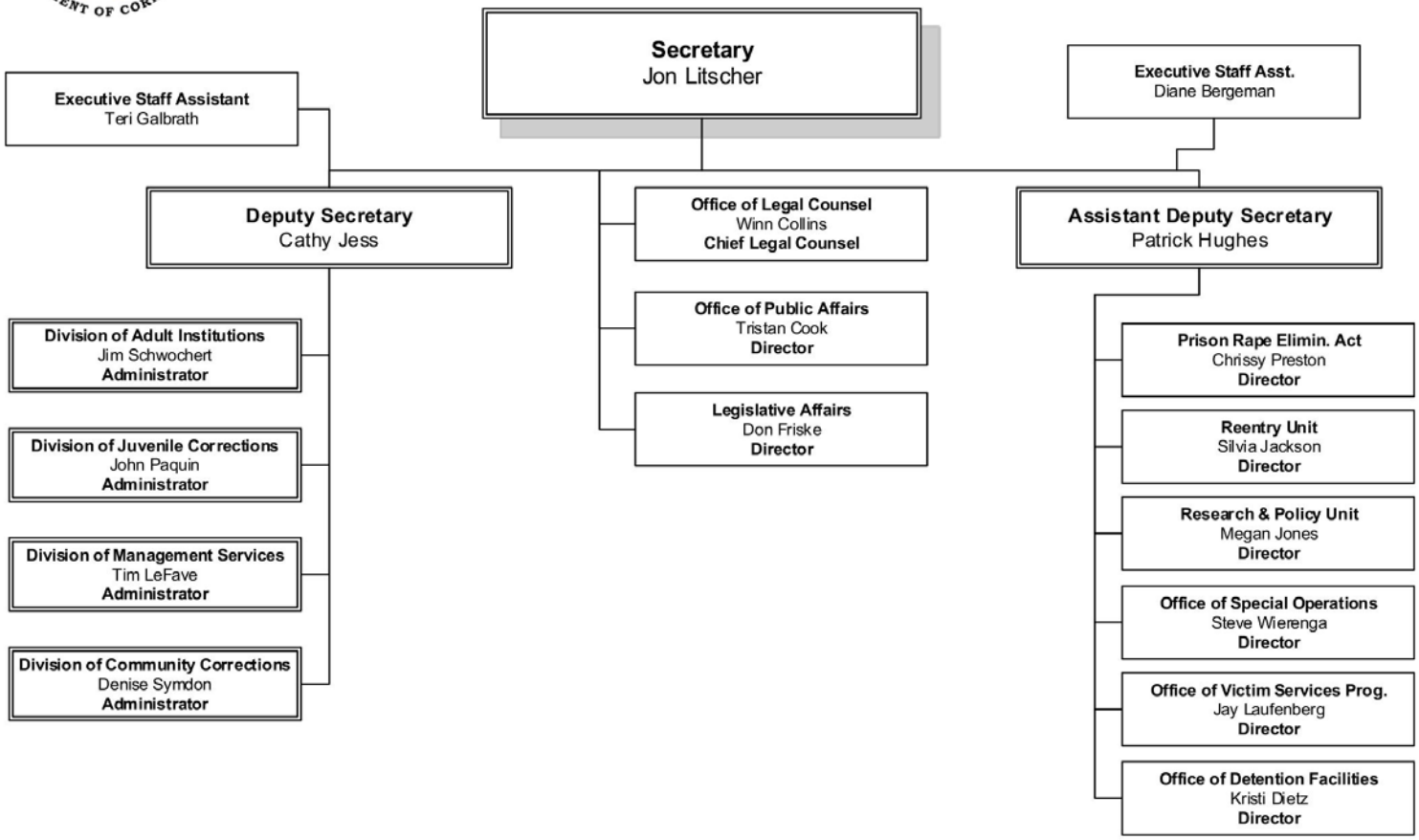
Prog. No.	Performance Measure	Goal 2017	Actual 2017	Goal 2018	Actual 2018	Goal 2019
1.	Reduce recidivism.	Decrease the percent of recidivists.		Decrease the percent of recidivists.		Decrease the percent of recidivists.
1.	Maintain or increase the percentage of restitution collected on adjusted restitution obligations ordered in cases closed in that year (sole restitution accounts only).*	Establish a baseline, accounting for recent changes in data systems.		Increase or maintain percentage from previous year.		Increase or maintain percentage from previous year.
1.	Maintain or increase the percentage of eligible offenders that have completed requirements and received an early discharge from supervision.*	Maintain or increase from previous year.		Maintain or increase from previous year.		Maintain or increase from previous year.
1.	Increase accountability in service provider contracts.*	Complete 8 Corrections Program Checklist (CPC) audits.		Complete 8 Corrections Program Checklist (CPC) audits.		Complete 8 Corrections Program Checklist (CPC) audits.
1.	Increase the percentage of primary program completion (Primary programs include: Alcohol and Other Drug Abuse; Cognitive Group Intervention Program; Domestic Violence; Anger Management; and Sex Offender Treatment).	Increase the percentage of primary programs completed.		Increase the percentage of primary programs completed.		Increase the percentage of primary programs completed.
1.	Increase the number of High School Equivalency Diplomas (HSED) and General Education Diplomas (GED) issued to inmates while incarcerated.*	Increase number from previous year.		Increase number from previous year.		Increase number from previous year.
1.	Increase the amount of	Increase		Increase		Increase

Prog. No.	Performance Measure	Goal 2017	Actual 2017	Goal 2018	Actual 2018	Goal 2019
	money generated by institution fundraisers that is donated to non-profits (charity organizations). *	amount from previous year.		amount from previous year.		amount from previous year.
3	Maintain or increase the number of youth who demonstrate progress in math and reading levels as measured by standardized tests.	Maintain or increase from previous year.		Maintain or increase from previous year.		Maintain or increase from previous year.
3	Maintain at least 2 school credits earned per youth.*	Increase from 2014 average of 1.75		Increase from 2014 average of 1.75		Increase from 2014 average of 1.75
3	Increase accountability in service provider contacts.	Complete audits of 20% of service providers.		Complete audits of 20% of service providers.		Complete audits of 20% of service providers.
3	Provide increased reporting available to county partners.	Work to implement a new offender management system to provide county-specific information.		Work to implement a new offender management system to provide county-specific information.		Work to implement a new offender management system to provide county-specific information.

*New Goal

Department of Corrections - Secretary's Office

August 2016



Agency Total by Fund Source

Department of Corrections **17-19 Biennial Budget**

		ANNUAL SUMMARY						BIENNIAL SUMMARY			
Source of Funds		Prior Year Total	Adjusted Base	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE	Base Year Doubled (BYD)	Biennial Request	Change From (BYD)	Change From BYD %
GPR	A	$30,978,409	$31,388,000	$31,388,000	$31,388,000	0.00	0.00	$62,776,000	$62,776,000	$0	0.0%
GPR	L	$52,884,202	$4,885,700	$4,885,700	$4,885,700	0.00	0.00	$9,771,400	$9,771,400	$0	0.0%
GPR	S	$1,030,485,680	$1,062,072,900	$1,106,294,500	$1,139,935,500	9,640.67	9,676.62	$2,124,145,800	$2,246,230,000	$122,084,200	5.7%
Total		$1,114,348,291	$1,098,346,600	$1,142,568,200	$1,176,209,200	9,640.67	9,676.62	$2,196,693,200	$2,318,777,400	$122,084,200	5.6%
PR	A	$6,954,977	$8,176,200	$8,756,600	$9,241,100	0.00	0.00	$16,352,400	$17,997,700	$1,645,300	10.1%
PR	L	$1,162,950	$0	$0	$0	0.00	0.00	$0	$0	$0	
PR	S	$97,965,321	$109,644,100	$103,262,800	$104,556,300	529.80	529.80	$219,288,200	$207,819,100	($11,469,100)	-5.2%
Total		$106,083,248	$117,820,300	$112,019,400	$113,797,400	529.80	529.80	$235,640,600	$225,816,800	($9,823,800)	-4.2%
PR Federal	S	$1,691,287	$2,589,900	$2,589,900	$2,589,900	0.00	0.00	$5,179,800	$5,179,800	$0	0.0%
Total		$1,691,287	$2,589,900	$2,589,900	$2,589,900	0.00	0.00	$5,179,800	$5,179,800	$0	0.0%
SEG	S	$0	$0	$0	$0	0.00	0.00	$0	$0	$0	
Total		$0	$0	$0	$0	0.00	0.00	$0	$0	$0	
Grand Total		$1,222,122,826	$1,218,756,800	$1,257,177,500	$1,292,596,500	10,170.47	10,206.42	$2,437,513,600	$2,549,774,000	$112,260,400	4.6%

Agency Total by Program

410 Corrections, Department of **17-19 Biennial Budget**

Source of Funds		ANNUAL SUMMARY						BIENNIAL SUMMARY			
		Prior Year Actual	Adjusted Base	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE	Base Year Doubled (BYD)	Biennial Request	Change From (BYD)	Change From BYD %
01 ADULT CORRECTIONAL SERVICES											
Non Federal											
GPR		**$1,040,746,993**	**$1,074,105,200**	**$1,115,557,300**	**$1,147,599,900**	**9,580.97**	**9,616.92**	**$2,148,210,400**	**$2,263,157,200**	**$114,946,800**	**5.35%**
	A	$30,978,409	$31,388,000	$31,388,000	$31,388,000	0.00	0.00	$62,776,000	$62,776,000	$0	0.00%
	L	$4,885,673	$4,885,700	$4,885,700	$4,885,700	0.00	0.00	$9,771,400	$9,771,400	$0	0.00%
	S	$1,004,882,911	$1,037,831,500	$1,079,283,600	$1,111,326,200	9,580.97	9,616.92	$2,075,663,000	$2,190,609,800	$114,946,800	5.54%
PR		**$65,878,869**	**$73,732,700**	**$68,734,100**	**$70,221,500**	**176.90**	**176.90**	**$147,465,400**	**$138,955,600**	**($8,509,800)**	**-5.77%**
	A	$960,424	$1,477,700	$1,477,700	$1,477,700	0.00	0.00	$2,955,400	$2,955,400	$0	0.00%
	S	$64,918,445	$72,255,000	$67,256,400	$68,743,800	176.90	176.90	$144,510,000	$136,000,200	($8,509,800)	-5.89%
Total - Non Federal		**$1,106,625,862**	**$1,147,837,900**	**$1,184,291,400**	**$1,217,821,400**	**9,757.87**	**9,793.82**	**$2,295,675,800**	**$2,402,112,800**	**$106,437,000**	**4.64%**
	A	$31,938,833	$32,865,700	$32,865,700	$32,865,700	0.00	0.00	$65,731,400	$65,731,400	$0	0.00%
	L	$4,885,673	$4,885,700	$4,885,700	$4,885,700	0.00	0.00	$9,771,400	$9,771,400	$0	0.00%
	S	$1,069,801,356	$1,110,086,500	$1,146,540,000	$1,180,070,000	9,757.87	9,793.82	$2,220,173,000	$2,326,610,000	$106,437,000	4.79%
Federal											
PR		**$1,691,287**	**$2,559,900**	**$2,559,900**	**$2,559,900**	**0.00**	**0.00**	**$5,119,800**	**$5,119,800**	**$0**	**0.00%**
	S	$1,691,287	$2,559,900	$2,559,900	$2,559,900	0.00	0.00	$5,119,800	$5,119,800	$0	0.00%
Total - Federal		**$1,691,287**	**$2,559,900**	**$2,559,900**	**$2,559,900**	**0.00**	**0.00**	**$5,119,800**	**$5,119,800**	**$0**	**0.00%**
	S	$1,691,287	$2,559,900	$2,559,900	$2,559,900	0.00	0.00	$5,119,800	$5,119,800	$0	0.00%

Agency Total by Program

410 Corrections, Department of **17-19 Biennial Budget**

PGM 01 Total		**$1,108,317,149**	**$1,150,397,800**	**$1,186,851,300**	**$1,220,381,300**	**9,757.87**	**9,793.82**	**$2,300,795,600**	**$2,407,232,600**	**$106,437,000**	**4.63%**
GPR		**$1,040,746,993**	**$1,074,105,200**	**$1,115,557,300**	**$1,147,599,900**	**9,580.97**	**9,616.92**	**$2,148,210,400**	**$2,263,157,200**	**$114,946,800**	**5.35%**
	A	$30,978,409	$31,388,000	$31,388,000	$31,388,000	0.00	0.00	$62,776,000	$62,776,000	$0	0.00%
	L	$4,885,673	$4,885,700	$4,885,700	$4,885,700	0.00	0.00	$9,771,400	$9,771,400	$0	0.00%
	S	$1,004,882,911	$1,037,831,500	$1,079,283,600	$1,111,326,200	9,580.97	9,616.92	$2,075,663,000	$2,190,609,800	$114,946,800	5.54%
PR		**$67,570,156**	**$76,292,600**	**$71,294,000**	**$72,781,400**	**176.90**	**176.90**	**$152,585,200**	**$144,075,400**	**($8,509,800)**	**-5.58%**
	A	$960,424	$1,477,700	$1,477,700	$1,477,700	0.00	0.00	$2,955,400	$2,955,400	$0	0.00%
	S	$66,609,732	$74,814,900	$69,816,300	$71,303,700	176.90	176.90	$149,629,800	$141,120,000	($8,509,800)	-5.69%
TOTAL 01		**$1,108,317,149**	**$1,150,397,800**	**$1,186,851,300**	**$1,220,381,300**	**9,757.87**	**9,793.82**	**$2,300,795,600**	**$2,407,232,600**	**$106,437,000**	**4.63%**
	A	$31,938,833	$32,865,700	$32,865,700	$32,865,700	0.00	0.00	$65,731,400	$65,731,400	$0	0.00%
	L	$4,885,673	$4,885,700	$4,885,700	$4,885,700	0.00	0.00	$9,771,400	$9,771,400	$0	0.00%
	S	$1,071,492,643	$1,112,646,400	$1,149,099,900	$1,182,629,900	9,757.87	9,793.82	$2,225,292,800	$2,331,729,800	$106,437,000	4.78%

Agency Total by Program

410 Corrections, Department of **17-19 Biennial Budget**

Source of Funds	ANNUAL SUMMARY						BIENNIAL SUMMARY			
	Prior Year Actual	Adjusted Base	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE	Base Year Doubled (BYD)	Biennial Request	Change From (BYD)	Change From BYD %
02 PAROLE COMMISSION										
Non Federal										
GPR	**$856,306**	**$1,282,900**	**$1,183,000**	**$1,184,500**	**13.00**	**13.00**	**$2,565,800**	**$2,367,500**	**($198,300)**	**-7.73%**
S	$856,306	$1,282,900	$1,183,000	$1,184,500	13.00	13.00	$2,565,800	$2,367,500	($198,300)	-7.73%
Total - Non Federal	**$856,306**	**$1,282,900**	**$1,183,000**	**$1,184,500**	**13.00**	**13.00**	**$2,565,800**	**$2,367,500**	**($198,300)**	**-7.73%**
S	$856,306	$1,282,900	$1,183,000	$1,184,500	13.00	13.00	$2,565,800	$2,367,500	($198,300)	-7.73%
PGM 02 Total	**$856,306**	**$1,282,900**	**$1,183,000**	**$1,184,500**	**13.00**	**13.00**	**$2,565,800**	**$2,367,500**	**($198,300)**	**-7.73%**
GPR	**$856,306**	**$1,282,900**	**$1,183,000**	**$1,184,500**	**13.00**	**13.00**	**$2,565,800**	**$2,367,500**	**($198,300)**	**-7.73%**
S	$856,306	$1,282,900	$1,183,000	$1,184,500	13.00	13.00	$2,565,800	$2,367,500	($198,300)	-7.73%
TOTAL 02	**$856,306**	**$1,282,900**	**$1,183,000**	**$1,184,500**	**13.00**	**13.00**	**$2,565,800**	**$2,367,500**	**($198,300)**	**-7.73%**
S	$856,306	$1,282,900	$1,183,000	$1,184,500	13.00	13.00	$2,565,800	$2,367,500	($198,300)	-7.73%

Agency Total by Program

410 Corrections, Department of **17-19 Biennial Budget**

Source of Funds		ANNUAL SUMMARY						BIENNIAL SUMMARY			
		Prior Year Actual	Adjusted Base	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE	Base Year Doubled (BYD)	Biennial Request	Change From (BYD)	Change From BYD %
03 JUVENILE CORRECTIONAL SERVICES											
Non Federal											
GPR		**$72,744,992**	**$22,958,500**	**$25,827,900**	**$27,424,800**	**46.70**	**46.70**	**$45,917,000**	**$53,252,700**	**$7,335,700**	**15.98%**
	L	$47,998,529	$0	$0	$0	0.00	0.00	$0	$0	$0	0.00%
	S	$24,746,463	$22,958,500	$25,827,900	$27,424,800	46.70	46.70	$45,917,000	$53,252,700	$7,335,700	15.98%
PR		**$40,204,379**	**$44,087,600**	**$43,285,300**	**$43,575,900**	**352.90**	**352.90**	**$88,175,200**	**$86,861,200**	**($1,314,000)**	**-1.49%**
	A	$5,994,553	$6,698,500	$7,278,900	$7,763,400	0.00	0.00	$13,397,000	$15,042,300	$1,645,300	12.28%
	L	$1,162,950	$0	$0	$0	0.00	0.00	$0	$0	$0	0.00%
	S	$33,046,876	$37,389,100	$36,006,400	$35,812,500	352.90	352.90	$74,778,200	$71,818,900	($2,959,300)	-3.96%
Total - Non Federal		**$112,949,371**	**$67,046,100**	**$69,113,200**	**$71,000,700**	**399.60**	**399.60**	**$134,092,200**	**$140,113,900**	**$6,021,700**	**4.49%**
	A	$5,994,553	$6,698,500	$7,278,900	$7,763,400	0.00	0.00	$13,397,000	$15,042,300	$1,645,300	12.28%
	L	$49,161,479	$0	$0	$0	0.00	0.00	$0	$0	$0	0.00%
	S	$57,793,339	$60,347,600	$61,834,300	$63,237,300	399.60	399.60	$120,695,200	$125,071,600	$4,376,400	3.63%
Federal											
PR		**$0**	**$30,000**	**$30,000**	**$30,000**	**0.00**	**0.00**	**$60,000**	**$60,000**	**$0**	**0.00%**
	S	$0	$30,000	$30,000	$30,000	0.00	0.00	$60,000	$60,000	$0	0.00%
Total - Federal		**$0**	**$30,000**	**$30,000**	**$30,000**	**0.00**	**0.00**	**$60,000**	**$60,000**	**$0**	**0.00%**
	S	$0	$30,000	$30,000	$30,000	0.00	0.00	$60,000	$60,000	$0	0.00%

Agency Total by Program

410 Corrections, Department of **17-19 Biennial Budget**

PGM 03 Total		**$112,949,371**	**$67,076,100**	**$69,143,200**	**$71,030,700**	**399.60**	**399.60**	**$134,152,200**	**$140,173,900**	**$6,021,700**	**4.49%**
GPR		**$72,744,992**	**$22,958,500**	**$25,827,900**	**$27,424,800**	**46.70**	**46.70**	**$45,917,000**	**$53,252,700**	**$7,335,700**	**15.98%**
	L	$47,998,529	$0	$0	$0	0.00	0.00	$0	$0	$0	0.00%
	S	$24,746,463	$22,958,500	$25,827,900	$27,424,800	46.70	46.70	$45,917,000	$53,252,700	$7,335,700	15.98%
PR		**$40,204,379**	**$44,117,600**	**$43,315,300**	**$43,605,900**	**352.90**	**352.90**	**$88,235,200**	**$86,921,200**	**($1,314,000)**	**-1.49%**
	A	$5,994,553	$6,698,500	$7,278,900	$7,763,400	0.00	0.00	$13,397,000	$15,042,300	$1,645,300	12.28%
	L	$1,162,950	$0	$0	$0	0.00	0.00	$0	$0	$0	0.00%
	S	$33,046,876	$37,419,100	$36,036,400	$35,842,500	352.90	352.90	$74,838,200	$71,878,900	($2,959,300)	-3.95%
TOTAL 03		**$112,949,371**	**$67,076,100**	**$69,143,200**	**$71,030,700**	**399.60**	**399.60**	**$134,152,200**	**$140,173,900**	**$6,021,700**	**4.49%**
	A	$5,994,553	$6,698,500	$7,278,900	$7,763,400	0.00	0.00	$13,397,000	$15,042,300	$1,645,300	12.28%
	L	$49,161,479	$0	$0	$0	0.00	0.00	$0	$0	$0	0.00%
	S	$57,793,339	$60,377,600	$61,864,300	$63,267,300	399.60	399.60	$120,755,200	$125,131,600	$4,376,400	3.62%
Agency Total		**$1,222,122,826**	**$1,218,756,800**	**$1,257,177,500**	**$1,292,596,500**	**10,170.47**	**10,206.42**	**$2,437,513,600**	**$2,549,774,000**	**$112,260,400**	**4.61%**

Agency Total by Decision Item

Department of Corrections **17-19 Biennial Budget**

Decision Item	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
2000 Adjusted Base Funding Level	$1,218,756,800	$1,218,756,800	10,101.32	10,101.32
3001 Turnover Reduction	($11,416,100)	($11,416,100)	0.00	0.00
3002 Removal of Noncontinuing Elements from the Base	($129,600)	($164,400)	(3.00)	(3.00)
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	($58,621,300)	($58,621,300)	0.00	0.00
3007 Overtime	$57,031,700	$57,031,700	0.00	0.00
3008 Night and Weekend Differential Pay	$7,955,100	$7,955,100	0.00	0.00
3011 Minor Transfers Within the Same Alpha Appropriation	$0	$0	0.00	0.00
4502 Food	($753,500)	($106,400)	0.00	0.00
4503 Rent	$5,093,700	$5,942,300	0.00	0.00
4510 Variable Non-Food	$554,100	$589,300	0.00	0.00
4513 Variable Non-Food Health	$17,022,000	$20,669,900	0.00	0.00
4514 Full Funding Contract Beds	$8,630,500	$31,382,100	0.00	0.00
4520 GPS Tracking Re-Estimate	$1,180,900	$1,645,200	2.00	4.00
4530 Risk Management Premium Re-Estimate	$2,309,700	$2,309,700	0.00	0.00
4531 Technology Budget Shortfalls	$1,976,100	$1,976,100	0.00	0.00
4532 Repair and Maintenance for Institutions	$412,900	$602,300	0.00	0.00
4533 DHA and Act 355 Offset	$2,300,000	$2,300,000	0.00	0.00
4534 Wastewater Treatment Plant Improvements	$597,200	$597,200	0.00	0.00
5000 PR Re-Estimates	($3,561,200)	($1,625,700)	0.00	0.00
5100 Realignment - Department-wide	$0	$0	0.00	0.00
5301 Unit D Staffing at REECC	$1,298,700	$1,343,000	3.00	3.00

Agency Total by Decision Item

Department of Corrections **17-19 Biennial Budget**

5302 Act 371 OWI Population Increases	$1,368,400	$1,657,000	25.00	25.00
5303 Mental Health Staffing in Restrictive Housing	$268,200	$324,400	4.80	4.80
5304 OSCI Mental Illness Treatment & Diversion Units	$1,022,200	$1,214,300	16.85	16.85
5701 CCI HSU Expansion	$0	$773,200	0.00	5.00
5702 OSCI HSU Expansion	$0	$2,200,200	0.00	28.95
5801 OARS Expansion	$660,800	$660,800	0.00	0.00
5802 Windows to Work & Vocational Training Expansion	$1,250,000	$1,250,000	0.00	0.00
5902 MJTC Re-Estimate	($229,500)	($162,800)	0.00	0.00
5903 Serious Juvenile Offenders	$1,196,700	$2,490,200	0.00	0.00
5904 Juvenile Mental Health Services	$256,100	$300,500	3.25	3.25
5905 Juvenile Medication Administration	$93,500	$65,900	9.00	9.00
5906 PREA Compliance - Juveniles	$653,400	$656,000	8.25	8.25
TOTAL	**$1,257,177,500**	**$1,292,596,500**	**10,170.47**	**10,206.42**

GPR Earned

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services

DATE September 09, 2016

Revenue	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	$0	$0	$0	$0
Telephone Revenue	$2,086,800	$2,000,000	$2,000,000	$2,000,000
Miscellaneous Revenue	$101,500	$50,000	$50,000	$50,000
Prior Year GPR Refunds/Other Misc	$272,400	$200,000	$200,000	$200,000
Lapses	$1,864,100	$1,577,300	$0	$0
Total	**$4,324,800**	**$3,827,300**	**$2,250,000**	**$2,250,000**

GPR Earned

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services

DATE September 09, 2016

Revenue	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	$0	$0	$0	$0
Prior Year GPR Refunds/Other Misc	$15,900	$0	$0	$0
Milk Program	$481,300	$400,000	$400,000	$400,000
Lapses	$0	$286,800	$0	$0
Total	**$497,200**	**$686,800**	**$400,000**	**$400,000**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	20	Victim notification

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$330,500**	**$0**	**$0**	**$0**
Program Revenue Collected	$351,800	$682,300	$682,300	$682,300
Total Revenue	**$682,300**	**$682,300**	**$682,300**	**$682,300**
Expenditures	**$682,300**	**$682,300**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$682,300	$682,300
Total Expenditures	**$682,300**	**$682,300**	**$682,300**	**$682,300**
Closing Balance	**$0**	**$0**	**$0**	**$0**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	22	Waupun central warehouse

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$131,300**	**$165,500**	**$79,500**	**$61,600**
Program Revenue Collected	$7,436,700	$7,600,000	$7,650,000	$7,700,000
Total Revenue	**$7,568,000**	**$7,765,500**	**$7,729,500**	**$7,761,600**
Expenditures	**$7,402,500**	**$7,686,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$7,597,300	$7,597,300
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	$18,900	$18,900
3008 Night and Weekend Differential Pay	$0	$0	$600	$600
3007 Overtime	$0	$0	$14,000	$14,000
4503 Rent	$0	$0	$100	$200
4530 Risk Management Premium Re-Estimate	$0	$0	$9,400	$9,400
4531 Technology Budget Shortfalls	$0	$0	$9,200	$9,200
Compensation Reserve	$0	$0	$9,100	$18,500
Health Insurance Reserves	$0	$0	$5,800	$13,900
Municipal Services Reserve	$0	$0	$3,500	$3,500
Total Expenditures	**$7,402,500**	**$7,686,000**	**$7,667,900**	**$7,685,500**
Closing Balance	**$165,500**	**$79,500**	**$61,600**	**$76,100**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	23	American Indian reintegration

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$0**	**$0**	**$0**	**$0**
Program Revenue Collected	$50,000	$50,000	$50,000	$50,000
Total Revenue	**$50,000**	**$50,000**	**$50,000**	**$50,000**
Expenditures	**$50,000**	**$50,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$50,000	$50,000
Total Expenditures	**$50,000**	**$50,000**	**$50,000**	**$50,000**
Closing Balance	**$0**	**$0**	**$0**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	24	Home detention services; supv

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$28,400**	**$163,100**	**$253,100**	**$93,200**
Program Revenue Collected	$222,900	$180,000	$180,000	$180,000
Total Revenue	**$251,300**	**$343,100**	**$433,100**	**$273,200**
Expenditures	**$88,200**	**$90,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$356,500	$356,500
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($22,800)	($22,800)
3008 Night and Weekend Differential Pay	$0	$0	$800	$800
4503 Rent	$0	$0	$400	$800
Compensation Reserve	$0	$0	$4,800	$9,700
Health Insurance Reserves	$0	$0	$200	$500
Appropriation Adjustment	$0	$0	$0	($72,300)
Total Expenditures	**$88,200**	**$90,000**	**$339,900**	**$273,200**
Closing Balance	**$163,100**	**$253,100**	**$93,200**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	27	GPS devices-restraining orders

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$21,400**	**$37,200**	**$54,100**	**$0**
Program Revenue Collected	$16,900	$18,000	$20,000	$20,000
Total Revenue	**$38,300**	**$55,200**	**$74,100**	**$20,000**
Expenditures	**$1,100**	**$1,100**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$139,400	$139,400
Appropriation Adjustment	$0	$0	($65,300)	($119,400)
Total Expenditures	**$1,100**	**$1,100**	**$74,100**	**$20,000**
Closing Balance	**$37,200**	**$54,100**	**$0**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	29	Prison industries principal repayment, interest and rebates

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$0**	**$0**	**$0**	**$0**
Program Revenue Collected	$89,900	$90,000	$106,800	$106,800
Total Revenue	**$89,900**	**$90,000**	**$106,800**	**$106,800**
Expenditures	**$89,900**	**$90,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$106,800	$106,800
Total Expenditures	**$89,900**	**$90,000**	**$106,800**	**$106,800**
Closing Balance	**$0**	**$0**	**$0**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	30	Canteen operations

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$3,800**	**$23,600**	**$23,600**	**$34,200**
Program Revenue Collected	$300,500	$334,500	$334,500	$334,500
Total Revenue	**$304,300**	**$358,100**	**$358,100**	**$368,700**
Expenditures	**$280,700**	**$334,500**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$334,500	$334,500
3011 Minor Transfers Within the Same Alpha Appropriation	$0	$0	$0	$0
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	$27,600	$27,600
Appropriation Adjustment	$0	$0	($50,000)	($50,000)
Health Insurance Reserves	$0	$0	$6,100	$14,500
Compensation Reserve	$0	$0	$5,700	$11,800
Total Expenditures	**$280,700**	**$334,500**	**$323,900**	**$338,400**
Closing Balance	**$23,600**	**$23,600**	**$34,200**	**$30,300**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	31	Correctional farms

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$6,234,100**	**$6,835,000**	**$7,235,000**	**$4,761,500**
Program Revenue Collected	$6,273,000	$6,300,000	$7,000,000	$7,000,000
Total Revenue	**$12,507,100**	**$13,135,000**	**$14,235,000**	**$11,761,500**
Expenditures	**$5,672,100**	**$5,900,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$11,808,200	$11,808,200
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($20,000)	($20,000)
3011 Minor Transfers Within the Same Alpha Appropriation	$0	$0	$0	$0
3007 Overtime	$0	$0	$31,100	$31,100
3008 Night and Weekend Differential Pay	$0	$0	$3,900	$3,900
5000 PR Re-Estimates	$0	$0	($2,400,000)	($3,100,000)
4531 Technology Budget Shortfalls	$0	$0	$22,300	$22,300
Compensation Reserve	$0	$0	$12,200	$24,700
Health Insurance Reserves	$0	$0	$12,500	$30,100
Municipal Services Reserve	$0	$0	$3,300	$3,300

Total Expenditures	**$5,672,100**	**$5,900,000**	**$9,473,500**	**$8,803,600**
Closing Balance	**$6,835,000**	**$7,235,000**	**$4,761,500**	**$2,957,900**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	32	Operations and maintenance

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$2,100**	**$22,300**	**$0**	**$0**
Program Revenue Collected	$515,700	$486,500	$521,500	$534,600
Total Revenue	**$517,800**	**$508,800**	**$521,500**	**$534,600**
Expenditures	**$495,500**	**$508,800**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$508,800	$508,800
4503 Rent	$0	$0	$12,700	$25,800
Total Expenditures	**$495,500**	**$508,800**	**$521,500**	**$534,600**
Closing Balance	**$22,300**	**$0**	**$0**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	33	Gifts and grants

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$46,200**	**$45,700**	**$42,600**	**$21,900**
Program Revenue Collected	$6,300	$7,700	$7,700	$7,700
Total Revenue	**$52,500**	**$53,400**	**$50,300**	**$29,600**
Expenditures	**$6,800**	**$10,800**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$33,400	$33,400
Appropriation Adjustment	$0	$0	($5,000)	($5,000)
Total Expenditures	**$6,800**	**$10,800**	**$28,400**	**$28,400**
Closing Balance	**$45,700**	**$42,600**	**$21,900**	**$1,200**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	34	Prison industries

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**($2,805,000)**	**($2,271,600)**	**($2,281,800)**	**($2,263,000)**
Program Revenue Collected	$16,803,600	$17,000,000	$20,600,000	$23,500,000
Total Revenue	**$13,998,600**	**$14,728,400**	**$18,318,200**	**$21,237,000**
Expenditures	**$16,270,200**	**$17,010,200**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$18,752,600	$18,752,600
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($79,100)	($79,100)
3008 Night and Weekend Differential Pay	$0	$0	$5,500	$5,500
3007 Overtime	$0	$0	$139,400	$139,400
4530 Risk Management Premium Re-Estimate	$0	$0	$6,000	$6,000
5000 PR Re-Estimates	$0	$0	$1,650,000	$3,800,000
4531 Technology Budget Shortfalls	$0	$0	$51,500	$51,500
3001 Turnover Reduction	$0	$0	($97,800)	($97,800)
Compensation Reserve	$0	$0	$75,400	$152,500
Health Insurance Reserves	$0	$0	$54,700	$131,200
Municipal Services Reserve	$0	$0	$23,000	$23,000

Total Expenditures	**$16,270,200**	**$17,010,200**	**$20,581,200**	**$22,884,800**
Closing Balance	**($2,271,600)**	**($2,281,800)**	**($2,263,000)**	**($1,647,800)**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	35	Central generating plant

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$2,029,500**	**$2,077,400**	**$1,101,800**	**$752,900**
Program Revenue Collected	$4,072,800	$4,500,000	$4,500,000	$4,500,000
Total Revenue	**$6,102,300**	**$6,577,400**	**$5,601,800**	**$5,252,900**
Expenditures	**$4,024,900**	**$5,475,600**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$4,816,300	$4,816,300
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($74,600)	($74,600)
3008 Night and Weekend Differential Pay	$0	$0	$16,600	$16,600
3007 Overtime	$0	$0	$44,800	$44,800
4530 Risk Management Premium Re-Estimate	$0	$0	$4,800	$4,800
4531 Technology Budget Shortfalls	$0	$0	$6,400	$6,400
Compensation Reserve	$0	$0	$19,900	$40,200
Health Insurance Reserves	$0	$0	$12,800	$30,800
Municipal Services Reserve	$0	$0	$1,900	$1,900
Total Expenditures	**$4,024,900**	**$5,475,600**	**$4,848,900**	**$4,887,200**
Closing Balance	**$2,077,400**	**$1,101,800**	**$752,900**	**$365,700**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	39	Administration of restitution

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$204,900**	**$190,000**	**$171,500**	**$106,800**
Program Revenue Collected	$725,600	$800,000	$800,000	$800,000
Total Revenue	**$930,500**	**$990,000**	**$971,500**	**$906,800**
Expenditures	**$740,500**	**$818,500**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$774,800	$774,800
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($8,500)	($8,500)
3008 Night and Weekend Differential Pay	$0	$0	$200	$200
3007 Overtime	$0	$0	$1,900	$1,900
5000 PR Re-Estimates	$0	$0	$79,600	$79,600
4503 Rent	$0	$0	$1,000	$2,000
Compensation Reserve	$0	$0	$10,500	$21,200
Health Insurance Reserves	$0	$0	$5,200	$12,400
Total Expenditures	**$740,500**	**$818,500**	**$864,700**	**$883,600**
Closing Balance	**$190,000**	**$171,500**	**$106,800**	**$23,200**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	43	Interstate compact adult offnd

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$0**	**$12,000**	**$28,900**	**$19,900**
Program Revenue Collected	$135,800	$366,900	$366,900	$366,900
Total Revenue	**$135,800**	**$378,900**	**$395,800**	**$386,800**
Expenditures	**$123,800**	**$350,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$375,900	$375,900
Total Expenditures	**$123,800**	**$350,000**	**$375,900**	**$375,900**
Closing Balance	**$12,000**	**$28,900**	**$19,900**	**$10,900**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	66	Correctional institution enterprises; inmate activities and employment

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$3,080,300**	**$4,138,100**	**$3,991,400**	**$2,810,400**
Program Revenue Collected	$4,139,400	$3,600,000	$4,100,000	$4,100,000
Total Revenue	**$7,219,700**	**$7,738,100**	**$8,091,400**	**$6,910,400**
Expenditures	**$3,081,600**	**$3,746,700**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$2,776,500	$2,776,500
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($1,100)	($1,100)
3008 Night and Weekend Differential Pay	$0	$0	$400	$400
4530 Risk Management Premium Re-Estimate	$0	$0	$200	$200
Appropriation Adjustment	$0	$0	$2,500,000	$2,500,000
Compensation Reserve	$0	$0	$2,000	$4,000
Health Insurance Reserves	$0	$0	$2,700	$6,500
Municipal Services Reserve	$0	$0	$300	$300
Total Expenditures	**$3,081,600**	**$3,746,700**	**$5,281,000**	**$5,286,800**
Closing Balance	**$4,138,100**	**$3,991,400**	**$2,810,400**	**$1,623,600**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	67	Interagency and intra-agency programs

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$6,894,700**	**$11,571,500**	**$9,292,900**	**$7,591,000**
Program Revenue Collected	$14,606,900	$14,607,000	$14,607,000	$14,607,000
Total Revenue	**$21,501,600**	**$26,178,500**	**$23,899,900**	**$22,198,000**
Expenditures	**$9,930,100**	**$16,885,600**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$2,256,100	$2,256,100
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($33,900)	($33,900)
3008 Night and Weekend Differential Pay	$0	$0	$5,000	$5,000
3007 Overtime	$0	$0	$30,800	$30,800
4530 Risk Management Premium Re-Estimate	$0	$0	$1,700	$1,700
Appropriation Adjustment	$0	$0	$14,000,000	$14,000,000
Compensation Reserve	$0	$0	$26,900	$54,500
Health Insurance Reserves	$0	$0	$20,700	$49,500
Municipal Services Reserve	$0	$0	$1,600	$1,600
Total Expenditures	**$9,930,100**	**$16,885,600**	**$16,308,900**	**$16,365,300**
Closing Balance	**$11,571,500**	**$9,292,900**	**$7,591,000**	**$5,832,700**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	80	Correctional officer training

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$0**	**$0**	**$0**	**$0**
Program Revenue Collected	$2,416,600	$2,416,600	$2,416,600	$2,416,600
Total Revenue	**$2,416,600**	**$2,416,600**	**$2,416,600**	**$2,416,600**
Expenditures	**$2,416,600**	**$2,416,600**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$2,416,600	$2,416,600
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	$84,300	$84,300
3007 Overtime	$0	$0	$89,000	$89,000
3008 Night and Weekend Differential Pay	$0	$0	$8,100	$8,100
4503 Rent	$0	$0	($9,800)	($9,800)
Appropriation Adjustment	$0	$0	($210,100)	($249,500)
Compensation Reserve	$0	$0	$38,500	$77,900
Total Expenditures	**$2,416,600**	**$2,416,600**	**$2,416,600**	**$2,416,600**
Closing Balance	**$0**	**$0**	**$0**	**$0**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	81	Victim services and programs

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$0**	**$0**	**$0**	**$0**
Program Revenue Collected	$271,700	$271,700	$227,900	$234,700
Total Revenue	**$271,700**	**$271,700**	**$227,900**	**$234,700**
Expenditures	**$271,700**	**$271,700**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$271,700	$271,700
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($53,500)	($53,500)
3007 Overtime	$0	$0	$3,800	$3,800
4530 Risk Management Premium Re-Estimate	$0	$0	$300	$300
Compensation Reserve	$0	$0	$3,200	$6,500
Health Insurance Reserves	$0	$0	$2,400	$5,900
Total Expenditures	**$271,700**	**$271,700**	**$227,900**	**$234,700**
Closing Balance	**$0**	**$0**	**$0**	**$0**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	82	Sex offender management

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$182,600**	**$408,300**	**$397,400**	**$397,400**
Program Revenue Collected	$909,100	$909,100	$909,100	$909,100
Total Revenue	**$1,091,700**	**$1,317,400**	**$1,306,500**	**$1,306,500**
Expenditures	**$683,400**	**$920,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$819,000	$819,000
5000 PR Re-Estimates	$0	$0	$90,100	$90,100
Total Expenditures	**$683,400**	**$920,000**	**$909,100**	**$909,100**
Closing Balance	**$408,300**	**$397,400**	**$397,400**	**$397,400**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	84	Telephone company commissions

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$547,900**	**$750,000**	**$645,400**	**$340,800**
Program Revenue Collected	$1,043,400	$1,000,000	$1,100,000	$1,100,000
Total Revenue	**$1,591,300**	**$1,750,000**	**$1,745,400**	**$1,440,800**
Expenditures	**$841,300**	**$1,104,600**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$1,104,600	$1,104,600
5000 PR Re-Estimates	$0	$0	$300,000	$300,000
Total Expenditures	**$841,300**	**$1,104,600**	**$1,404,600**	**$1,404,600**
Closing Balance	**$750,000**	**$645,400**	**$340,800**	**$36,200**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	85	General operations

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$2,617,800**	**$3,747,600**	**$3,185,900**	**$1,597,400**
Program Revenue Collected	$3,979,200	$3,900,000	$3,900,000	$3,900,000
Total Revenue	**$6,597,000**	**$7,647,600**	**$7,085,900**	**$5,497,400**
Expenditures	**$2,849,400**	**$4,461,700**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$4,342,000	$4,342,000
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($2,500)	($2,500)
5000 PR Re-Estimates	$0	$0	$1,100,000	$1,100,000
4531 Technology Budget Shortfalls	$0	$0	$36,200	$36,200
Compensation Reserve	$0	$0	$3,100	$6,300
Health Insurance Reserves	$0	$0	$700	$1,600
Municipal Services Reserve	$0	$0	$9,000	$9,000
Total Expenditures	**$2,849,400**	**$4,461,700**	**$5,488,500**	**$5,492,600**
Closing Balance	**$3,747,600**	**$3,185,900**	**$1,597,400**	**$4,800**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	87	Probation, parole and extended supervision

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$4,515,500**	**$3,103,700**	**$0**	**$0**
Program Revenue Collected	$8,779,800	$2,656,800	$2,656,800	$2,656,800
Total Revenue	**$13,295,300**	**$5,760,500**	**$2,656,800**	**$2,656,800**
Expenditures	**$10,191,600**	**$5,760,500**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$11,401,800	$11,401,800
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	$3,600	$3,600
4533 DHA and Act 355 Offset	$0	$0	($1,401,100)	($1,401,100)
5000 PR Re-Estimates	$0	$0	($4,721,900)	($4,721,900)
4531 Technology Budget Shortfalls	$0	$0	$47,900	$47,900
Compensation Reserve	$0	$0	$1,800	$3,600
Appropriation Adjustment	$0	$0	($2,675,800)	($2,678,200)
Health Insurance Reserves	$0	$0	$500	$1,100
Total Expenditures	**$10,191,600**	**$5,760,500**	**$2,656,800**	**$2,656,800**
Closing Balance	**$3,103,700**	**$0**	**$0**	**$0**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	88	Sex offender honesty testing

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$28,600**	**$35,300**	**$55,300**	**$14,500**
Program Revenue Collected	$179,800	$200,000	$200,000	$200,000
Total Revenue	**$208,400**	**$235,300**	**$255,300**	**$214,500**
Expenditures	**$173,100**	**$180,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$340,800	$340,800
Appropriation Adjustment	$0	$0	($100,000)	($130,000)
Total Expenditures	**$173,100**	**$180,000**	**$240,800**	**$210,800**
Closing Balance	**$35,300**	**$55,300**	**$14,500**	**$3,700**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	01	Adult correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	91	GPS devices-sex offenders

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$123,000**	**$58,000**	**$58,000**	**$7,700**
Program Revenue Collected	$123,200	$190,000	$240,000	$270,000
Total Revenue	**$246,200**	**$248,000**	**$298,000**	**$277,700**
Expenditures	**$188,200**	**$190,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$239,100	$239,100
4520 GPS Tracking Re-Estimate	$0	$0	$51,200	$67,900
Appropriation Adjustment	$0	$0	$0	($29,300)
Total Expenditures	**$188,200**	**$190,000**	**$290,300**	**$277,700**
Closing Balance	**$58,000**	**$58,000**	**$7,700**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	20	Secure detention services

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$141,500**	**$158,500**	**$0**	**$0**
Program Revenue Collected	$17,000	$16,000	$16,000	$16,000
Total Revenue	**$158,500**	**$174,500**	**$16,000**	**$16,000**
Expenditures	**$0**	**$174,500**	**$0**	**$0**
Appropriation Adjustment	$0	$0	($184,000)	($184,000)
2000 Adjusted Base Funding Level	$0	$0	$200,000	$200,000
Total Expenditures	**$0**	**$174,500**	**$16,000**	**$16,000**
Closing Balance	**$158,500**	**$0**	**$0**	**$0**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	21	Purchase of services-community

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$0**	**$0**	**$0**	**$0**
Program Revenue Collected	$165,300	$170,000	$303,000	$299,000
Total Revenue	**$165,300**	**$170,000**	**$303,000**	**$299,000**
Expenditures	**$165,300**	**$170,000**	**$0**	**$0**
5000 PR Re-Estimates	$0	$0	$18,000	$14,000
2000 Adjusted Base Funding Level	$0	$0	$285,000	$285,000
Total Expenditures	**$165,300**	**$170,000**	**$303,000**	**$299,000**
Closing Balance	**$0**	**$0**	**$0**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	22	Juvenile aftercare

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$0**	**$69,900**	**$0**	**$0**
Program Revenue Collected	$988,500	$926,800	$0	$0
Total Revenue	**$988,500**	**$996,700**	**$0**	**$0**
Expenditures	**$918,600**	**$996,700**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$1,004,100	$1,004,100
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	$8,200	$8,200
5100 Realignment - Department-wide	$0	$0	($944,200)	($944,200)
4503 Rent	$0	$0	($68,100)	($68,100)
Total Expenditures	**$918,600**	**$996,700**	**$0**	**$0**
Closing Balance	**$69,900**	**$0**	**$0**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	23	Juvenile operations

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$436,400**	**($2,072,200)**	**($3,852,100)**	**($3,856,800)**
Program Revenue Collected	$25,127,200	$21,816,000	$29,365,400	$29,822,100
Transfer from 3(hr)(329)	$0	$1,164,100	$0	$0
Total Revenue	**$25,563,600**	**$20,907,900**	**$25,513,300**	**$25,965,300**
Expenditures	**$27,635,800**	**$24,760,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$29,905,500	$29,905,500
4502 Food	$0	$0	($28,000)	($17,000)
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($2,333,000)	($2,333,000)
5905 Juvenile Medication Administration	$0	$0	($527,300)	($703,100)
5904 Juvenile Mental Health Services	$0	$0	$256,100	$300,500
3011 Minor Transfers Within the Same Alpha Appropriation	$0	$0	$0	$0
5902 MJTC Re-Estimate	$0	$0	($229,500)	($162,800)
3008 Night and Weekend Differential Pay	$0	$0	$258,800	$258,800
3007 Overtime	$0	$0	$1,726,700	$1,726,700
4503 Rent	$0	$0	$6,500	$7,400

4531 Technology Budget Shortfalls	$0	$0	$92,500	$92,500
3001 Turnover Reduction	$0	$0	($318,500)	($318,500)
4510 Variable Non-Food	$0	$0	($176,400)	($176,400)
5906 PREA Compliance - Juveniles	$0	$0	($455,700)	($607,700)
4530 Risk Management Premium Re-Estimate	$0	$0	$80,300	$80,300
4513 Variable Non-Food Health	$0	$0	$531,200	$552,000
Unallotted Reserve: DIN 5904	$0	$0	$1,700	$4,700
Compensation Reserve	$0	$0	$319,600	$646,700
Health Insurance Reserves	$0	$0	$249,700	$598,100
Unallotted Reserve: DIN 5906	$0	$0	($5,100)	($13,800)
Municipal Services Reserve	$0	$0	$15,000	$15,000
Total Expenditures	**$27,635,800**	**$24,760,000**	**$29,370,100**	**$29,855,900**
Closing Balance	**($2,072,200)**	**($3,852,100)**	**($3,856,800)**	**($3,890,600)**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	24	Juvenile alt care services

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$80,400**	**($127,300)**	**($127,300)**	**($127,300)**
Program Revenue Collected	$5,786,900	$6,000,000	$7,278,900	$7,763,400
Total Revenue	**$5,867,300**	**$5,872,700**	**$7,151,600**	**$7,636,100**
Expenditures	**$5,994,600**	**$6,000,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$6,698,500	$6,698,500
5000 PR Re-Estimates	$0	$0	$580,400	$1,064,900
Total Expenditures	**$5,994,600**	**$6,000,000**	**$7,278,900**	**$7,763,400**
Closing Balance	**($127,300)**	**($127,300)**	**($127,300)**	**($127,300)**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	25	Juvenile purchased services

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$0**	**$0**	**$0**	**$0**
Program Revenue Collected	$0	$59,300	$59,300	$59,300
Total Revenue	**$0**	**$59,300**	**$59,300**	**$59,300**
Expenditures	**$0**	**$59,300**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$59,300	$59,300
Total Expenditures	**$0**	**$59,300**	**$59,300**	**$59,300**
Closing Balance	**$0**	**$0**	**$0**	**$0**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	26	Juvenile utilities and heating

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$0**	**$0**	**$0**	**$0**
Program Revenue Collected	$352,800	$350,000	$362,900	$367,900
Total Revenue	**$352,800**	**$350,000**	**$362,900**	**$367,900**
Expenditures	**$352,800**	**$350,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$620,300	$620,300
5000 PR Re-Estimates	$0	$0	($257,400)	($252,400)
Total Expenditures	**$352,800**	**$350,000**	**$362,900**	**$367,900**
Closing Balance	**$0**	**$0**	**$0**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	27	Juvenile institutional repair and maintenance

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$0**	**$0**	**$0**	**$0**
Program Revenue Collected	$225,600	$250,000	$316,900	$316,900
Total Revenue	**$225,600**	**$250,000**	**$316,900**	**$316,900**
Expenditures	**$225,600**	**$250,000**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$316,900	$316,900
Total Expenditures	**$225,600**	**$250,000**	**$316,900**	**$316,900**
Closing Balance	**$0**	**$0**	**$0**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	29	Juvenile community supervision

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$500,400**	**$1,283,200**	**$0**	**$0**
Program Revenue Collected	$3,712,600	$3,712,600	$5,077,300	$5,184,500
Transfer to 3(hm)(323)	$0	($1,164,100)	$0	$0
Total Revenue	**$4,213,000**	**$3,831,700**	**$5,077,300**	**$5,184,500**
Expenditures	**$2,929,800**	**$3,831,700**	**$0**	**$0**
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($67,700)	($67,700)
2000 Adjusted Base Funding Level	$0	$0	$3,977,000	$3,977,000
3007 Overtime	$0	$0	$8,600	$8,600
5100 Realignment - Department-wide	$0	$0	$944,200	$944,200
4503 Rent	$0	$0	$74,300	$63,400
3008 Night and Weekend Differential Pay	$0	$0	$4,400	$4,400
4530 Risk Management Premium Re-Estimate	$0	$0	$22,500	$22,500
4531 Technology Budget Shortfalls	$0	$0	$13,200	$13,200
Compensation Reserve	$0	$0	$60,800	$123,000
Health Insurance Reserves	$0	$0	$40,000	$95,900

Total Expenditures	**$2,929,800**	**$3,831,700**	**$5,077,300**	**$5,184,500**
Closing Balance	**$1,283,200**	**$0**	**$0**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	30	Canteen operations

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$0**	**$0**	**$0**	**$0**
Total Revenue	**$0**	**$0**	**$0**	**$0**
Expenditures	**$0**	**$0**	**$0**	**$0**
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($400)	($400)
Appropriation Adjustment	$0	$0	($2,300)	($2,300)
2000 Adjusted Base Funding Level	$0	$0	$2,700	$2,700
Total Expenditures	**$0**	**$0**	**$0**	**$0**
Closing Balance	**$0**	**$0**	**$0**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	32	Activity therapy

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$30,400**	**$32,400**	**$27,400**	**$0**
Program Revenue Collected	$10,100	$15,000	$15,000	$15,000
Total Revenue	**$40,500**	**$47,400**	**$42,400**	**$15,000**
Expenditures	**$8,100**	**$20,000**	**$0**	**$0**
Appropriation Adjustment	$0	$0	($135,400)	($162,800)
2000 Adjusted Base Funding Level	$0	$0	$177,800	$177,800
Total Expenditures	**$8,100**	**$20,000**	**$42,400**	**$15,000**
Closing Balance	**$32,400**	**$27,400**	**$0**	**$0**

Program Revenue

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	33	Gifts and grants

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**$33,400**	**$36,700**	**$36,700**	**$36,700**
Program Revenue Collected	$5,000	$7,700	$7,700	$7,700
Total Revenue	**$38,400**	**$44,400**	**$44,400**	**$44,400**
Expenditures	**$1,700**	**$7,700**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$7,700	$7,700
Total Expenditures	**$1,700**	**$7,700**	**$7,700**	**$7,700**
Closing Balance	**$36,700**	**$36,700**	**$36,700**	**$36,700**

Program Revenue

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections
PROGRAM	03	Juvenile correctional services
SUBPROGRAM		
NUMERIC APPROPRIATION	67	Interagency and intra-agency programs

Revenue and Expenditures	Prior Year Actuals	Base Year Estimate	1st Year Estimate	2nd Year Estimate
Opening Balance	**($326,100)**	**($848,000)**	**($671,600)**	**($98,500)**
Program Revenue Collected	$532,900	$1,400,000	$1,400,000	$1,400,000
Total Revenue	**$206,800**	**$552,000**	**$728,400**	**$1,301,500**
Expenditures	**$1,054,800**	**$1,223,600**	**$0**	**$0**
2000 Adjusted Base Funding Level	$0	$0	$832,800	$832,800
3003 Full Funding of Continuing Position Salaries and Fringe Benefits	$0	$0	($22,000)	($22,000)
Appropriation Adjustment	$0	$0	$0	$456,000
Compensation Reserve	$0	$0	$10,200	$20,600
Health Insurance Reserves	$0	$0	$5,900	$14,100
Total Expenditures	**$1,054,800**	**$1,223,600**	**$826,900**	**$1,301,500**
Closing Balance	**($848,000)**	**($671,600)**	**($98,500)**	**$0**

Decision Item (DIN) - 2000

Decision Item (DIN) Title - Adjusted Base Funding Level

NARRATIVE

Adjusted Base Funding Level

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	2000	Adjusted Base Funding Level

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$514,216,400	$514,216,400
02	Turnover	$0	$0
03	Project Position Salaries	$108,700	$108,700
04	LTE/Misc. Salaries	$10,649,100	$10,649,100
05	Fringe Benefits	$250,373,100	$250,373,100
06	Supplies and Services	$169,986,200	$169,986,200
07	Permanent Property	$3,749,300	$3,749,300
08	Unalloted Reserve	$640,200	$640,200
09	Aids to Individuals Organizations	$39,849,200	$39,849,200
10	Local Assistance	$4,903,700	$4,903,700
11	One-time Financing	$5,525,000	$5,525,000
12	Debt Service	$77,502,700	$77,502,700
13	Food 3000	$30,941,500	$30,941,500
14	Variable non-food 3000	$89,084,300	$89,084,300
15	Internal services 3000	$3,706,700	$3,706,700
16	Rent (lease and state owned) 3000	$17,520,700	$17,520,700
17	**Total Cost**	**$1,218,756,800**	**$1,218,756,800**
18	Project Positions Authorized	3.00	3.00
19	Classified Positions Authorized	10,082.32	10,082.32
20	Unclassified Positions Authorized	16.00	16.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	2000	**Adjusted Base Funding Level**			
01	**Adult correctional services**				
	01 General program operations	$749,678,000	$749,678,000	7,646.94	7,646.94
	02 Services for community corrections	$147,400,200	$147,400,200	1,849.38	1,849.38
	03 Services for drunken driving offenders	$6,503,700	$6,503,700	27.00	27.00
	04 Reimbursement claims of counties containing state prisons	$45,000	$45,000	0.00	0.00
	05 Pharmacological treatment for certain child sex offenders	$58,900	$58,900	0.00	0.00
	06 Energy costs; energy-related assessments	$28,221,800	$28,221,800	0.00	0.00
	07 Principal repayment and interest	$73,142,200	$73,142,200	0.00	0.00
	10 Institutional repair and maintenance	$4,282,800	$4,282,800	0.00	0.00
	11 Purchased services for offenders	$31,190,000	$31,190,000	0.00	0.00
	12 Becky Young community corrections; recidivism reduction community services	$10,138,400	$10,138,400	9.00	9.00
	14 Corrections contracts and agreements	$18,360,500	$18,360,500	0.00	0.00
	16 Reimbursing counties for probation, extended supervision and parole holds	$4,885,700	$4,885,700	0.00	0.00
	19 Mother-young child care program	$198,000	$198,000	0.00	0.00
	20 Victim notification	$682,300	$682,300	0.00	0.00
	22 Waupun central warehouse	$7,597,300	$7,597,300	9.00	9.00
	23 American Indian reintegration	$50,000	$50,000	0.00	0.00
	24 Home detention services; supv	$356,500	$356,500	6.20	6.20
	27 GPS devices-restraining orders	$139,400	$139,400	0.00	0.00
	29 Prison industries principal repayment, interest and rebates	$106,800	$106,800	0.00	0.00
	30 Canteen operations	$334,500	$334,500	7.70	7.70
	31 Correctional farms	$11,808,200	$11,808,200	11.65	11.65
	32 Operations and maintenance	$508,800	$508,800	0.00	0.00

Decision Item by Numeric

Department of Corrections

	33 Gifts and grants	$33,400	$33,400	0.00	0.00
	34 Prison industries	$18,752,600	$18,752,600	77.85	77.85
	35 Central generating plant	$4,816,300	$4,816,300	19.00	19.00
	39 Administration of restitution	$774,800	$774,800	9.50	9.50
	41 Federal project operations	$2,473,100	$2,473,100	0.00	0.00
	42 Federal program operations	$86,800	$86,800	0.00	0.00
	43 Interstate compact adult offnd	$375,900	$375,900	0.00	0.00
	60 Computer recycling	$0	$0	0.00	0.00
	66 Correctional institution enterprises; inmate activities and employment	$2,776,500	$2,776,500	2.00	2.00
	67 Interagency and intra-agency programs	$2,256,100	$2,256,100	24.50	24.50
	68 Interagency and intra-agency aids	$1,427,700	$1,427,700	0.00	0.00
	80 Correctional officer training	$2,416,600	$2,416,600	4.00	4.00
	81 Victim services and programs	$271,700	$271,700	3.00	3.00
	82 Sex offender management	$819,000	$819,000	0.00	0.00
	84 Telephone company commissions	$1,104,600	$1,104,600	0.00	0.00
	85 General operations	$4,342,000	$4,342,000	0.50	0.50
	87 Probation, parole and extended supervision	$11,401,800	$11,401,800	2.00	2.00
	88 Sex offender honesty testing	$340,800	$340,800	0.00	0.00
	91 GPS devices-sex offenders	$239,100	$239,100	0.00	0.00
	Adult correctional services SubTotal	**$1,150,397,800**	**$1,150,397,800**	**9,709.22**	**9,709.22**
02	**Parole commission**				
	01 General program operations	$1,282,900	$1,282,900	13.00	13.00
	Parole commission SubTotal	**$1,282,900**	**$1,282,900**	**13.00**	**13.00**
03	**Juvenile correctional services**				
	01 General program operations	$2,387,900	$2,387,900	19.45	19.45
	02 Community intervention program	$0	$0	0.00	0.00
	04 Serious juvenile offenders	$14,933,400	$14,933,400	0.00	0.00
	05 Mendota juvenile treatment	$1,365,500	$1,365,500	0.00	0.00

Decision Item by Numeric

Department of Corrections

	center				
	07 Principal repayment and interest	$4,253,700	$4,253,700	0.00	0.00
	08 Reimbursement claims of counties containing juvenile corr facilities	$18,000	$18,000	0.00	0.00
	13 Community youth and family aids	$0	$0	0.00	0.00
	20 Secure detention services	$200,000	$200,000	0.00	0.00
	21 Purchase of services-community	$285,000	$285,000	0.00	0.00
	22 Juvenile aftercare	$1,004,100	$1,004,100	10.25	10.25
	23 Juvenile operations	$29,905,500	$29,905,500	303.05	303.05
	24 Juvenile alt care services	$6,698,500	$6,698,500	0.00	0.00
	25 Juvenile purchased services	$59,300	$59,300	0.00	0.00
	26 Juvenile utilities and heating	$620,300	$620,300	0.00	0.00
	27 Juvenile institutional repair and maintenance	$316,900	$316,900	0.00	0.00
	29 Juvenile community supervision	$3,977,000	$3,977,000	38.30	38.30
	30 Canteen operations	$2,700	$2,700	0.00	0.00
	32 Activity therapy	$177,800	$177,800	0.00	0.00
	33 Gifts and grants	$7,700	$7,700	0.00	0.00
	38 Interagency programs; community youth and family aids	$0	$0	0.00	0.00
	39 Indian juvenile placements	$0	$0	0.00	0.00
	42 Federal program operations	$30,000	$30,000	0.00	0.00
	67 Interagency and intra-agency programs	$832,800	$832,800	8.05	8.05
	Juvenile correctional services SubTotal	**$67,076,100**	**$67,076,100**	**379.10**	**379.10**
	Adjusted Base Funding Level SubTotal	**$1,218,756,800**	**$1,218,756,800**	**10,101.32**	**10,101.32**
	Agency Total	**$1,218,756,800**	**$1,218,756,800**	**10,101.32**	**10,101.32**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**2000**	**Adjusted Base Funding Level**				
	GPR	A	$31,388,000	$31,388,000	0.00	0.00
	GPR	L	$4,885,700	$4,885,700	0.00	0.00
	GPR	S	$1,062,072,900	$1,062,072,900	9,564.77	9,564.77
	PR	A	$8,176,200	$8,176,200	0.00	0.00
	PR	L	$0	$0	0.00	0.00
	PR	S	$109,644,100	$109,644,100	536.55	536.55
	PR Federal	S	$2,589,900	$2,589,900	0.00	0.00
	SEG	S	$0	$0	0.00	0.00
	Total		**$1,218,756,800**	**$1,218,756,800**	**10,101.32**	**10,101.32**
Agency Total			**$1,218,756,800**	**$1,218,756,800**	**10,101.32**	**10,101.32**

Decision Item (DIN) - 3001
Decision Item (DIN) Title - Turnover Reduction

NARRATIVE

Standard Budget Adjustment - Turnover Reduction

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	3001	Turnover Reduction

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	($11,416,100)	($11,416,100)
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$0	$0
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**($11,416,100)**	**($11,416,100)**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	3001	**Turnover Reduction**			
01	**Adult correctional services**				
	01 General program operations	($8,358,900)	($8,358,900)	0.00	0.00
	02 Services for community corrections	($2,640,900)	($2,640,900)	0.00	0.00
	34 Prison industries	($97,800)	($97,800)	0.00	0.00
	Adult correctional services SubTotal	**($11,097,600)**	**($11,097,600)**	**0.00**	**0.00**
03	**Juvenile correctional services**				
	23 Juvenile operations	($318,500)	($318,500)	0.00	0.00
	Juvenile correctional services SubTotal	**($318,500)**	**($318,500)**	**0.00**	**0.00**
	Turnover Reduction SubTotal	**($11,416,100)**	**($11,416,100)**	**0.00**	**0.00**
	Agency Total	**($11,416,100)**	**($11,416,100)**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**3001**	**Turnover Reduction**				
	GPR	S	($10,999,800)	($10,999,800)	0.00	0.00
	PR	S	($416,300)	($416,300)	0.00	0.00
	Total		**($11,416,100)**	**($11,416,100)**	**0.00**	**0.00**
Agency Total			**($11,416,100)**	**($11,416,100)**	**0.00**	**0.00**

Decision Item (DIN) - 3002

Decision Item (DIN) Title - Removal of Noncontinuing Elements from the Base

NARRATIVE

Standard Budget Adjustment - Removal of Noncontinuing Elements from the Base

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	3002	Removal of Noncontinuing Elements from the Base

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$2,200	$2,900
03	Project Position Salaries	($72,400)	($96,500)
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	($34,400)	($45,800)
06	Supplies and Services	$0	$0
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	($25,000)	($25,000)
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**($129,600)**	**($164,400)**
18	Project Positions Authorized	-3.00	-3.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	3002	**Removal of Noncontinuing Elements from the Base**			
01	**Adult correctional services**				
	01 General program operations	($25,000)	($25,000)	0.00	0.00
	02 Services for community corrections	($104,600)	($139,400)	(3.00)	(3.00)
	Adult correctional services SubTotal	**($129,600)**	**($164,400)**	**(3.00)**	**(3.00)**
	Removal of Noncontinuing Elements from the Base SubTotal	**($129,600)**	**($164,400)**	**(3.00)**	**(3.00)**
	Agency Total	**($129,600)**	**($164,400)**	**(3.00)**	**(3.00)**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**3002**	**Removal of Noncontinuing Elements from the Base**				
	GPR	S	($129,600)	($164,400)	(3.00)	(3.00)
	Total		**($129,600)**	**($164,400)**	**(3.00)**	**(3.00)**
Agency Total			**($129,600)**	**($164,400)**	**(3.00)**	**(3.00)**

Decision Item (DIN) - 3003

Decision Item (DIN) Title - Full Funding of Continuing Position Salaries and Fringe Benefits

NARRATIVE

Standard Budget Adjustment - Full Funding of Continuing Position Salaries and Fringe Benefits

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	3003	Full Funding of Continuing Position Salaries and Fringe Benefits

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	($43,886,100)	($43,886,100)
02	Turnover	$0	$0
03	Project Position Salaries	($12,200)	($12,200)
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	($14,723,000)	($14,723,000)
06	Supplies and Services	$0	$0
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**($58,621,300)**	**($58,621,300)**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	3003	**Full Funding of Continuing Position Salaries and Fringe Benefits**			
01	**Adult correctional services**				
	01 General program operations	($52,357,800)	($52,357,800)	0.00	0.00
	02 Services for community corrections	($3,586,900)	($3,586,900)	0.00	0.00
	03 Services for drunken driving offenders	($800)	($800)	0.00	0.00
	12 Becky Young community corrections; recidivism reduction community services	$31,500	$31,500	0.00	0.00
	22 Waupun central warehouse	$18,900	$18,900	0.00	0.00
	24 Home detention services; supv	($22,800)	($22,800)	0.00	0.00
	30 Canteen operations	$27,600	$27,600	0.00	0.00
	31 Correctional farms	($20,000)	($20,000)	0.00	0.00
	34 Prison industries	($79,100)	($79,100)	0.00	0.00
	35 Central generating plant	($74,600)	($74,600)	0.00	0.00
	39 Administration of restitution	($8,500)	($8,500)	0.00	0.00
	66 Correctional institution enterprises; inmate activities and employment	($1,100)	($1,100)	0.00	0.00
	67 Interagency and intra-agency programs	($33,900)	($33,900)	0.00	0.00
	80 Correctional officer training	$84,300	$84,300	0.00	0.00
	81 Victim services and programs	($53,500)	($53,500)	0.00	0.00
	85 General operations	($2,500)	($2,500)	0.00	0.00
	87 Probation, parole and extended supervision	$3,600	$3,600	0.00	0.00
	Adult correctional services SubTotal	**($56,075,600)**	**($56,075,600)**	**0.00**	**0.00**
02	**Parole commission**				
	01 General program operations	($55,500)	($55,500)	0.00	0.00
	Parole commission SubTotal	**($55,500)**	**($55,500)**	**0.00**	**0.00**
03	**Juvenile correctional services**				
	01 General program operations	($75,300)	($75,300)	0.00	0.00
	22 Juvenile aftercare	$8,200	$8,200	0.00	0.00

Decision Item by Numeric

Department of Corrections

	23 Juvenile operations	($2,333,000)	($2,333,000)	0.00	0.00
	29 Juvenile community supervision	($67,700)	($67,700)	0.00	0.00
	30 Canteen operations	($400)	($400)	0.00	0.00
	67 Interagency and intra-agency programs	($22,000)	($22,000)	0.00	0.00
	Juvenile correctional services SubTotal	**($2,490,200)**	**($2,490,200)**	**0.00**	**0.00**
	Full Funding of Continuing Position Salaries and Fringe Benefits SubTotal	**($58,621,300)**	**($58,621,300)**	**0.00**	**0.00**
	Agency Total	**($58,621,300)**	**($58,621,300)**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**3003**	**Full Funding of Continuing Position Salaries and Fringe Benefits**				
	GPR	S	($56,044,800)	($56,044,800)	0.00	0.00
	PR	S	($2,576,500)	($2,576,500)	0.00	0.00
	Total		**($58,621,300)**	**($58,621,300)**	**0.00**	**0.00**
Agency Total			**($58,621,300)**	**($58,621,300)**	**0.00**	**0.00**

Decision Item (DIN) - 3007
Decision Item (DIN) Title - Overtime

NARRATIVE

Standard Budget Adjustment – Overtime

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	3007	Overtime

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$47,757,100	$47,757,100
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$9,274,600	$9,274,600
06	Supplies and Services	$0	$0
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$57,031,700**	**$57,031,700**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	3007	**Overtime**			
01	**Adult correctional services**				
	01 General program operations	$54,311,300	$54,311,300	0.00	0.00
	02 Services for community corrections	$606,200	$606,200	0.00	0.00
	03 Services for drunken driving offenders	$24,100	$24,100	0.00	0.00
	22 Waupun central warehouse	$14,000	$14,000	0.00	0.00
	31 Correctional farms	$31,100	$31,100	0.00	0.00
	34 Prison industries	$139,400	$139,400	0.00	0.00
	35 Central generating plant	$44,800	$44,800	0.00	0.00
	39 Administration of restitution	$1,900	$1,900	0.00	0.00
	67 Interagency and intra-agency programs	$30,800	$30,800	0.00	0.00
	80 Correctional officer training	$89,000	$89,000	0.00	0.00
	81 Victim services and programs	$3,800	$3,800	0.00	0.00
	Adult correctional services SubTotal	**$55,296,400**	**$55,296,400**	**0.00**	**0.00**
03	**Juvenile correctional services**				
	23 Juvenile operations	$1,726,700	$1,726,700	0.00	0.00
	29 Juvenile community supervision	$8,600	$8,600	0.00	0.00
	Juvenile correctional services SubTotal	**$1,735,300**	**$1,735,300**	**0.00**	**0.00**
	Overtime SubTotal	**$57,031,700**	**$57,031,700**	**0.00**	**0.00**
	Agency Total	**$57,031,700**	**$57,031,700**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**3007**	**Overtime**				
	GPR	S	$54,941,600	$54,941,600	0.00	0.00
	PR	S	$2,090,100	$2,090,100	0.00	0.00
	Total		**$57,031,700**	**$57,031,700**	**0.00**	**0.00**
Agency Total			**$57,031,700**	**$57,031,700**	**0.00**	**0.00**

Decision Item (DIN) - 3008

Decision Item (DIN) Title - Night and Weekend Differential Pay

NARRATIVE

Standard Budget Adjustment - Night and Weekend Differential Pay

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	3008	Night and Weekend Differential Pay

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$6,669,400	$6,669,400
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$1,285,700	$1,285,700
06	Supplies and Services	$0	$0
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$7,955,100**	**$7,955,100**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	3008	**Night and Weekend Differential Pay**			
01	**Adult correctional services**				
	01 General program operations	$7,562,800	$7,562,800	0.00	0.00
	02 Services for community corrections	$61,500	$61,500	0.00	0.00
	03 Services for drunken driving offenders	$25,600	$25,600	0.00	0.00
	22 Waupun central warehouse	$600	$600	0.00	0.00
	24 Home detention services; supv	$800	$800	0.00	0.00
	31 Correctional farms	$3,900	$3,900	0.00	0.00
	34 Prison industries	$5,500	$5,500	0.00	0.00
	35 Central generating plant	$16,600	$16,600	0.00	0.00
	39 Administration of restitution	$200	$200	0.00	0.00
	66 Correctional institution enterprises; inmate activities and employment	$400	$400	0.00	0.00
	67 Interagency and intra-agency programs	$5,000	$5,000	0.00	0.00
	80 Correctional officer training	$8,100	$8,100	0.00	0.00
	Adult correctional services SubTotal	**$7,691,000**	**$7,691,000**	**0.00**	**0.00**
03	**Juvenile correctional services**				
	01 General program operations	$900	$900	0.00	0.00
	23 Juvenile operations	$258,800	$258,800	0.00	0.00
	29 Juvenile community supervision	$4,400	$4,400	0.00	0.00
	Juvenile correctional services SubTotal	**$264,100**	**$264,100**	**0.00**	**0.00**
	Night and Weekend Differential Pay SubTotal	**$7,955,100**	**$7,955,100**	**0.00**	**0.00**
	Agency Total	**$7,955,100**	**$7,955,100**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**3008**	**Night and Weekend Differential Pay**				
	GPR	S	$7,650,800	$7,650,800	0.00	0.00
	PR	S	$304,300	$304,300	0.00	0.00
	Total		**$7,955,100**	**$7,955,100**	**0.00**	**0.00**
Agency Total			**$7,955,100**	**$7,955,100**	**0.00**	**0.00**

Decision Item (DIN) - 3011

Decision Item (DIN) Title - Minor Transfers Within the Same Alpha Appropriation

NARRATIVE

Standard Budget Adjustment - Minor Transfers Within the Same Alpha Appropriation

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	3011	Minor Transfers Within the Same Alpha Appropriation

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$0	$0
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$0**	**$0**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	3011	**Minor Transfers Within the Same Alpha Appropriation**			
01	**Adult correctional services**				
	01 General program operations	$0	$0	0.00	0.00
	02 Services for community corrections	$0	$0	0.00	0.00
	30 Canteen operations	$0	$0	0.00	0.00
	31 Correctional farms	$0	$0	0.00	0.00
	Adult correctional services SubTotal	**$0**	**$0**	**0.00**	**0.00**
03	**Juvenile correctional services**				
	01 General program operations	$0	$0	0.00	0.00
	23 Juvenile operations	$0	$0	0.00	0.00
	Juvenile correctional services SubTotal	**$0**	**$0**	**0.00**	**0.00**
	Minor Transfers Within the Same Alpha Appropriation SubTotal	**$0**	**$0**	**0.00**	**0.00**
	Agency Total	**$0**	**$0**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**3011**	**Minor Transfers Within the Same Alpha Appropriation**				
	GPR	S	$0	$0	0.00	0.00
	PR	S	$0	$0	0.00	0.00
	Total		**$0**	**$0**	**0.00**	**0.00**
Agency Total			**$0**	**$0**	**0.00**	**0.00**

Decision Item (DIN) - 4502

Decision Item (DIN) Title - Food

NARRATIVE

The Department of Corrections requests ($725,500) GPR and ($28,000) PR in FY18 and ($89,400) GPR and ($17,000) PR in FY19 for food costs associated with adult and juvenile correctional facilities. The ADP used in calculating food costs is 22,785 in FY18 and 22,820 in FY19 for adults and 224 in FY18 and 224 in FY19 for juveniles.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	4502	Food

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$0	$0
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	($753,500)	($106,400)
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**($753,500)**	**($106,400)**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	4502	**Food**			
01	**Adult correctional services**				
	01 General program operations	($725,500)	($89,400)	0.00	0.00
	Adult correctional services SubTotal	**($725,500)**	**($89,400)**	**0.00**	**0.00**
03	**Juvenile correctional services**				
	23 Juvenile operations	($28,000)	($17,000)	0.00	0.00
	Juvenile correctional services SubTotal	**($28,000)**	**($17,000)**	**0.00**	**0.00**
	Food SubTotal	**($753,500)**	**($106,400)**	**0.00**	**0.00**
	Agency Total	**($753,500)**	**($106,400)**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**4502**	**Food**				
	GPR	S	($725,500)	($89,400)	0.00	0.00
	PR	S	($28,000)	($17,000)	0.00	0.00
	Total		**($753,500)**	**($106,400)**	**0.00**	**0.00**
Agency Total			**($753,500)**	**($106,400)**	**0.00**	**0.00**

Decision Item (DIN) - 4503

Decision Item (DIN) Title - Rent

NARRATIVE

The Department of Corrections requests $5,159,900 GPR and ($66,200) PR in FY18 and $5,997,800 GPR and ($55,500) PR in FY19 for rent expenses and related supplies and services expenses.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	4503	Rent

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	($61,800)	($55,400)
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$5,155,500	$5,997,700
17	**Total Cost**	**$5,093,700**	**$5,942,300**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	4503	**Rent**			
01	**Adult correctional services**				
	01 General program operations	$112,100	$226,900	0.00	0.00
	02 Services for community corrections	$5,108,000	$5,829,000	0.00	0.00
	12 Becky Young community corrections; recidivism reduction community services	($13,600)	($13,600)	0.00	0.00
	22 Waupun central warehouse	$100	$200	0.00	0.00
	24 Home detention services; supv	$400	$800	0.00	0.00
	31 Correctional farms	($88,900)	($88,400)	0.00	0.00
	32 Operations and maintenance	$12,700	$25,800	0.00	0.00
	34 Prison industries	$5,500	$11,100	0.00	0.00
	39 Administration of restitution	$1,000	$2,000	0.00	0.00
	80 Correctional officer training	($9,800)	($9,800)	0.00	0.00
	85 General operations	$100	$100	0.00	0.00
	Adult correctional services SubTotal	**$5,127,600**	**$5,984,100**	**0.00**	**0.00**
02	**Parole commission**				
	01 General program operations	($45,100)	($43,600)	0.00	0.00
	Parole commission SubTotal	**($45,100)**	**($43,600)**	**0.00**	**0.00**
03	**Juvenile correctional services**				
	01 General program operations	($1,500)	($900)	0.00	0.00
	22 Juvenile aftercare	($68,100)	($68,100)	0.00	0.00
	23 Juvenile operations	$6,500	$7,400	0.00	0.00
	29 Juvenile community supervision	$74,300	$63,400	0.00	0.00
	Juvenile correctional services SubTotal	**$11,200**	**$1,800**	**0.00**	**0.00**
	Rent SubTotal	**$5,093,700**	**$5,942,300**	**0.00**	**0.00**
	Agency Total	**$5,093,700**	**$5,942,300**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**4503**	**Rent**				
	GPR	S	$5,159,900	$5,997,800	0.00	0.00
	PR	S	($66,200)	($55,500)	0.00	0.00
	Total		**$5,093,700**	**$5,942,300**	**0.00**	**0.00**
Agency Total			**$5,093,700**	**$5,942,300**	**0.00**	**0.00**

Decision Item (DIN) - 4510

Decision Item (DIN) Title - Variable Non-Food

NARRATIVE

The Department of Corrections requests $730,500 GPR and ($176,400) PR in FY18 and $765,700 GPR and ($176,400) PR in FY19 for variable non-food costs for DOC adult and juvenile correctional facilities. Variable non-food expenditures were calculated using a per capita rate of $1,007 per adult. Variable non-food expenditures were calculated using a per capita rate of $867 or $1,731 per juvenile, depending on location. Variable non-food funding is utilized for items such as inmate wages, bedding, kitchen utensils, and clothing.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	4510	Variable Non-Food

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$0	$0
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$554,100	$589,300
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$554,100**	**$589,300**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	4510	**Variable Non-Food**			
01	**Adult correctional services**				
	01 General program operations	$730,500	$765,700	0.00	0.00
	Adult correctional services SubTotal	**$730,500**	**$765,700**	**0.00**	**0.00**
03	**Juvenile correctional services**				
	23 Juvenile operations	($176,400)	($176,400)	0.00	0.00
	Juvenile correctional services SubTotal	**($176,400)**	**($176,400)**	**0.00**	**0.00**
	Variable Non-Food SubTotal	**$554,100**	**$589,300**	**0.00**	**0.00**
	Agency Total	**$554,100**	**$589,300**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**4510**	**Variable Non-Food**				
	GPR	S	$730,500	$765,700	0.00	0.00
	PR	S	($176,400)	($176,400)	0.00	0.00
	Total		**$554,100**	**$589,300**	**0.00**	**0.00**
Agency Total			**$554,100**	**$589,300**	**0.00**	**0.00**

Decision Item (DIN) - 4513

Decision Item (DIN) Title - Variable Non-Food Health

NARRATIVE

The Department of Corrections requests $16,490,800 GPR and $531,200 PR in FY18 and $20,117,900 GPR and $552,000 PR in FY19 for adult and juvenile variable non-food health services. The adult amounts were calculated using an annual per capita cost of $3,391 in FY18 and $3,545 in FY19. The juvenile amounts for routine health care costs were calculated using an annual per capita cost of $1,856 in FY18 and $1,948 in FY19.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	4513	Variable Non-Food Health

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$5,491,200	$5,491,200
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$11,530,800	$15,178,700
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$17,022,000**	**$20,669,900**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	4513	**Variable Non-Food Health**			
01	**Adult correctional services**				
	01 General program operations	$16,490,800	$20,117,900	0.00	0.00
	Adult correctional services SubTotal	**$16,490,800**	**$20,117,900**	**0.00**	**0.00**
03	**Juvenile correctional services**				
	23 Juvenile operations	$531,200	$552,000	0.00	0.00
	Juvenile correctional services SubTotal	**$531,200**	**$552,000**	**0.00**	**0.00**
	Variable Non-Food Health SubTotal	**$17,022,000**	**$20,669,900**	**0.00**	**0.00**
	Agency Total	**$17,022,000**	**$20,669,900**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**4513**	**Variable Non-Food Health**				
	GPR	S	$16,490,800	$20,117,900	0.00	0.00
	PR	S	$531,200	$552,000	0.00	0.00
	Total		**$17,022,000**	**$20,669,900**	**0.00**	**0.00**
Agency Total			**$17,022,000**	**$20,669,900**	**0.00**	**0.00**

Decision Item (DIN) - 4514

Decision Item (DIN) Title - Full Funding Contract Beds

NARRATIVE

The Department of Corrections requests $8,630,500 GPR in FY18 and $31,382,100 in FY19 to fully fund contract beds for adult offenders. The Department is projecting a need for 695 contract inmate beds and 500 Extended Supervision (ES) sanction beds per day in FY18 and 1,902 contract inmate beds and 500 ES Sanction Beds per day in FY19. The Department is also requesting funding for Federal beds, temporary lockup of center system inmates at local county jails, and inmates with adult commitments who are placed in secured juvenile institutions.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	4514	Full Funding Contract Beds

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$8,630,500	$31,382,100
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$8,630,500**	**$31,382,100**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	4514	**Full Funding Contract Beds**			
01	**Adult correctional services**				
	14 Corrections contracts and agreements	$8,630,500	$31,382,100	0.00	0.00
	Adult correctional services SubTotal	**$8,630,500**	**$31,382,100**	**0.00**	**0.00**
	Full Funding Contract Beds SubTotal	**$8,630,500**	**$31,382,100**	**0.00**	**0.00**
	Agency Total	**$8,630,500**	**$31,382,100**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**4514**	**Full Funding Contract Beds**				
	GPR	S	$8,630,500	$31,382,100	0.00	0.00
	Total		**$8,630,500**	**$31,382,100**	**0.00**	**0.00**
Agency Total			**$8,630,500**	**$31,382,100**	**0.00**	**0.00**

Decision Item (DIN) - 4520

Decision Item (DIN) Title - GPS Tracking Re-Estimate

NARRATIVE

The Department of Corrections requests $1,129,700 GPR, $51,200 PR, and 2.00 GPR FTE in FY18 and $1,577,300 GPR, $67,900 PR, and 4.00 GPR FTE in FY19 for Global Positioning System (GPS) monitoring equipment and staff.

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Issue Paper

Topic: DIN 4520 - GPS Tracking Re-Estimate

Request

The Department of Corrections (DOC), requests $1,129,700 GPR, $51,200 PR, and 2.00 GPR FTE in FY18 and $1,577,300 GPR, $67,900 PR, and 4.00 GPR FTE in FY19 for Global Positioning System (GPS) monitoring equipment.

Problem Description

In the 2015-17 Biennial Budget, the Department received an increase to equipment funding, thereby supporting a GPS population level up to 1,000 offenders. As of June 1, 2016 there were 1,310 offenders on GPS tracking. Offenders on GPS tracking are anticipated to increase to endpoint populations of 1,717 for FY18 and 1,920 for FY19. Additional funds are necessary to provide for the GPS monitoring of the anticipated population.

Background

Created by 2005 Act 431 and effective on July 1, 2007, DOC must use GPS tracking for individuals convicted of, or found not guilty of or responsible for by reason of mental disease or defect, a serious child sex offense. 2011 Act 266 authorized a court to order GPS tracking for persons who violate a domestic abuse or harassment temporary restraining order or injunction.

Nationwide in 2006, 23 states used GPS monitoring as a community supervision tool.[1] GPS monitoring provides the location of the offender wearing the technology while in the community. GPS is seen as a tool to aid in reintegration to the community by promoting compliance with the conditions of supervision and treatment. In addition, the technology provides the tracking agency with a level of control associated with being able to track the movement patterns of the offenders and simply wearing the device reminds the offender that they are being tracked.

In addition to statutorily required populations, Wisconsin statute 301.48(2g) gives the Department the discretion to determine if GPS monitoring may be appropriate in other instances. An example is a sex offender who has not been ordered by the court to be placed on GPS monitoring. The Department places an offender on discretionary GPS based on the following factors:

- prior offenses
- current offense(s)
- current situation or status, such as new release or homeless sex offender
- risk level to reoffend determined by COMPAS

[1] Gies, Stephen, et al. *Monitoring High-Risk Sex Offenders With GPS Technology: An Evaluation of the California Supervision Program, Final Report.* April 2012

DOC also provides GPS monitoring for the Department of Health Services (DHS) to monitor DHS clients on supervised release (also known as ch. 980 releases). DHS contracts with DOC for this service.

The Division of Community Corrections (DCC) Administrative Directive 13-11 *GPS Tracking Fees* defines five of the six classifications of offenders on GPS monitoring:

1. *Discretionary: This is a method of supervision used by DOC. Discretionary GPS offenders go through a screening process starting with the Agent of Record and progressing through the Corrections Field Supervisor, Regional Chief, and finally the GPS specialist for final approval.*
2. *DHS: DHS and DOC have an agreement to which DOC will supervise DHS Supervised Release clients on GPS. DOC bills DHS monthly for reimbursement of actual and full GPS supervision costs.*
3. *Mandatory Life: Offenders who are on active supervision and are statutorily required to have lifetime GPS tracking.*
4. *2011 WI Act 266: Offenders who are on active supervision and have been convicted of Violation of a Harassment or Domestic Abuse Restraining Order or injunction and have been court ordered to comply with GPS as a condition of supervision.*
5. *Maximum Discharge: Offenders who have discharged from active supervision and are statutorily required to have lifetime GPS tracking.*

Juveniles under the supervision of the Division of Juvenile Corrections (DJC) can also be placed on GPS monitoring and are a sixth category. DJC is charged by DCC for the cost of monitoring the youths. Offenders falling under the discretionary and DHS categories are not charged fees. Offenders in the mandatory life, Act 266, and maximum discharge categories are charged monthly fees based on their combined monthly household income. Offenders can be charged one of these amounts listed in the schedule: $0, $50, $120, $240.

Offenders on statutorily required GPS monitoring are required to pay a fee to offset the cost of the monitoring equipment. Historically, the Department has assumed 5% of the total equipment cost would be recouped in PR dollars. In FY15, DCC received $178,200 in PR revenue from the GPS monitoring fee. These dollars are credited to numeric appropriation 191 (§20.410(1)(gk)).

DOC received staff and funding for GPS tracking of certain sex offenders in the 2007-09, 2009-11, and 2013-15 Biennial Budgets. DOC only received funding for equipment in the 2015-17 Biennial Budget. Since GPS was first implemented in 2007 the population tracked has increased from 156 offenders to a projected 1,513 at the end of FY17 and 1,920 at the end of FY19. DOC currently has funding for equipment to track up to 1,000 offenders and staff to track up to 929 offenders.

Analysis

As offender populations tracked by GPS increase, the funding and staffing levels have typically increased proportionately in order to effectively monitor offenders and ensure public safety. Prior funding and staffing requests have been based predominantly upon population projections estimated by DOC. GPS fees are charged to some offenders; however, the fees collected do not offset all staffing and equipment costs borne by DOC. For this reason, only a small portion of the funding is requested as PR. Based on the population

increases over the past three years, a new population forecast has been projected for budgetary purposes. Table 1 shows the projections for the 2017-19 Biennial Budget.

Table. 1. GPS Population: Actuals & Projections

Current Budgeted Population	**June 2016 Actual Population**	**Projected FY17 Population**	**Projected FY18 Population**	**Projected FY19 Population**
1,000	1,310	1,513	1,717	1,920

The Department is requesting:

1) equipment for the entire projected GPS population and
2) staff for the population that DOC is statutorily required to supervise using GPS.

For purposes of estimating the number of staff needed for the statutorily required population, data in Table 2 was used and for purposes of estimating equipment for the entire projected population, data in Table 1 was used. The current methodology uses a ratio of 1 post (5.00 FTE) for every 250 pieces of equipment. Applying that methodology to projected populations in Table 2, and subtracting positions already in the Department for GPS monitoring, the Department estimates that 2.00 FTE are needed for FY18 and 4.00 FTE are needed for FY19. This would provide the necessary level of staffing to monitor the population that DOC is statutorily required to track using GPS. Any populations being monitored under DOC's discretion would be tracked using existing staff resources, and equipment included in this request.

Table 2. GPS Population: Actuals & Projections without Discretionary Population

Current Budgeted Population	June 2016 Actual Population	Projected FY17 Population	Projected FY18 Population	Projected FY19 Population
1,000	1,310	1,405	1,500	1,594

A combination of factors has led to an increased need for the Department to use discretionary GPS for certain offenders. A number of communities over time have adopted restrictive residency restrictions for sex offenders, including the city of Milwaukee which became effective October 7, 2014. These policies have contributed to an increase in the number of homeless sex offenders.

Agents work with offenders to develop a housing plan for implementation after release. Housing plans are not always successfully developed for various reasons, with one being sex offender residency restrictions. The Department therefore uses Discretionary GPS for any sex offender required to register under s.301.45 on active supervision who does not have an approved residency plan, allowing the Department to track the offenders' locations without nightly incarceration. Homeless sex offenders now make up 53% of the offenders on discretionary GPS.

The Department does not support the elimination of discretionary GPS due to the public safety risks that it may pose. Discretionary monitoring, especially, provides the Department with the tools to monitor offenders who may benefit from such supervision. An example would be an offender who threatens the safety of a Probation & Parole Agent; another instance would be an offender with a history of stalking.

Table 3 shows electronic monitoring center staffing as of June 11, 2016.

Table 3. Electronic Monitoring Center Staff as of June 11, 2016

POSITION	FTE
CORR ADMIN UNIT SUPV	1.00
CORR COMMUNICATION OPER	64.00
CORR COMMUNICATION SUPV	9.00
CORR SVCS SUPERVISOR	1.00
OPERATIONS PROGRAM ASSOCIATE	1.00
TOTAL FTE	**76.00**

Overtime in the monitoring center has increased 11% from FY15 to FY16; however, the total amount is relatively small with a total of $56,147 paid in FY16 from Pay Period 15 through Pay Period 10. When compared to the same timeframe in FY14, overtime costs have decreased 65% in FY16. Overtime costs may increase if the projected populations are realized and no additional staff is added to the monitoring center.

Summary

	FY 18		FY 19	
	Funding	FTE	Funding	FTE
GPR	$1,129,700	2.00	$1,577,300	4.00
PR	$51,200	0.00	$67,900	0.00
TOTAL	$1,180,900	2.00	$1,645,200	4.00

Prepared by: Emily Lindsey, Budget and Policy Analyst
240-5413

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	4520	GPS Tracking Re-Estimate

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$57,900	$128,300
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$75,700	$123,800
06	Supplies and Services	$1,029,800	$1,373,000
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$15,600	$15,600
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$1,900	$4,500
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$1,180,900**	**$1,645,200**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	2.00	4.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	4520	**GPS Tracking Re-Estimate**			
01	**Adult correctional services**				
	01 General program operations	$1,900	$4,500	0.00	0.00
	02 Services for community corrections	$1,127,800	$1,572,800	2.00	4.00
	91 GPS devices-sex offenders	$51,200	$67,900	0.00	0.00
	Adult correctional services SubTotal	**$1,180,900**	**$1,645,200**	**2.00**	**4.00**
	GPS Tracking Re-Estimate SubTotal	**$1,180,900**	**$1,645,200**	**2.00**	**4.00**
	Agency Total	**$1,180,900**	**$1,645,200**	**2.00**	**4.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**4520**	**GPS Tracking Re-Estimate**				
	GPR	S	$1,129,700	$1,577,300	2.00	4.00
	PR	S	$51,200	$67,900	0.00	0.00
	Total		**$1,180,900**	**$1,645,200**	**2.00**	**4.00**
Agency Total			**$1,180,900**	**$1,645,200**	**2.00**	**4.00**

Decision Item (DIN) - 4530

Decision Item (DIN) Title - Risk Management Premium Re-Estimate

NARRATIVE

The Department of Corrections requests $2,184,500 GPR and $125,200 PR in FY18 and $2,184,500 GPR and $125,200 PR in FY19 for re-estimating risk management premium costs associated with liability, property, and workers compensation programs for the Department.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	4530	Risk Management Premium Re-Estimate

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$2,309,700	$2,309,700
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$2,309,700**	**$2,309,700**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	4530	**Risk Management Premium Re-Estimate**			
01	**Adult correctional services**				
	01 General program operations	$1,973,400	$1,973,400	0.00	0.00
	02 Services for community corrections	$202,900	$202,900	0.00	0.00
	03 Services for drunken driving offenders	$4,100	$4,100	0.00	0.00
	22 Waupun central warehouse	$9,400	$9,400	0.00	0.00
	34 Prison industries	$6,000	$6,000	0.00	0.00
	35 Central generating plant	$4,800	$4,800	0.00	0.00
	66 Correctional institution enterprises; inmate activities and employment	$200	$200	0.00	0.00
	67 Interagency and intra-agency programs	$1,700	$1,700	0.00	0.00
	81 Victim services and programs	$300	$300	0.00	0.00
	Adult correctional services SubTotal	**$2,202,800**	**$2,202,800**	**0.00**	**0.00**
02	**Parole commission**				
	01 General program operations	$700	$700	0.00	0.00
	Parole commission SubTotal	**$700**	**$700**	**0.00**	**0.00**
03	**Juvenile correctional services**				
	01 General program operations	$3,400	$3,400	0.00	0.00
	23 Juvenile operations	$80,300	$80,300	0.00	0.00
	29 Juvenile community supervision	$22,500	$22,500	0.00	0.00
	Juvenile correctional services SubTotal	**$106,200**	**$106,200**	**0.00**	**0.00**
	Risk Management Premium Re-Estimate SubTotal	**$2,309,700**	**$2,309,700**	**0.00**	**0.00**
	Agency Total	**$2,309,700**	**$2,309,700**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**4530**	**Risk Management Premium Re-Estimate**				
	GPR	S	$2,184,500	$2,184,500	0.00	0.00
	PR	S	$125,200	$125,200	0.00	0.00
	Total		**$2,309,700**	**$2,309,700**	**0.00**	**0.00**
Agency Total			**$2,309,700**	**$2,309,700**	**0.00**	**0.00**

Decision Item (DIN) - 4531

Decision Item (DIN) Title - Technology Budget Shortfalls

NARRATIVE

The Department of Corrections requests $1,696,900 GPR and $279,200 PR in FY18 and $1,696,900 GPR and $279,200 PR in FY19 to reflect increased expenses related to information technology.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	4531	Technology Budget Shortfalls

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$1,976,100	$1,976,100
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$1,976,100**	**$1,976,100**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	4531	**Technology Budget Shortfalls**			
01	**Adult correctional services**				
	01 General program operations	$1,681,600	$1,681,600	0.00	0.00
	22 Waupun central warehouse	$9,200	$9,200	0.00	0.00
	31 Correctional farms	$22,300	$22,300	0.00	0.00
	34 Prison industries	$51,500	$51,500	0.00	0.00
	35 Central generating plant	$6,400	$6,400	0.00	0.00
	85 General operations	$36,200	$36,200	0.00	0.00
	87 Probation, parole and extended supervision	$47,900	$47,900	0.00	0.00
	Adult correctional services SubTotal	**$1,855,100**	**$1,855,100**	**0.00**	**0.00**
03	**Juvenile correctional services**				
	01 General program operations	$15,300	$15,300	0.00	0.00
	23 Juvenile operations	$92,500	$92,500	0.00	0.00
	29 Juvenile community supervision	$13,200	$13,200	0.00	0.00
	Juvenile correctional services SubTotal	**$121,000**	**$121,000**	**0.00**	**0.00**
	Technology Budget Shortfalls SubTotal	**$1,976,100**	**$1,976,100**	**0.00**	**0.00**
	Agency Total	**$1,976,100**	**$1,976,100**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**4531**	**Technology Budget Shortfalls**				
	GPR	S	$1,696,900	$1,696,900	0.00	0.00
	PR	S	$279,200	$279,200	0.00	0.00
	Total		**$1,976,100**	**$1,976,100**	**0.00**	**0.00**
Agency Total			**$1,976,100**	**$1,976,100**	**0.00**	**0.00**

Decision Item (DIN) - 4532

Decision Item (DIN) Title - Repair and Maintenance for Institutions

NARRATIVE

The Department of Corrections requests $412,900 GPR in FY18 and $602,300 GPR in FY19 for re-estimating repair and maintenance costs associated with adult facilities. The cost increases reflect necessary services, materials, and parts to keep adult facilities operational.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	4532	Repair and Maintenance for Institutions

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$412,900	$602,300
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$412,900**	**$602,300**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	4532	**Repair and Maintenance for Institutions**			
01	**Adult correctional services**				
	10 Institutional repair and maintenance	$412,900	$602,300	0.00	0.00
	Adult correctional services SubTotal	**$412,900**	**$602,300**	**0.00**	**0.00**
	Repair and Maintenance for Institutions SubTotal	**$412,900**	**$602,300**	**0.00**	**0.00**
	Agency Total	**$412,900**	**$602,300**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**4532**	**Repair and Maintenance for Institutions**				
	GPR	S	$412,900	$602,300	0.00	0.00
	Total		**$412,900**	**$602,300**	**0.00**	**0.00**
Agency Total			**$412,900**	**$602,300**	**0.00**	**0.00**

Decision Item (DIN) - 4533

Decision Item (DIN) Title - DHA and Act 355 Offset

NARRATIVE

The Department of Corrections requests $3,701,100 GPR and ($1,401,100) PR in FY18 and $3,701,100 GPR and ($1,401,100) PR in FY19 to offset increased expenditures resulting from 2015 Act 55 and anticipated revenue shortfalls resulting from 2015 Act 355.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	4533	DHA and Act 355 Offset

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$2,300,000	$2,300,000
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$2,300,000**	**$2,300,000**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	4533	**DHA and Act 355 Offset**			
01	**Adult correctional services**				
	02 Services for community corrections	$3,701,100	$3,701,100	0.00	0.00
	87 Probation, parole and extended supervision	($1,401,100)	($1,401,100)	0.00	0.00
	Adult correctional services SubTotal	**$2,300,000**	**$2,300,000**	**0.00**	**0.00**
	DHA and Act 355 Offset SubTotal	**$2,300,000**	**$2,300,000**	**0.00**	**0.00**
	Agency Total	**$2,300,000**	**$2,300,000**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**4533**	**DHA and Act 355 Offset**				
	GPR	S	$3,701,100	$3,701,100	0.00	0.00
	PR	S	($1,401,100)	($1,401,100)	0.00	0.00
	Total		**$2,300,000**	**$2,300,000**	**0.00**	**0.00**
Agency Total			**$2,300,000**	**$2,300,000**	**0.00**	**0.00**

Decision Item (DIN) - 4534

Decision Item (DIN) Title - Wastewater Treatment Plant Improvements

NARRATIVE

The Department of Corrections requests $597,200 GPR in FY18 and $597,200 GPR in FY19 for the state's share of annual payments for improvements to the wastewater treatment facilities serving Jackson Correctional Institution and New Lisbon Correctional Institution.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	4534	Wastewater Treatment Plant Improvements

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$597,200	$597,200
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$597,200**	**$597,200**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	4534	**Wastewater Treatment Plant Improvements**			
01	**Adult correctional services**				
	01 General program operations	$597,200	$597,200	0.00	0.00
	Adult correctional services SubTotal	**$597,200**	**$597,200**	**0.00**	**0.00**
	Wastewater Treatment Plant Improvements SubTotal	**$597,200**	**$597,200**	**0.00**	**0.00**
	Agency Total	**$597,200**	**$597,200**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**4534**	**Wastewater Treatment Plant Improvements**				
	GPR	S	$597,200	$597,200	0.00	0.00
	Total		**$597,200**	**$597,200**	**0.00**	**0.00**
Agency Total			**$597,200**	**$597,200**	**0.00**	**0.00**

Decision Item (DIN) - 5000

Decision Item (DIN) Title - PR Re-Estimates

NARRATIVE

The Department of Corrections requests modifications in the following program revenue appropriations to match projected revenues:

($2,400,000) PR in FY18 and ($3,100,000) PR in FY19 associated with the re-estimation of appropriation 131, Correctional Farms.

$1,650,000 PR in FY18 and $3,800,000 PR in FY19 associated with the re-estimation of appropriation 134, Prison Industries.

$79,600 PR in FY18 and $79,600 PR in FY19 associated with the re-estimation of appropriation 139, Administration of Restitution.

$90,100 PR in FY18 and $90,100 PR in FY19 associated with the re-estimation of appropriation 182, Sex Offender Management.

$300,000 PR in FY18 and $300,000 PR in FY19 associated with the re-estimation of appropriation 184, Telephone Company Commissions.

$1,100,000 PR in FY18 and $1,100,000 PR in FY19 associated with the re-estimation of appropriation 185, General Operations.

($4,721,900) PR in FY18 and ($4,721,900) PR in FY19 associated with the re-estimation of appropriation 187, Probation, Parole, and Extended Supervision.

$18,000 PR in FY18 and $14,000 PR in FY19 associated with the re-estimation of appropriation 321, Purchase of Services-Community.

$580,400 PR in FY18 and $1,064,900 PR in FY19 associated with the re-estimation of appropriation 324, Juvenile Alternate Care Services.

($257,400) PR in FY18 and ($252,400) PR in FY19 associated with the re-estimation of appropriation 326, Juvenile Utilities and Heating.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5000	PR Re-Estimates

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$2,962,300	$5,117,300
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$598,400	$1,078,900
10	Local Assistance	$0	$0
11	One-time Financing	($2,400,000)	($3,100,000)
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	($4,721,900)	($4,721,900)
17	**Total Cost**	**($3,561,200)**	**($1,625,700)**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5000	**PR Re-Estimates**			
01	**Adult correctional services**				
	31 Correctional farms	($2,400,000)	($3,100,000)	0.00	0.00
	34 Prison industries	$1,650,000	$3,800,000	0.00	0.00
	39 Administration of restitution	$79,600	$79,600	0.00	0.00
	82 Sex offender management	$90,100	$90,100	0.00	0.00
	84 Telephone company commissions	$300,000	$300,000	0.00	0.00
	85 General operations	$1,100,000	$1,100,000	0.00	0.00
	87 Probation, parole and extended supervision	($4,721,900)	($4,721,900)	0.00	0.00
	Adult correctional services SubTotal	**($3,902,200)**	**($2,452,200)**	**0.00**	**0.00**
03	**Juvenile correctional services**				
	21 Purchase of services-community	$18,000	$14,000	0.00	0.00
	24 Juvenile alt care services	$580,400	$1,064,900	0.00	0.00
	26 Juvenile utilities and heating	($257,400)	($252,400)	0.00	0.00
	Juvenile correctional services SubTotal	**$341,000**	**$826,500**	**0.00**	**0.00**
	PR Re-Estimates SubTotal	**($3,561,200)**	**($1,625,700)**	**0.00**	**0.00**
	Agency Total	**($3,561,200)**	**($1,625,700)**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5000**	**PR Re-Estimates**				
	PR	A	$580,400	$1,064,900	0.00	0.00
	PR	S	($4,141,600)	($2,690,600)	0.00	0.00
	Total		**($3,561,200)**	**($1,625,700)**	**0.00**	**0.00**
Agency Total			**($3,561,200)**	**($1,625,700)**	**0.00**	**0.00**

Decision Item (DIN) - 5100

Decision Item (DIN) Title - Realignment - Department-wide

NARRATIVE

The Department of Corrections requests the reallocation of funds and positions in FY18 and FY19 to more accurately reflect the needs of the Department.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5100	Realignment - Department-wide

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$0	$0
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$0**	**$0**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5100	**Realignment - Department-wide**			
03	**Juvenile correctional services**				
	22 Juvenile aftercare	($944,200)	($944,200)	(10.25)	(10.25)
	29 Juvenile community supervision	$944,200	$944,200	10.25	10.25
	Juvenile correctional services SubTotal	**$0**	**$0**	**0.00**	**0.00**
	Realignment - Department-wide SubTotal	**$0**	**$0**	**0.00**	**0.00**
	Agency Total	**$0**	**$0**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5100**	**Realignment - Department-wide**				
	PR	S	$0	$0	0.00	0.00
	Total		**$0**	**$0**	**0.00**	**0.00**
Agency Total			**$0**	**$0**	**0.00**	**0.00**

Decision Item (DIN) - 5301

Decision Item (DIN) Title - Unit D Staffing at REECC

NARRATIVE

The Department of Corrections requests $1,298,700 GPR and 3.00 GPR FTE in FY18 and $1,343,000 GPR and 3.00 GPR FTE in FY19 to fund the recently opened Unit D at Robert E. Ellsworth Correctional Center in the Wisconsin Women's Correctional System.

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Issue Paper

Topic: DIN 5301 - Unit D Staffing at Robert E. Ellsworth Correctional Center (REECC)

Request

The Department of Corrections (DOC) requests $1,298,700 GPR and 3.00 GPR FTE in FY18 and $1,343,000 GPR and 3.00 GPR FTE in FY19 to fund the recently opened Unit D at REECC in the Wisconsin Women's Correctional System (WWCS). DOC is requesting only salary and fringe funding, not additional positions, to provide security in Unit D.

Problem Description

As a result of increasing female inmate populations in FY16, it was necessary for DOC to open Unit D at REECC on May 2, 2016 to create 117 additional inmate beds. DOC used existing funding, and created 18 pool-coded Correctional Officer positions because 2015 Wisconsin Act 55 (the 2015-17 biennial budget) did not provide staffing for the bed capacity needed to house the projected female inmate population. (*See Appendix 1*).

Background

DOC had recommended that its 2015-17 budgeted capacity for female inmates in state operated institutions not exceed 1,278 given existing staffing patterns and building design, however the Department's projected female population anticipated that this population would exceed 1,278. Thus, the Department's biennial budget request included funding for contract jail beds due to the estimated additional bed need. The Governor's 2015-17 Executive Budget modified the Department's request by decreasing the amount of funding requested for female contract jail beds and provided funding to open an additional housing unit at Taycheedah Correctional Institution (TCI) instead.

2015 Wisconsin Act 55 included a projected female Average Daily Population (ADP) of 1,381 inmates in FY16 and 1,442 inmates in FY17. Act 55 provided the DOC with $354,500 GPR in FY16 and $365,600 GPR in FY17 to open Addams Hall at TCI and house 60 additional female inmates, which increased the female inmate capacity in DOC facilities to 1,338. Some contract jail bed funding was also provided for the remainder of the forecasted population.

Additional funding was also provided in Act 55 for food, variable non-food, and health costs for the projected 1,381 inmates in FY16 and 1,442 inmates in FY17. DOC had a FY16 ADP of 1,434 female inmates. As seen in Table 1, the female inmate population has been steadily growing since FY13.

Table 1 – Female ADP Inmate Growth
(FY05 to FY15)

Fiscal Year	Female ADP	% Change
FY05	1,308	
FY06	1,271	-2.8%
FY07	1,348	6.1
FY08	1,423	5.6
FY09	1,361	-4.4
FY10	1,302	-4.3
FY11	1,231	-5.5
FY12	1,193*	-3.1
FY13	1,233	3.4
FY14	1,258	2.0
FY15	1,322	5.1
FY16	1,434	6.0

*During this year John Burke Correctional Center (capacity of 186 inmates) transitioned to a male facility

As populations continued to increase, the Department chose to open Unit D (formerly called the Southern Oaks Correctional (SOC) Annex) on May 2, 2016. The population has increased throughout WWCS, especially at TCI, where it went from an ADP of 738 in FY14 to 877 in FY16 (60 beds were added at Addams Hall). The overcrowding reduces both safety in the institution and the availability of programming for inmates that helps reduce recidivism.

Had Unit D not been opened, the Division of Adult Institutions (DAI) would have been short on average 96 inmate beds in FY16. If the population were to reach projected levels in FY17, the DOC would be 104 inmate beds short (without the opening of Unit D).

	FY16 Female Population	**FY17 Female Population**
Estimated Prison Population	1,434*	1,442
Current Female Capacity	1,278	1,278
Female Inmates Exceeding Capacity	156	164
Additional Inmate Beds at Addams Hall	60	60
Number of Female Inmate Beds Needed Prior to Opening of Unit D	96	104

*FY16 ADP

The DOC utilizes 18.00 FTE pool coded Correctional Officers to provide security for Unit D. DOC is requesting the following 3.00 FTE to add non-security positions to Unit D on a permanent basis:

Nurse Clinician 2	1.00 FTE
Psychologist Associate	1.00 FTE
Social Worker - Corrections	1.00 FTE

These positions would treat the additional inmates' social services, medical, and mental health needs. Unit D houses up to a maximum female population of 84 inmates. The WWCS has also moved classes previously at REECC to Unit D and converted the REECC classroom space into 33 additional beds. Thus, opening Unit D created an additional 117 beds.

Analysis

The following areas have been identified as the reasons that additional funding and staff are needed at Unit D at REECC:

1. Increased female population: The female ADP was projected to be 1,381 in FY16 but was actually 1,434. Variability in the female population is harder to manage than in the male population because there is only one prison, TCI, which houses maximum and medium security inmates. TCI can currently be considered overcrowded as it has increased its population from an ADP of 738 in FY14 to 873 as of July 29, 2016.

The increased population at TCI has detrimental effects on the prison. Institutional security may be affected as an increase in the inmate population results in each Correctional Officer being responsible for the oversight of more inmates. There is also decreased programming availability to inmates, and programming has been shown to help reduce recidivism.

2. DOC does not want female inmates in county jail beds: The DOC stopped placing female inmates in contract jail beds in 2001-2002. The DOC made this decision because of the political unpopularity of the program and the difficulty of finding jail beds in the state that would provide the correct classification for the female inmates. Prisons, unlike jails, are designed to house inmates for longer periods of time and have programming that helps reduce recidivism. If the DOC does not receive funding to keep Unit D open, and the female population continues to grow, the DOC would have to consider placing female inmates in contract county jail beds.

3. Pool-coded positions are unfunded: The Department created 18 Correctional Officer pool codes to open Unit D. Pool-coded positions are not funded, need to be re-approved on an annual basis, and there are no actual FTE associated with the positions. Due to vacancies, the Department had surplus salary and fringe benefits funds available to pay for these positions in FY16, but there are no guarantees there will be surplus funds available in future years.

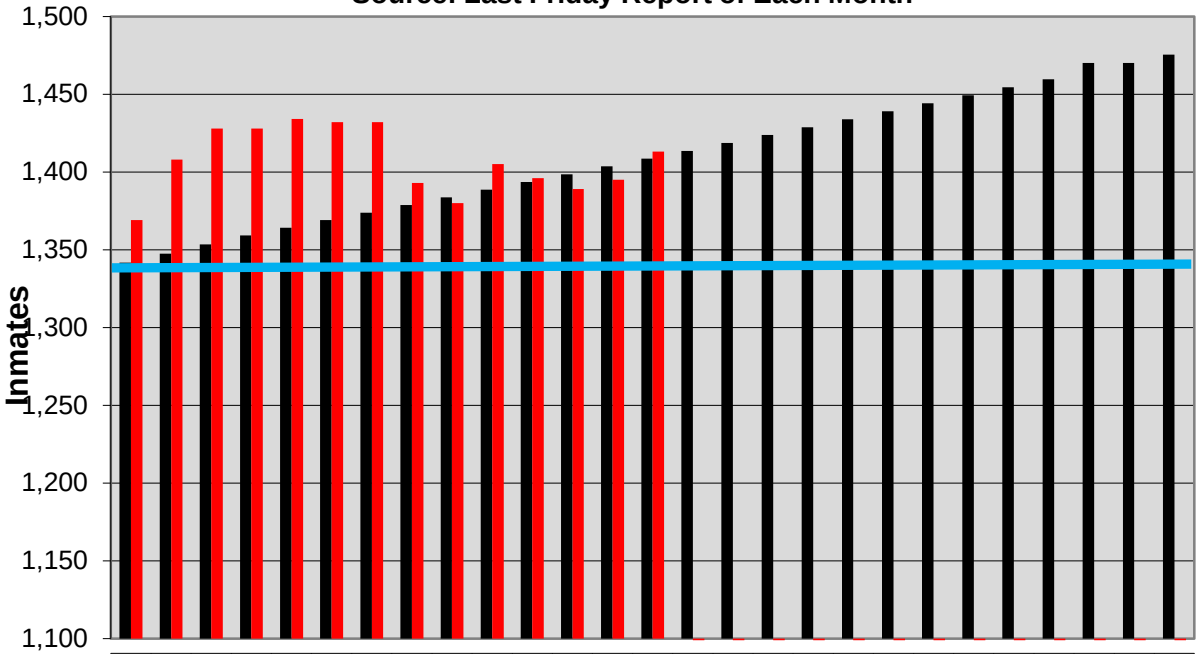
Summary

	FY 18		FY 19	
	Funding	FTE	Funding	FTE
GPR	$1,298,700	3.00	$1,343,000	3.00
TOTAL	$1,298,700	3.00	$1,343,000	3.00

Prepared by: Jake Jokisch, Budget & Policy Analyst
240-5415

Appendix 1

COMPARISON OF DOC FEMALE POPULATION TO 2015 ACT 55 FORECAST
April 2015 to Present
Source: Last Friday Report of Each Month



	Apr-15	May-15	Jun-15	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	Jul-16	Aug-16	Sep-16	Oct-16	Nov-16	Dec-16	Jan-17	Feb-17	Mar-17	Apr-17	May-17	Jun-17
Forecast	1,34	1,34	1,35	1,35	1,36	1,36	1,37	1,37	1,38	1,38	1,39	1,39	1,40	1,40	1,41	1,41	1,42	1,42	1,43	1,43	1,44	1,44	1,45	1,46	1,47	1,47	1,47
Females	1,36	1,40	1,42	1,42	1,43	1,43	1,43	1,39	1,38	1,40	1,39	1,38	1,39	1,41	0	0	0	0	0	0	0	0	0	0	0	0	0

Budgeted Bed Capacity

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5301	Unit D Staffing at REECC

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$792,700	$829,300
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$402,200	$419,000
06	Supplies and Services	$88,600	$92,700
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$13,700	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$1,500	$2,000
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$1,298,700**	**$1,343,000**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	3.00	3.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5301	**Unit D Staffing at REECC**			
01	**Adult correctional services**				
	01 General program operations	$1,298,700	$1,343,000	3.00	3.00
	Adult correctional services SubTotal	**$1,298,700**	**$1,343,000**	**3.00**	**3.00**
	Unit D Staffing at REECC SubTotal	**$1,298,700**	**$1,343,000**	**3.00**	**3.00**
	Agency Total	**$1,298,700**	**$1,343,000**	**3.00**	**3.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5301**	**Unit D Staffing at REECC**				
	GPR	S	$1,298,700	$1,343,000	3.00	3.00
	Total		**$1,298,700**	**$1,343,000**	**3.00**	**3.00**
Agency Total			**$1,298,700**	**$1,343,000**	**3.00**	**3.00**

Decision Item (DIN) - 5302

Decision Item (DIN) Title - Act 371 OWI Population Increases

NARRATIVE

The Department of Corrections requests $1,368,400 GPR and 25.00 GPR FTE in FY18 and $1,657,000 GPR and 25.00 GPR FTE in FY19 to treat the increased Division of Adult Institution's inmate population resulting from passage of 2015 Act 371.

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Issue Paper

Topic: DIN 5302 - Act 371 Operating While Intoxicated (OWI) Population Increases

Request

The Department of Corrections (DOC) requests $1,368,400 GPR and 25.00 GPR FTE in FY18 and $1,657,000 GPR and 25.00 GPR FTE in FY19 for increased Division of Adult Institution (DAI) population resulting from passage of 2015 Act 371.

Problem Description

DOC is projecting an increased prison population resulting from 2015 Act 371, OWI legislation making all OWI 4th offenses a Class H Felony and increasing the felony level by one for 5th and subsequent OWI offenses. Due to current prison populations, space does not exist in DOC institutions to house and provide programming for the additional projected population. In addition, programming staff are necessary to provide the alcohol and other drug abuse (AODA) treatment needed by the OWI offenders. At the end of the first year of effect, approximately 458 additional inmates will be sentenced to prison. At the end of the second year of effect, approximately 1,205 additional inmates will be sentenced to prison.

Background

OWI conviction data was obtained from the Department of Transportation for CY2015. In 2015, there were 1,859 convictions for 4th – 13th OWI offense. An in depth analysis of sentencing trends and conviction trends resulted in new assumptions (which differ from the original fiscal estimate submitted for the bill) to be utilized in population projections resulting from 2015 Act 371. The following assumptions resulted from that analysis:

1) Not all individuals convicted are sentenced to prison or probation. Based on an analysis of actual sentencing trends from FY13-15, approximately 75% of OWI 4th offenses are being sentenced to DOC each year and 100% of OWI 5th and subsequent offenses are being sentenced to DOC each year.

2) OWI convictions are not constant when comparing year-to-year data. Based on data from 2011-2015, convictions for 4th-6th offenses are decreasing and convictions for 7th-10th+ are increasing. These trends are assumed to continue and the population projection takes this into account.

3) Admissions to prison and probation vary by offense. Based on DOC admissions data from FY13-15, approximately 54% of 5th and 6th OWI offenses are being sentenced to probation and 46% are being sentenced to prison. 12% of 7th-9th OWI offenses are being sentenced to probation, while 88% are being sentenced to prison. 97% of 10th+ OWI offenses are being sentenced to prison, while 3% are being sentenced to probation. Because the severity of felony is increasing for each offense, it is

assumed that the breakdown of sentences for prison and admissions will increase as well. The current sentencing trends for OWI 5th and 6th will be applied to 4th offenses. The current sentencing trends for 7th-9th offenses will be applied to 5th and 6th offenses and so on. This translates to assuming 46% of 4th offenses will be sentenced to prison, an increase from the 11% of current 4th offense sentencing trends.

This request specifically focuses on the fiscal impact to DOC for the 2017-19 biennium.

Analysis

DAI has no beds available and is already using contract beds; as of August 19, 2016 119 contract beds are being utilized in county jails. It is believed there are not enough contract beds available around the state to house the projected populations for the overall DAI population and for the increased population due to 2015 Act 371.

DOC plans to utilize contract beds to accommodate increasing populations. Specifically, inmates without AODA treatment needs would be moved to contract beds to provide bed space for new OWI inmates. The 25.00 FTE requested here would provide AODA treatment for the additional population with an assessed AODA treatment need. It is assumed that the Drug Abuse Correctional Center (DACC) treatment model would be utilized, that treatment model includes a 20-week program. The FTE requested would break down to 23.00 FTE Social Workers, 1.00 FTE Corrections Program Supervisor, and 1.00 FTE Treatment Specialist.

Summary

	FY 18		FY 19	
	Funding	FTE	Funding	FTE
GPR	$1,368,400	25.00	$1,657,000	25.00
TOTAL	$1,368,400	25.00	$1,657,000	25.00

Prepared by: Emily Lindsey, Budget and Policy Analyst
240-5413

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5302	Act 371 OWI Population Increases

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$739,900	$986,500
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$351,400	$468,600
06	Supplies and Services	$155,200	$185,500
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$109,600	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$12,300	$16,400
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$1,368,400**	**$1,657,000**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	25.00	25.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5302	**Act 371 OWI Population Increases**			
01	**Adult correctional services**				
	01 General program operations	$1,368,400	$1,657,000	25.00	25.00
	Adult correctional services SubTotal	**$1,368,400**	**$1,657,000**	**25.00**	**25.00**
	Act 371 OWI Population Increases SubTotal	**$1,368,400**	**$1,657,000**	**25.00**	**25.00**
	Agency Total	**$1,368,400**	**$1,657,000**	**25.00**	**25.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5302**	**Act 371 OWI Population Increases**				
	GPR	S	$1,368,400	$1,657,000	25.00	25.00
	Total		**$1,368,400**	**$1,657,000**	**25.00**	**25.00**
Agency Total			**$1,368,400**	**$1,657,000**	**25.00**	**25.00**

Decision Item (DIN) - 5303

Decision Item (DIN) Title - Mental Health Staffing in Restrictive Housing

NARRATIVE

The Department of Corrections requests $268,200 GPR and 4.80 GPR FTE in FY18 and $324,400 GPR and 4.80 GPR FTE in FY19 for psychological treatment in the Restrictive Housing units at Green Bay Correctional Institution and Waupun Correctional Institution, and additional psychological service staff at Columbia Correctional Institution.

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Issue Paper

Topic: DIN 5303 - Mental Health Staffing in Restrictive Housing (RH)

Request

The Department of Corrections (DOC) requests $268,200 GPR and 4.80 GPR FTE in FY18 and $324,400 GPR and 4.80 GPR FTE in FY19 for psychological treatment in the RH units at Green Bay Correctional Institution (GBCI) and Waupun Correctional Institution (WCI), and additional psychological service staff at Columbia Correctional Institution (CCI). The positions requested would be Psychological Associates.

Problem Description

Treatment of mentally ill inmates in RH has become a national topic that is being addressed at a variety of levels. More and more states have entered into settlement agreements limiting the use of RH for inmates with serious mental illness (SMI) and/or providing the "10/10" model of treatment: at least 10 hours per week of structured therapeutic out-of-cell programing and at least 10 hours per week of out-of-cell unstructured recreation.

Fulfilling this request would constitute one step toward full implementation of the 10/10 model in DOC's male inmate population. In addition, a Psychological Services Unit (PSU) Clinician at CCI for weekend coverage would assist in reducing acts of self-harm and other conduct issues among their disproportionately high number of seriously mentally ill inmates.

Background

Mental Health professionals at DOC screen all inmates for the presence of serious mental illness (SMI) prior to transfer to the Wisconsin Secure Program Facility (WSPF). A determination of SMI at any point makes an inmate ineligible for transfer to WSPF.

Female inmates at the Taycheedah Correctional Institution (TCI) with serious mental health needs who are in either Special Management Units (SMU) or restrictive housing are provided with at least ten hours per week of structured, out-of-cell, therapeutic activities, also known as the "10/10 model". Psychological staff are utilized for individual therapy and crises management, while other classifications such as Teachers or Recreation Leaders are able to provide the staff necessary for other structured out-of-cell time.

Across the state of Wisconsin, 4.2% of all adults in 2013-14 were diagnosed with a SMI[2]. SMI is defined as having a current diagnosis of a mental health condition such as schizophrenia, delusional disorder, psychotic disorder, major depressive disorder. Division of Adult Institutions (DAI) Policy 500.70.01 categorizes all mental health conditions defined as SMI into MH-2a and MH-2b. (See Appendix I for all mental health codes.)

---

[2] Substance Abuse and Mental Health Services Administration. *Behavioral Health Barometer: Wisconsin, 2015*. HHS Publication No. SMA–16–Baro–2015–WI. Rockville, MD: Substance Abuse and Mental Health Services Administration, 2015.

As of May 6, 2016, 9% of DOC's male inmate population have a serious mental illness; more than double the general population. The distribution of the SMI population across institutions is not even, with the Wisconsin Resource Center (WRC) having the highest percent (74.13%) and Prairie du Chien Correctional Institution (PDCI) having the lowest with 0%. Table 1 below shows the SMI population for the three institutions addressed in this request.

Table 1 % SMI of all inmates	
CCI	11.99%
GBCI	12.99%
WCI	14.35%

The USDOJ published "Guiding Principles" for use of Restrictive Housing in January 2016. The principles state:

- Those inmates with serious mental illness in general should not be placed in Restrictive Housing.
- If they are placed in RH, they should "*receive enhanced opportunities for in-cell and out-of-cell therapeutic activities and additional unstructured out-of-cell time*",
- After 30 days in restrictive housing, the inmate should in most cases be transferred to alternative housing.

In February 2016, the National Institute on Corrections (NIC) provided three-day technical assistance training to DOC staff on the use of Restrictive Housing. Their recommendations to the Department are consistent with USDOJ's Guiding Principles.

The 10/10 model has been in place in the Wisconsin Women's Correctional System (WWCS) for approximately eight years. As a result of the changes made for females, inmates with serious mental health needs at TCI are afforded better access to mental health treatment and a more proactive approach that reduces rates of psychological deterioration, self-harm attempts, and need for use of force. DOC's male institutions do not currently have comparable mental health staff numbers to TCI.

The Department's Restrictive Housing Committee began its work to review and revise RH policies and practices in 2014. This work is ongoing, but concrete changes have already occurred. DAI Policy 500.70.04 "*Psychological Input to Security Decision*" was effective 5/27/2015; and requires written input from psychological staff for any inmate diagnosed as MH-2a, MH-2b, Intellectually Disabled, or having had any instance of self-harm. Policy setting disciplinary guidelines has also been altered to encourage alternative sanctions and require monthly reviews of any disciplinary dispositions resulting in RH placements longer than 120 days by DAI's Administrator with the Department's Secretary.

While these reforms are moving the Department's use of RH in general and specifically for inmates with SMI toward the national trend of the 10/10 model, additional staff is necessary for full implementation in the men's correctional institutions. Table 2 below shows the number of inmates in RH and in Administrative Confinement at GBCI, CCI, and WCI who fall under SMI, as of April 30, 2016.

Table 2. Restrictive Housing & Administrative Confinement Population with Serious Mental Illness

CCI	14
WCI	30
GBCI	25

There have been reductions in the number of inmates placed in RH with SMI, for example, CCI had 35 in 2014 and 22 in 2015. Even with the reductions, the need for additional mental health services for this population is persistent. WCI and GBCI house the largest number of inmates with SMI in RH; a total of 97 male inmates in RH on April 30, 2016 had a serious mental illness.

Since 2004, 11.00 FTE mental health staff positions have been added to male institutions within the Division of Adult Institutions (DAI), including 5.50 FTE added in 2013 Act 20 (the 2013-15 Biennial Budget). Currently, staffing levels do not allow for providing programming as called for in this request.

The 5.50 FTE added in the 2013-15 budget are providing mental health staffing at: CCI, GBCI, and WCI. These staff works in RH units to provide crises management, regular rounds, and assist the multi-disciplinary teams who determine if an inmate should be placed in RH. All of these functions provide critical support to the RH unit. Because of the number of RH inmates with SMI, there is not enough staff time to provide 10/10 programming.

Analysis

This request assumes the creation of a special diversion unit for SMI inmates in RH is created at OSCI. (DOC's request for a diversion unit at OSCI is addressed through the issue paper DIN 5304 – OSCI Mental Illness Treatment and Diversion Units). The diversion unit would allow for inmates to be transferred to OSCI and receive mental health treatment. If no unit is created, DOC estimates that the staffing needed at CCI, GBCI, and WCI would increase, bringing the total to 8.60 Psychological Associate FTE.

Table 3 shows the number of inmates, hours of 10/10 programming needed, current and requested mental health staffing if the diversion unit at OSCI is created and if it is not created.

Table. 3. Number of SMI inmates in RH and AC by Location and Staffing Needed for Programming

	OSCI Diversion Unit			***No OSCI Diversion Unit****		
	CCI	GBCI	WCI	CCI	GBCI	WCI
# of Inmates	8	12	18	8	25	30
10/10 Programming Hours Needed	N/A**	3,328	4,992		6,933	8,320
Currently Allocated Mental Health FTE* **	8.5	7.5	9.5	8.5	7.5	9.5
Requested Psychological Associates FTE	0.6	1.6	2.6	0.6	4.0	4.0

*FTE needed calculations account for individual and group treatment and administrative time. *Number of inmates as of 4/30/2016 in each institution's RH & AC population with SMI. **This request is not for implementation of 10/10 programming at CCI. ***As of May 31, 2016, PMIS PP12.*

System-wide implementation of the 10/10 model would require 14.60 FTE, using both Psychological Associate FTE and Teacher FTE. This does not account for any additional security staff necessary to accommodate treatment in the RH unit. Appendix 2 lists the entire male RH population with SMI by location on April 30, 2016.

If fulfilled, this request would not require construction at WCI or GBCI. Based on current population numbers and need, sufficient space for programming and staff already exists. Construction of additional program space and office space at other institutions may be necessary.

New construction would take at least six years to be approved by the Building Commission and completed. Remodeling current space may be possible depending on the institution. The need for construction, including remodeling or creating new space, will vary by location and the cost has not been estimated.

Some states, such as Colorado, California, Ohio, Pennsylvania, and New York have eliminated the use of RH for inmates with SMI and are instead using focused mental health treatment units. These units are similar to those in place at TCI. Such units allow inmates to be secured away from the general population and receive mental health treatment necessary for stabilization.

Staff has observed several improvements as a result of the 10/10 model at TCI such as fewer conduct reports, fewer uses of force and fewer mental health crises. If this request is fulfilled, these improvements may realize at WCI and GBCI and improvements may be seen at CCI.

Summary

	FY 18		FY 19	
	Funding	FTE	Funding	FTE
GPR	$268,200	4.80	$324,400	4.80
TOTAL	$268,200	4.80	$324,400	4.80

Prepared by: Emily Lindsey, Budget and Policy Analyst- Advanced
240-5413

APPENDIX 1: MENTAL HEALTH CLASSIFICATION CODES

MH-0 There is no current mental health need. An inmate does not need a scheduled follow-up visit with Psychological Services and is not seeing the Psychiatrist for any reason.

MH-1 Inmates who are receiving mental health services but are not considered seriously mentally ill. This code would not be appropriate for inmates who are receiving program services only, such as substance abuse or sex offender treatment and do not have other mental health needs.

MH-2A Inmates with serious mental illness based on Axis I conditions

A. Inmates with a current diagnosis of, or are in remission from, the following conditions:

Schizophrenia (all sub types)
Delusional disorder
Schizophreniform disorder
Schizoaffective disorder
Psychosis NOS
Major depressive disorders
Bipolar disorder 1 & 2

B. Inmates with current or recent symptoms of the following conditions:

Brief psychotic disorder
Substance induced psychotic disorder

C. Inmates with head injury or other neurologic impairments that result in behavioral or emotional dyscontrol.

D. Inmates with chronic and persistent mood or anxiety disorders or other conditions that lead to significant functional disability.

MH-2B Inmates with serious mental illness based on Axis II conditions

A. Inmates with a primary personality disorder that is severe, accompanied by significant functional impairment, and subject to periodic decompensation (i.e. psychosis, depression, or suicidality).

Note: Those who qualify for both MH-2A and MH-2B will be coded MH-2A.

SPECIAL CLASSIFICATION CODES

ID Intellectually Disabled. An IQ of approximately 70 or below with concurrent impairments in present adaptive functioning and age of onset before 18 years.

APPENDIX 2: Male Restrictive Housing & Administrative Confinement Population with Serious Mental Illness by Location on 4/30/2016

Current Location	MH-2A	MH-2B
Columbia Correctional Institution	14	0
Dodge Correctional Institution	3	0
Felmers O. Chaney Correctional	0	0
Fox Lake Correctional Institution	1	0
Green Bay Correctional	17	8
Jackson Correctional Institution	1	0
Kettle Moraine Correctional	2	0
Milwaukee Secure Detention	3	1
New Lisbon Correctional	1	0
Oakhill Correctional Institution	0	0
Oshkosh Correctional Institution	7	1
Prairie Du Chien Correctional	0	0
Racine Correctional Institution	5	0
Racine Youthful Offender	0	0
Redgranite Correctional	1	0
Stanley Correctional Institution	2	0
Waupun Correctional Institution	23	7
Wisconsin Secure Program	1	0
Other off-site Facility	*2*	*1*
Total	**83**	**18**

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5303	Mental Health Staffing in Restrictive Housing

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$143,700	$191,600
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$68,300	$91,000
06	Supplies and Services	$32,800	$38,600
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$21,000	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$2,400	$3,200
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$268,200**	**$324,400**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	4.80	4.80
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5303	**Mental Health Staffing in Restrictive Housing**			
01	**Adult correctional services**				
	01 General program operations	$268,200	$324,400	4.80	4.80
	Adult correctional services SubTotal	**$268,200**	**$324,400**	**4.80**	**4.80**
	Mental Health Staffing in Restrictive Housing SubTotal	**$268,200**	**$324,400**	**4.80**	**4.80**
	Agency Total	**$268,200**	**$324,400**	**4.80**	**4.80**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5303**	**Mental Health Staffing in Restrictive Housing**				
	GPR	S	$268,200	$324,400	4.80	4.80
	Total		**$268,200**	**$324,400**	**4.80**	**4.80**
Agency Total			**$268,200**	**$324,400**	**4.80**	**4.80**

Decision Item (DIN) - 5304

Decision Item (DIN) Title - OSCI Mental Illness Treatment & Diversion Units

NARRATIVE

The Department of Corrections requests $1,022,200 GPR and 16.85 GPR FTE in FY18 and $1,214,300 GPR and 16.85 GPR FTE in FY19 to convert Housing Unit “W” at Oshkosh Correctional Institution from General Population beds to a Secured Residential Treatment Unit and a Diversion Unit.

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Issue Paper

Topic: DIN 5304 – OSCI Mental Illness Treatment & Diversion Units

Request

The Department of Corrections (DOC) requests $1,022,200 GPR and 16.85 GPR FTE in FY18 and $1,214,300 GPR and 16.85 GPR FTE in FY19 to convert Housing Unit "W" at Oshkosh Correctional Institution (OSCI) from 86 General Population beds to a Secured Residential Treatment Unit (SRTU) (75 inmate capacity) and a Diversion Unit (DU) (11 inmate capacity).

Problem Description

Inmates at OSCI and throughout the Division of Adult Institutions (DAI) with Mental Health Code MH-2A and/or Intellectual Disability often end up in RH *(See Appendix 1)*. Restrictive Housing (RH), previously known as "segregation" in Wisconsin, is the placement of an inmate in a locked cell for 22 hours or more per day. Inmates are placed in RH as punishment for violating prison rules. While inmates with an MH-2B code are also categorized with Serious Mental Illness (SMI), the plan would be to place only inmates with MH-2A or Intellectual Disability (ID) mental health codes in the SRTU/DU.

The effect of RH on inmates with SMI or ID varies from person to person, but the overall psychological effects are negative. The effects may include one or more of the following:

increased depression or anxiety	worsening of trauma-related symptoms
Insomnia	worsening of psychosis
paranoia	emergence of self-harm behavior, suicide attempts or aggression

Inmates with SMI in medium security institutions are often transferred to maximum security institutions as a result of misconduct that stems from their mental illness. The level of mental health treatment in a medium security general population (GP) setting is generally limited to intermittent appointments with psychologists and psychiatrists, often spaced weeks or months apart. This is insufficient for many inmates with SMI, who do best with more frequent and predictable programming.

Background

Mental Health professionals at DOC screen all inmates for the presence of serious mental illness (SMI) prior to transfer to the Wisconsin Secure Program Facility (WSPF). A determination of SMI at any point makes an inmate ineligible for transfer to WSPF.

Female inmates at the Taycheedah Correctional Institution (TCI) with serious mental health needs who are in either Special Management Units (SMU) or restrictive housing are provided with at least ten hours per week of structured, out-of-cell, therapeutic activities, also known as the "10/10 model". Psychological staff

are utilized for individual therapy and crises management, while other classifications such as Teachers or Recreation Leaders are able to provide the staff necessary for other structured out-of-cell time.

Some states, such as Colorado, California, Ohio, Pennsylvania, and New York have eliminated the use of RH for inmates with SMI and are instead using focused mental health treatment units. The Federal Bureau of Prisons (BOP) also produced guidelines in July 2015 for RH, which included diverting inmates with SMI to alternative forms of housing.

Reduced Use of Restrictive Housing

There has been a national trend to reduce the use of RH in prisons. In January 2016, the Federal BOP implemented 50 Guiding Principles to reform solitary confinement use in its prisons, that has resulted in a 25% reduction in the use of solitary confinement. These reforms also included the diversion of inmates with SMI to alternative forms of housing.

Colorado has dramatically reduced its solitary confinement population in the past few years from 1,505 inmates (6.8% of all inmates) in September 2011 to 141 inmates (0.8% of all inmates) at the start of FY15.[3] Many other states have also reformed their use of solitary confinement including: South Carolina, Illinois, and Michigan.

DOC has implemented new administrative policies to reduce the use of RH. The Department's Restrictive Housing Committee began its work to review and revise RH policies and practices in 2014. This work is ongoing, but concrete changes have already occurred.

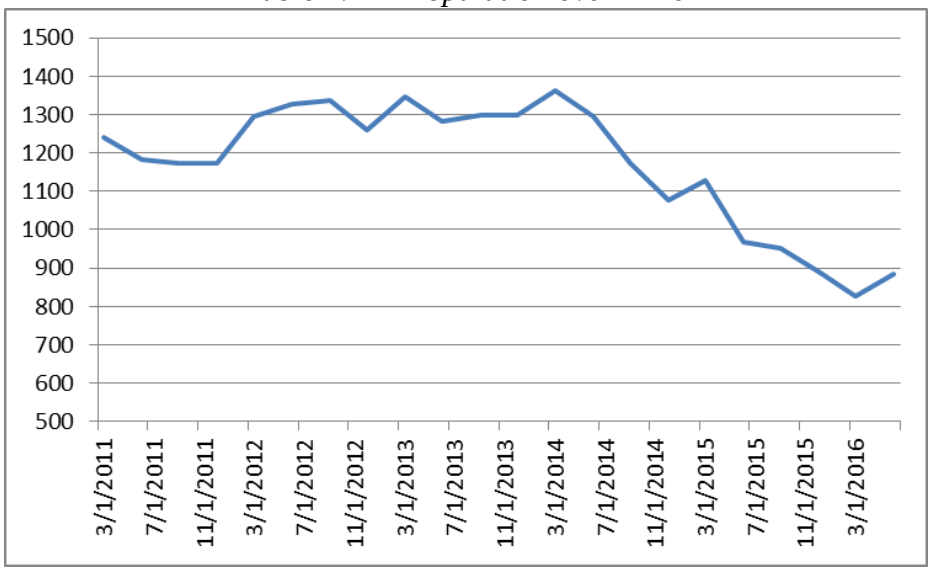
DAI Policy 500.70.04 "*Psychological Input to Security Decision*" was effective as of 5/27/2015, and requires written input from psychological staff for inmates in the disciplinary process who have been diagnosed with SMI or ID or who have recently engaged in self-harm. DAI Policy 303.00.04 *"Disciplinary Guidelines"* was re-written to: decrease the length of RH dispositions; encourage alternative sanctions; and require monthly reviews of any discipline resulting in an RH placement longer than 120 days by the DAI Administrator and the Secretary's Office.

DOC's efforts have reduced the number of inmates in RH from a five-year high of 1,362 inmates on 3/31/14 to 885 inmates as of 6/30/16.

---

[3]Colorado's Administrative Segregation Annual Report
https://drive.google.com/file/d/0B8WLSXAb0Mg8Wkw2T2FBelRzOTA/view

Table 1. RH Population over Time



As a consequence of the new DAI policies, the number of SMI inmates in RH has also been reduced. There were 155 (117 MH-2A) inmates with SMI as of 4/30/14; compared to 129 (99 MH-2A) inmates as of 4/30/15; and 91 (75 MH-2A) inmates as of 4/30/16 *(See appendix 2)*. Additionally, there were 11 (9 MH-2A) inmates in administrative confinement with SMI as of 4/30/14; compared to 9 (8 MH-2A) inmates as of 4/30/15; and 10 (8 MH-2A) inmates as of 4/30/16 *(See appendix 3)*.

Analysis

Need for this program

There is a clear need for the SRTU/DU because DOC continues to place SMI inmates in RH despite growing national trends away from this practice. DAI had 91 SMI (78 MH2A) male inmates in RH as of 4/30/16. As of 5/4/16 there were 1,390 male inmates with MH-2A or ID (including the 78 in RH) in all of DAI *(See Appendix 4)*. If the SRTU/DU is funded, DAI can transfer the medium-security SMI inmates who are at highest risk for RH placement to OSCI to receive treatment in the SRTU/DU.

The SRTU/DU will provide 86 beds for SMI inmates to keep them from being placed in RH and may also help keep SMI inmates from being moved from medium security institutions to maximum security institutions because their mental illness prevents them from following the rules in a less restrictive medium security setting.

Treatment

The SRTU/DU would only treat SMI inmates with MH-2A or ID mental health code. Inmates with an MH-2B mental health code would not be prioritized for this unit and in general would not be placed there, due to the possibility that MH-2B inmates could manipulate or victimize MH-2A inmates.

The treatment that inmates with MH-2A or ID codes would receive in SRTU or DU is the "10/10 model". The women's system has already implemented this model in their RH units. The level of treatment is less than provided to inmates at DHS' Wisconsin Resource Center (WRC), but still much more than currently provided to male inmates in RH or GP.

This nationally recognized approach to treatment of inmates in RH includes 10 hours per week of out-of-cell, structured, and therapeutic programming. This would include any out-of-cell activity that is structured and led by DOC staff. Examples might be individual appointments with psychologists or psychiatrists, group therapy with psychologists, educational classes, a therapeutic work assignment, current events or social skill groups, or any of our cognitive-based programming. Inmates also receive another 10 hours per week of out-of-cell unstructured time. This would include any out-of-cell activities that are not structured or led by DOC staff. Examples include dayroom time, meals, and recreation.

The SRTU and the DU will both provide 20 hours of out of cell (10/10 model) time. The SRTU will be for long-term inmates, up to 18 months, who will be required to participate in programming that will consist of incentive programs and individual treatment plans. Inmates will also have ongoing reviews by the treatment team to determine when they can be released back to GP. The DU will be a short-term program of less than 30 days and consist of individual treatment plans along with 20 hours per week of out of cell time.

Staffing

Table 3 indicates the proposed staffing pattern for SRTU/DU. The Health staffing was based on the staffing patterns currently utilized for female inmates.

Table 3. Staffing Pattern for SRTU/DU

	AM M-F	**AM WKD**	**PM M-F**	**PM WKD**	**NT M-F**	**NT WKD**	**New FTE**
Correctional Officer	1	1	1	1	1	1	**5.25**
Nurse Clinician 2	1						**1.00**
Therapist*	2						**2.00**
Social Worker-Corrections*	1						**1.00**
Correctional Sergeant*	1						**1.00**
Psychologist - Licensed	1						**1.00**
Psychological Associates*	5.60						**5.60**
Corrections Unit Supervisor	1						
Teacher (0.20 FTE)	0.20						
Correctional Sergeant	1	1	1	1	1	1	
Correctional Officer	2	2	2	2	2	2	
							16.85

*Positions will be split between AM and PM on weekdays and weekends
**Bold positions are positions being added. Non-bold positions are current positions that will be utilized for the SRTU/DU

Psychological Associate: These positions will provide direct professional-level psychological services in a group or individual setting to SMI inmates. These positions will report to one of the two existing Psychologist Supervisor positions at OSCI.

Psychologist - Licensed: This position is needed to coordinate the day-to-day psychological responsibilities of the SRTU/DU. The position will also provide some clinical supervision of the Psychological Associate positions.

Therapist: These positions will provide structured recreational activities to inmates to get them out of their cell and involved in appropriate physical activity. This is an important aspect of treatment as physical activity has a positive effect on mental health.

Social Worker- Corrections: The position will provide case planning and re-entry preparation. Inmates with SMI require more time and preparation for these services than other inmates.

Nurse Clinician 2: The position will be responsible for medication administration to inmates. Additionally, it will serve as an on-site medical resource and liaison for the Health Services Unit (HSU). Inmates with SMI have a higher than average medical needs and number of medications. If this position is not added, Correctional Officers may be forced to pass out the large number of medications.

Correctional Sergeant: This position will provide programming and facilitation of structured and unstructured program time. A current Correctional Sergeant post will also be utilized for this purpose.

Correctional Officer: These positions will be added to provide additional security as it converts from General Population to all SMI inmates. Due to the inmates serious mental health needs, there is increased security risk and an increased need for escorts by security staff to different locations for programming throughout the institution.

DOC is requesting permanent FTE staff instead of LTE or contracted staff as LTE and contracted staff typically have higher turnover. High turnover can result in programming not being provided because of staff vacancies. Additionally, high turnover results in a less effective mental health treatment program, as continuity is lost and new staff require start-up time, including training, to become familiar with the program and the issues facing the inmates.

Return on Investment

SMI inmates in RH are more likely to threaten to commit or commit self-harm than other inmates. In CY15, there were 80 different inmates with MH-2A codes in RH who had a total of 132 clinical observation placements (suicide watch). Clinical observation placements require more staff time and possible staff overtime as inmates are under close supervision.

Of these 132 placements, 56 were based on verbal threats and 76 were the result of some type of self-harm behavior. Of the 76 incidents of self-harm behavior, 7 incidents were considered serious enough for off-site care at a local emergency room. The creation of the OSCI SRTU/DU would improve inmates' mental health and lessen the clinical observation placements and self-harm incidents.

This change would also likely result in a reduction of off-site visits to emergency rooms, a reduction of overtime from transporting inmates to the emergency room, and a reduction of overtime as a result of less clinical observation placements.

The SRTU/DU will work toward reducing recidivism of inmates with SMI by treating inmates mental health needs. The DOC has had success in reducing recidivism by utilizing intensive treatment programs for mentally ill inmates with the Opening Avenues to Reentry Success (OARS) program. The OARS program treats people outside of prison who have a medium or high risk of recidivism.

Tracking Success of Program

If the SRTU/DU is implemented, OSCI will be able to track outcomes related to the SRTU/DU program. Based on DOC's prior experience with the Behavioral Health Unit (BHU) at Waupun Correctional Institution, DOC anticipates reductions in conduct reports, uses of force, cell entries, observation placements (suicide watch), self-harm attempts and ER visits.

Summary

	FY 18		FY 19	
	Funding	FTE	Funding	FTE
GPR	$1,022,200	16.85	$1,214,300	16.85
TOTAL	$1,022,200	16.85	$1,214,300	16.85

Prepared by: Jake Jokisch, Budget & Policy Analyst
240-5415

APPENDIX 1: MENTAL HEALTH CLASSIFICATION CODES

MH-0 There is no current mental health need. An inmate does not need a scheduled follow-up visit with Psychological Services and is not seeing the Psychiatrist for any reason.

MH-1 Inmates who are receiving mental health services but are not considered seriously mentally ill. This code would not be appropriate for inmates who are receiving program services only, such as substance abuse or sex offender treatment and do not have other mental health needs.

MH-2A Inmates with serious mental illness based on Axis I conditions

A. Inmates with a current diagnosis of, or are in remission from, the following conditions:

   Schizophrenia (all sub types)
   Delusional disorder
   Schizophreniform disorder
   Schizoaffective disorder
   Psychosis NOS
   Major depressive disorders
   Bipolar disorder 1 & 2

B. Inmates with current or recent symptoms of the following conditions:

   Brief psychotic disorder
   Substance induced psychotic disorder

C. Inmates with head injury or other neurologic impairments that result in behavioral or emotional dyscontrol.

D. Inmates with chronic and persistent mood or anxiety disorders or other conditions that lead to significant functional disability.

MH-2B Inmates with serious mental illness based on Axis II conditions

A. Inmates with a primary personality disorder that is severe, accompanied by significant functional impairment, and subject to periodic decompensation (i.e. psychosis, depression, or suicidality).

Note: Those who qualify for both MH-2A and MH-2B will be coded MH-2A.

SPECIAL CLASSIFICATION CODE

ID Intellectually Disabled. An IQ of approximately 70 or below with concurrent impairments in present adaptive functioning and age of onset before 18 years.

Appendix 2. RH Population over Time by MH Code

Restrictive Housing Population by Location and Mental Health Code on 4/30/2014					
Current Location	**Unknown**	**MH-0**	**MH-1**	**MH-2A**	**MH-2B**
Columbia Correctional Institution	0	32	53	20	15
Dodge Correctional Institution	0	8	11	1	1
Felmers O. Chaney Correctional Center	0	2	1	0	0
Fox Lake Correctional Institution	0	32	18	3	1
Green Bay Correctional Institution	1	56	102	29	9
Jackson Correctional Institution	0	15	18	1	2
John C. Burke Correctional Center	0	1	0	1	0
Kenosha Correctional Center	0	2	0	0	0
Kettle Moraine Correctional Institution	0	20	21	2	1
Marshall E. Sherrer Correctional Center	0	1	0	0	0
Milwaukee Secure Detention Facility	0	11	14	1	0
Milwaukee Women's Correctional	0	0	1	0	0
New Lisbon Correctional Institution	0	21	18	2	1
Oakhill Correctional Institution	0	20	3	0	0
Oshkosh Correctional Institution	0	58	35	19	1
Prairie Du Chien Correctional	0	17	9	1	0
Racine Correctional Institution	0	41	27	3	0
Racine Youthful Offender Correctional	0	28	27	0	0
Redgranite Correctional Institution	0	21	26	6	0
Stanley Correctional Institution	0	41	23	0	0
Sturtevant Transitional Facility	0	2	0	0	0
Waupun Correctional Institution	0	72	80	28	7
Wisconsin Secure Program Facility	0	92	83	0	0
Other off-site Facility	*0*	*4*	*3*	*0*	*0*
Total	**1**	**600**	**599**	**117**	**38**

Restrictive Housing Population by Location and Mental Health Code on 4/30/2015					
Current Location	**Unknown**	**MH-0**	**MH-1**	**MH-2A**	**MH-2B**
Columbia Correctional Institution	0	21	51	11	11
Dodge Correctional Institution	0	21	11	5	0
Fox Lake Correctional Institution	0	28	14	3	0
Green Bay Correctional Institution	0	22	62	20	3
Jackson Correctional Institution	0	22	10	2	0
Kettle Moraine Correctional Institution	0	22	12	2	1
Milwaukee Secure Detention Facility	0	15	10	6	2
Milwaukee Women's Correctional Center	0	1	0	0	0
New Lisbon Correctional Institution	0	9	11	0	0
Oakhill Correctional Institution	0	15	0	0	0
Oshkosh Correctional Institution	0	51	55	16	1
Prairie Du Chien Correctional Institution	0	7	12	0	0
Racine Correctional Institution	2	40	19	5	0
Racine Youthful Offender Correctional	0	28	26	0	1
Redgranite Correctional Institution	0	15	18	4	0
Stanley Correctional Institution	0	21	18	0	1
Waupun Correctional Institution	0	45	47	24	10
Wisconsin Secure Program Facility	0	54	47	0	0
Other off-site Facility	*0*	*2*	*1*	*1*	*0*
Total	**2**	**439**	**424**	**99**	**30**

Appendix 2 (Continued). RH Population over Time by MH Code

Restrictive Housing Population by Location and Mental Health Code on 4/30/2016					
Current Location	**Unknown**	**MH-0**	**MH-1**	**MH-2A**	**MH-2B**
Columbia Correctional Institution	0	44	47	12	0
Dodge Correctional Institution	0	6	4	3	0
Flambeau Correctional Center	0	1	0	0	0
Fox Lake Correctional Institution	0	17	9	1	0
Green Bay Correctional Institution	0	34	57	17	7
Jackson Correctional Institution	0	12	13	1	0
Kettle Moraine Correctional Institution	0	12	10	2	0
Milwaukee Secure Detention Facility	1	5	7	3	1
New Lisbon Correctional Institution	0	11	11	1	0
Oakhill Correctional Institution	0	9	4	0	0
Oshkosh Correctional Institution	0	35	18	7	1
Prairie Du Chien Correctional Institution	0	6	5	0	0
Racine Correctional Institution	0	50	20	5	0
Racine Youthful Offender Correctional	0	18	3	0	0
Redgranite Correctional Institution	0	16	16	1	0
Stanley Correctional Institution	0	16	16	2	0
Waupun Correctional Institution	0	40	59	17	6
Wisconsin Secure Program Facility	0	7	18	1	0
Other off-site Facility	*0*	*5*	*1*	*2*	*1*
Total	**1**	**344**	**318**	**75**	**16**

Appendix 3. Administrative Confinement Population over Time

4/30/2014	Admin Confinment			
	MH-0	MH-1	MH-2A	MH-2B
Columbia Correctional Institution	1	2	3	0
Green Bay Correctional Institution	0	0	0	1
Racine Correctional Institution	1	0	0	0
Taycheedah Correctional Institution	0	0	1	0
Waupun Correctional Institution	10	7	5	1
Wisconsin Secure Program Facility	45	27	0	0
Other off-site Facility	1	0	0	0
Total	**58**	**36**	**9**	**2**

4/30/2015	Admin Confinment			
	MH-0	MH-1	MH-2A	MH-2B
Columbia Correctional Institution	1	3	2	0
Green Bay Correctional Institution	1	0	1	0
Waupun Correctional Institution	5	9	5	1
Wisconsin Secure Program Facility	45	25	0	0
Total	**52**	**37**	**8**	**1**

4/30/2016	Admin Confinment			
	MH-0	MH-1	MH-2A	MH-2B
Columbia Correctional Institution	4	9	2	0
Green Bay Correctional Institution	2	0	0	1
Waupun Correctional Institution	4	9	6	1
Wisconsin Secure Program Facility	39	38	0	0
Other off-site Facility	0	1	0	0
Total	**49**	**57**	**8**	**2**

Appendix 4. Mental Illness by Institution for Male Inmates as of 5/04/16

Institution	MH-0	MH-1	MH-2A	MH-2B	Total MH	Total Inmates	ID	% SMI of All Inmates
PDCI	400	116	0	0	116	**516**	1	**0.00%**
WSPF	239	177	1	0	178	**417**	0	**0.24%**
WCCS	1,808	140	7	0	147	**1,955**	0	**0.36%**
RYOCF	306	136	8	0	144	**450**	1	**1.78%**
Jail Contracts	41	3	1	0	4	**45**	0	**2.22%**
CVTF	352	120	12	0	132	**484**	0	**2.48%**
SCI	1,122	409	39	4	452	**1,574**	3	**2.73%**
OCI	570	102	23	1	126	**696**	1	**3.45%**
NLCI	727	252	43	6	301	**1,028**	1	**4.77%**
FLCI	898	359	64	8	431	**1,329**	2	**5.42%**
JCI	657	250	51	2	303	**960**	1	**5.52%**
RCI/STF	1,218	502	96	10	608	**1,826**	7	**5.81%**
DCI	947	455	87	5	547	**1,494**	0	**6.16%**
KMCI	742	366	70	4	440	**1,182**	2	**6.26%**
MSDF	455	448	73	14	535	**990**	0	**8.79%**
County Jails	319	130	40	6	176	**495**	0	**9.29%**
POU Unit	33	15	2	3	20	**53**	1	**9.43%**
RGCI	686	235	96	3	334	**1,020**	2	**9.71%**
CCI	444	283	92	7	382	**826**	7	**11.99%**
OSCI	1,187	602	243	21	866	**2,053**	21	**12.86%**
GBCI	525	406	120	19	545	**1,070**	10	**12.99%**
WCI	665	409	153	27	589	**1,254**	7	**14.35%**
Other*	4	2	2	0	4	**8**	0	**25.00%**
Total	**14,345**	**5,917**	**1,323**	**140**	**7,380**	**21,725**	**67**	
% of Total Inmates	**66.03%**	**27.24%**	**6.09%**	**0.64%**	**33.97%**	**100.00%**	**0.31%**	

% SMI of all inmates	**6.73%**

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5304	OSCI Mental Illness Treatment & Diversion Units

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$577,100	$732,100
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$267,800	$337,800
06	Supplies and Services	$115,900	$133,300
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$53,100	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$8,300	$11,100
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$1,022,200**	**$1,214,300**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	16.85	16.85
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5304	**OSCI Mental Illness Treatment & Diversion Units**			
01	**Adult correctional services**				
	01 General program operations	$1,022,200	$1,214,300	16.85	16.85
	Adult correctional services SubTotal	**$1,022,200**	**$1,214,300**	**16.85**	**16.85**
	OSCI Mental Illness Treatment & Diversion Units SubTotal	**$1,022,200**	**$1,214,300**	**16.85**	**16.85**
	Agency Total	**$1,022,200**	**$1,214,300**	**16.85**	**16.85**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5304**	**OSCI Mental Illness Treatment & Diversion Units**				
	GPR	S	$1,022,200	$1,214,300	16.85	16.85
	Total		**$1,022,200**	**$1,214,300**	**16.85**	**16.85**
Agency Total			**$1,022,200**	**$1,214,300**	**16.85**	**16.85**

Decision Item (DIN) - 5701

Decision Item (DIN) Title - CCI HSU Expansion

NARRATIVE

The Department of Corrections requests $773,200 GPR and 5.00 GPR FTE in FY19 in order to staff and operate the new Health Services Unit at Columbia Correctional Institution and treat the institution's large population of chronically and mentally ill inmates.

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Issue Paper

Topic: DIN 5701 - CCI HSU Expansion

Request

The Department of Corrections (DOC) requests $773,200 GPR and 5.00 GPR FTE in FY19 in order to staff and operate the new Health Services Unit (HSU) at Columbia Correctional Institution (CCI) and treat CCI's large population of chronically and mentally ill inmate patients.

Problem Description

CCI's current HSU is located in the Administration building and was constructed in 1985 to serve 450 adult male offenders. There are currently 829 inmates housed at CCI.[4] There are a significant number of inmate patients housed at CCI that have complex healthcare needs.

There are a high proportion of chronically ill inmates, mentally ill inmates, and a large number of inmates in restrictive housing that create scheduling challenges and complicate health services at the institution. Once the expansion is complete, CCI would like to be able to expand and extend on-site health services and service hours and be able to operate 24 hours per day/7 days per week. Additional HSU health care staff are required to meet those operational objectives, and additional officer overtime will be necessary.

Background

CCI's new HSU was enumerated in 2013 Act 20 (the 2013-15 Biennial Budget). The project expands CCI's HSU capacity by building a new 14,300 square foot HSU to replace the original 4,300 square foot facility. The expansion includes a secure waiting area; examination rooms, offices for medical and psychiatry health care professionals, medical and clinical record storage areas; secure medication and supply rooms; a dental suite; multi-purpose therapy rooms; a radiology room; lab space; and an officer station.

Construction is estimated to begin in October 2016 and be completed in November 2018. CCI will not need new staff until October 2018 at the earliest. Due to the aging population and the large number of inmates with complex healthcare needs, DOC believes that there is benefit to expanding hours of operation to meet health care needs, improve inmate patient outcomes, and decrease liability.

[4] Friday Report 8/26/2016

Analysis

Health Care Challenges with CCI's Population

CCI's inmate population is aging and has a variety of complex healthcare needs. Appendix 1 shows that from FY10 to FY15, CCI's population over 50 years of age increased by 2% while the younger age groups generally decreased. Moreover, inmates at CCI have approximately 638 chronic illnesses among the population, as shown in Table 1.

Table 1: Chronic Illnesses

Human Immunodeficiency Virus (HIV)	5
Seizures	29
Hepatitis C	52
Hypertension	176
Diabetes	58
Dyslipidemia (high cholesterol)	182
Asthma	136
Total	**638**

Delivery of health care is complicated by mental health issues in restrictive housing and within the Special Management Unit (SMU). Of 630 maximum security inmates on May 31, 2016, 330 (52%) have been identified with a mental health code of MH-1 or higher.

Moreover, 57% of CCI's restrictive housing population has been identified with a code of MH-1 or higher. Table 2 shows a complete breakout of mental health codes by security level as of May 31, 2016 and Appendix 2 shows a description of each mental health code.[5]

Table 2: Mental Health Codes at CCI

	Unknown	MH-0	MH-1	MH-2A	MH-2B
Maximum	0	300	250	75	5
Medium	1	21	10	1	0
Minimum (Barracks)	1	113	13	0	0

**As of May 31, 2016*

Existing HSU Staffing:

CCI's HSU is currently staffed by 8.80 FTE health positions and a 1.00 FTE Correctional Officer. The existing HSU is open seven days per week and operates between 6:00am and 10:30pm on weekdays and between 6:00am and 6:00pm on weekends. A nurse and DAI physician are on-call during overnight hours. In May 2016, the existing HSU had 896 appointments.

[5] The medium security inmates have been reduced from maximum to medium security levels, and are awaiting transfer to beds at other DAI sites.

DOC wishes to increase CCI's health care staffing to a 24/7 operation so that there are better responses to emergencies, restraint checks, and clinical monitoring (continuity of care through ongoing clinical assessments) as well as being able to provide round-the-clock vital sign checks, triage, follow-up care, and medication administration.

In addition to permanent employees, CCI currently utilizes contracted agency medical staff to supplement their full time staff in order to meet care needs. Presently, there are three contracted agency Licensed Practical Nurses (LPN), one agency Registered Nurse (RN)[6], one agency Medical Assistant and one agency office support staff.

CCI has also experienced an increase in overtime (OT) hours of FTE NC2 staff. In FY14, 428 OT hours were used; in FY15, 745 OT hours were used, and in FY16 as of pay period 12, 1,306 hours were used. In all, CCI has experienced a 4% increase in total healthcare hours spread between FTE, LTE, OT, and agency staff. Table 2 shows how the total number of hours across all classifications has increased by a total of 4%.

Table 3: Total Nursing Hours
(FTE, LTE, and Agency Combined)

	FY14	**FY15**	**FY16 (Forecast)**
RN	12,243	12,104	15,440
LPN	4,268	7,039	5,158
APNP	60	57	0
CNA	2,128	0	0
MA	0	605	481
MPAA	1,652	1,743	0
Total	**20,351**	**21,548**	**21,079**

Staffing Request:

DOC is requesting an additional 5.00 FTE positions to staff the expanded space when it opens in FY19. With the substantial increase in treatment capacity, CCI estimates that it will be able to accommodate an increased number of appointments each week, which is why they are requesting additional health positions. DOC is requesting:

- Overtime funds to add a 24/7 correctional officer post due to the increased size of the unit;
- 4.00 FTE NC2 positions to fully staff three new posts. One post will add seven day per week 3rd shift (overnight) coverage and the two additional posts will provide five day per week coverage to accommodate additional appointments and medication administration; and;
- 1.00 FTE Advanced Practice Nurse Prescriber (APNP).

Delivering health services to CCI inmate patients is complicated by mental health issues and an aging inmate population. A combination of an APNP and NC2 positions will ensure inmate patients' access to medical care. The scope of practice for an APNP allows them to assess and treat patients, order and perform

[6] An agency RN is equivalent to an FTE Nurse Clinician 2 position.

medical testing, and prescribe medications. With the increased number of visits, having an APNP will improve CCI's ability to manage and treat its entire population.

The NC2s perform a multitude of tasks including: sick calls, HSU appointments, physical assessments and treatments within scope of practice and established nursing protocols, bi-weekly segregation rounds, lab draws/processing, procedures (EKG's, spirometry set-up for in-house sleep studies, etc), injections, emergency triage, continuity of care from offsite providers, medical monitoring, medication administration, patient education, medication education, transcription of medication orders, and medication control changes.

With this staffing request, CCI will have the resources necessary to provide ambulatory health care services for all CCI inmates, utilizing a multi-discipline approach (physical and mental health wellness) in an environment that is safe for caregivers, security staff, and inmates. Moreover, CCI will have the ability to reduce the use of hospital trips for observational purposes, since they will have the space to place inmate patients within the institutions.

CCI will experience cost avoidance by utilizing their own facilities for patients under observational status as well as reduced overtime associated with officer vigils. CCI is currently able to accommodate medical and Psychological Services Unit (PSU) visits based only on the availability of treatment and exam space and only during normal business hours.

Adding 24 hour coverage would make access to the HSU comparable to two DAI male maximum security institutions. Both Waupun Correctional Institution (WCI) and Green Bay Correctional Institution (GBCI) provide 24 hour coverage Sunday through Thursday and weekend coverage on first and second shift.

Wisconsin Secure Program Facility (WSPF) is seven days per week but not 24 hours per day. Dodge Correctional Institution (DCI) has 24 hour coverage only in the infirmary. Outside of WCI and GBCI, Taycheedah Correctional Institution (TCI), the female medium/maximum institution and Milwaukee Secure Detention Facility (MSDF), a medium security facility, provide 24/7 staffing in their HSUs.

Summary

	FY 18		FY 19	
	Funding	FTE	Funding	FTE
GPR	$0	00.00	$773,200	5.00
TOTAL	$0	00.00	$773,200	5.00

Prepared by: Peter James, Budget and Policy Analyst
240-5422

Appendix 1: Age Distribution of CCI Inmates

Age Distribution for CCI						
Age Category	**6/30/2010**	**6/30/2011**	**6/30/2012**	**6/30/2013**	**6/30/2014**	**6/30/2015**
19 or younger	1.7%	1.1%	1.3%	1.6%	1.3%	2.4%
20-24	9.7%	10.4%	11.0%	11.5%	13.2%	14.3%
25-29	16.4%	18.8%	16.8%	14.8%	14.9%	15.4%
30-34	16.9%	15.3%	15.1%	14.3%	17.3%	18.3%
35-39	16.3%	14.9%	14.3%	14.2%	13.6%	12.3%
40-44	13.4%	13.5%	12.3%	11.8%	11.2%	10.3%
45-49	11.1%	11.8%	11.8%	13.1%	10.3%	10.2%
50-54	8.3%	8.1%	9.0%	8.4%	8.0%	7.4%
55-59	4.1%	4.0%	4.6%	5.6%	5.5%	4.6%
60-64	1.5%	1.1%	2.1%	2.3%	2.5%	2.8%
65 or older	0.8%	0.9%	1.6%	2.3%	2.1%	2.1%

Appendix 2: Mental Health Classification Codes

MH-0 There is no current mental health need. An inmate does not need a scheduled follow-up visit with Psychological Services and is not seeing the Psychiatrist for any reason.

MH-1 Inmates who are receiving mental health services but are not considered seriously mentally ill. This code would not be appropriate for inmates who are receiving program services only, such as substance abuse or sex offender treatment and do not have other mental health needs.

MH-2A Inmates with serious mental illness based on Axis I conditions

A. Inmates with a current diagnosis of, or are in remission from, the following conditions:

Schizophrenia (all sub types)
Delusional disorder
Schizophreniform disorder
Schizoaffective disorder
Psychosis NOS
Major depressive disorders
Bipolar disorder 1 & 2

B. Inmates with current or recent symptoms of the following conditions:

Brief psychotic disorder
Substance induced psychotic disorder

C. Inmates with head injury or other neurologic impairments that result in behavioral or emotional dyscontrol.

D. Inmates with chronic and persistent mood or anxiety disorders or other conditions that lead to significant functional disability.

MH-2B Inmates with serious mental illness based on Axis II conditions

A. Inmates with a primary personality disorder that is severe, accompanied by significant functional impairment, and subject to periodic decompensation (i.e. psychosis, depression, or suicidality).

Note: Those who qualify for both MH-2A and MH-2B will be coded MH-2A.

SPECIAL CLASSIFICATION CODES

ID Intellectually Disabled. An IQ of approximately 70 or below with concurrent impairments in present adaptive functioning and age of onset before 18 years.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5701	CCI HSU Expansion

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$446,100
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$142,400
06	Supplies and Services	$0	$136,500
07	Permanent Property	$0	$18,200
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$27,500
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$2,500
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$0**	**$773,200**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	5.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5701	**CCI HSU Expansion**			
01	**Adult correctional services**				
	01 General program operations	$0	$698,000	0.00	5.00
	06 Energy costs; energy-related assessments	$0	$62,400	0.00	0.00
	10 Institutional repair and maintenance	$0	$12,800	0.00	0.00
	Adult correctional services SubTotal	**$0**	**$773,200**	**0.00**	**5.00**
	CCI HSU Expansion SubTotal	**$0**	**$773,200**	**0.00**	**5.00**
	Agency Total	**$0**	**$773,200**	**0.00**	**5.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5701**	**CCI HSU Expansion**				
	GPR	S	$0	$773,200	0.00	5.00
	Total		**$0**	**$773,200**	**0.00**	**5.00**
Agency Total			**$0**	**$773,200**	**0.00**	**5.00**

Decision Item (DIN) - 5702

Decision Item (DIN) Title - OSCI HSU Expansion

NARRATIVE

The Department of Corrections requests $2,200,200 GPR and 28.95 GPR FTE in FY19 to staff the Oshkosh Correctional Institution Health Services Unit addition that is under construction and will be completed in July 2018.

2017-19 BIENNIAL BUDGET CONCEPT PAPER
Department of Corrections
Issue Paper

Topic: DIN 5702 – OSCI HSU Expansion

Request

The Department of Corrections (DOC) requests $2,200,200 GPR and 28.95 GPR FTE in FY19 to staff the Oshkosh Correctional Institution (OSCI) Health Services Unit (HSU) addition that is under construction and will be completed in July 2018.

Problem Description

An addition to the Oshkosh HSU, including beds for long-term care, is planned for construction completion in July 2018. Additional positions and funding will be needed to staff this new building and provide for the increased health service requirements.

Background

The 2013-15 Capital Budget approved bonding for the construction of an addition to the OSCI HSU. The project will construct new clinic and long-term care additions on to the existing HSU at OSCI. The addition to the HSU will add 17,075 square feet and will be constructed within the existing secure perimeter of the institution. The clinic addition will provide exam rooms, psychology rooms, an x-ray room, wound care room, procedure room, physical therapy, and necessary staff support spaces.

The long-term care addition is sized to accommodate 34 more beds - 17 new patient beds, 7 double occupant rooms and 3 single occupant rooms designed for palliative care. It will also have a dayroom and necessary support spaces. Construction for both additions will be similar to the adjoining HSU. The existing HSU will also receive a remodel to re-purpose existing spaces.

DOC's staffing request is shown in Table 1:

Table 1. OSCI's Staffing Request

Correctional Officer	**5.25**
Nurse Clinician 2	**6.00**
Nurse Clinician 2 Weekend	**1.40**
Nursing Assistant	**9.40**
LPN	**3.30**
Medical Program Assistant Associate	**1.00**
Nurse Practitioner	**0.60**
Program Support Supervisor	**1.00**
Social Worker - Clinical	**1.00**

Need for OSCI HSU Expansion

The existing HSU was built in 1994 and was designed to serve 1,400 adult offenders. As of 7/1/16, there were 2,065 adult offenders being housed at OSCI. The institution has a high proportion of chronically ill inmates, mentally ill inmates, and Restrictive Housing inmates requiring more frequent HSU visits.

Insufficient space, inefficient layout, and inadequate staffing of the current HSU contribute to a wide variety of concerns relating to the safety, staff efficiency, institution security, and inmate health care. The existing HSU lacks sufficient exam and treatment rooms to accommodate all health care staff disciplines.

A modern HSU building will allow DOC to meet the medical, dental, therapeutic, and mental health needs of the OSCI inmate population by providing sufficient workspace for all medical disciplines. DOC believes the OSCI population, and the Division of Adult Institution's (DAI) population as a whole, will continue to age going forward, which will further increase the need for expanded health services to inmates. *(See Appendix 1 for OSCI Aging Inmate Population)*

Table 2. Aging Inmate Population in DAI
(as a % of total population)

Year	50-54	55-59	60-64	65(+)
1990	1.9%	1.2%	0.8%	0.6%
1995	2.2%	1.3%	0.6%	0.6%
2000	3.3%	1.5%	0.9%	0.7%
2005	5.0%	2.3%	1.2%	1.0%
2010	7.1%	3.7%	1.8%	1.0%
2015	8.4%	5.4%	2.6%	2.3%

DAI Healthcare Costs

Over the years, DOC has been able to provide efficient healthcare services to inmates and has kept healthcare costs per inmate well below the national average cost per capita. DOC spent $5,720 per inmate on healthcare in FY15 compared to a national average for the general public of $9,523, according to the Centers for Disease Control (CDC). *(See Appendix 2 for further information).*

DAI has been able to keep its costs below the national average even with a population that is unhealthier than the general public. Approximately 16% of all inmates have the Hepatitis C Virus (HCV) compared to 1% in the general public. The CDC also estimates that the rate of HIV among incarcerated individuals to be five times greater than the general public. Mental illness is also more prevalent in inmates than the general public as 9.8% of inmates have a serious mental illness (SMI) compared to an estimated 4.2% of the general public.

Analysis

Staffing
DOC's staffing request is based on the proposed posts indicated in Table 3:

Table 3. Proposed Staffing Posts at OSCI HSU Expansion

POSITION CLASSIFICATION	AM M-F	AM WKD	PM M-F	PM WKD	NT M-F	NT WKD	TOTAL FTE
Correctional Officer	1	1	1	1	1	1	**5.25**
Nurse Clinician 2	2		2		1		**6.00**
Nurse Clinician 2 Weekend		1		1		1	**1.40**
Nursing Assistant	3	2	2	2	1	1	**9.40**
LPN	1	1	1	1			**3.30**
Medical Program Assistant Associate	1						**1.00**
Nurse Practitioner	0.6						**0.60**
Program Support Supervisor	1						**1.00**
Social Worker - Clinical	1						**1.00**
							28.95

Correctional Officers: The OSCI HSU will be a new section of the HSU that will need security coverage 24/7. If OSCI does not receive Correctional Officer positions, it would be forced to operate the HSU on overtime.

Health Staff (Nurse Clinicians, Nursing Assistants, Licensed Practical Nurses, and Nurse Practitioner): These positions will work to provide health treatment for inmates in the HSU. Nursing staff will be responsible for working directly with inmate patients and providing treatments and medications. Staff will treat inmates' routine and complex treatment needs.

Program Support Supervisor: This position is being requested to provide clerical and program support to the entire HSU. Currently the tasks of staff scheduling, sick leave, tardy monitoring, time and attendance, are being done by Medical Program Assistant Associates (MPAA). These tasks should be done by supervisors; however supervisors are unable to complete these tasks due to the complexities of their other duties.

Medical Program Assistant Associate (MPAA): This position would perform administrative support for medical professionals. Utilizing an MPAA allows medical professionals to focus on patient care activities.

Social Worker –Clinical: Position will provide evaluation, assessment, case management, group facilitation, group and individual counseling, and coordination of services for inmates. The position is needed as the OSCI HSU addition will provide palliative care to inmates.

OSCI currently is authorized the following FTE staffing in their HSU:

NURSE PRACTIONER	1
LICENSED PRACTICAL NURSE	2.5
MEDICAL ASSISTANT 2	1
MEDICAL PROGRAM ASST-ASSOC	4
NURSE CLINICIAN 2	8.45
NURSE CLINICIAN 2-WEEKEND	1.8
NURSING SUPERVISOR	2
	20.75

In addition to the 20.75 FTE, OSCI utilized 41,138 health staff hours of a combination of contracted staff, LTE staff, and overtime hours in FY15. This is equivalent to an additional 21.00 FTE if each employee works 1,960 hours per year (3 weeks of vacation/holidays).

Return on Investment

DOC will be able to eliminate the use of swing beds (hospital beds in rural communities that can 'swing' from acute care to skilled care depending on need) by male inmates. Inmates will be sent to the new OSCI HSU instead of swing beds. DAI utilized swing beds for 19 inmates totaling 142 days in FY16 at a cost of $1,100 per day. Additionally, DAI provided security for these inmates at all times during these 142 days. Thus, with the operation of this new HSU, DAI will have a cost avoidance of approximately $273,600 annually (OT Correctional Officer costs + cost of swing beds).

The OSCI HSU addition will allow for better preventative care for inmates. Inmates' chronic conditions, such as HIV, diabetes, and hypertension, will be less likely to worsen while they are incarcerated. By preventing chronic conditions from becoming worse while inmates are incarcerated and preventing expensive hospital visits, DOC should be able to reduce medical costs as DAI's inmate population continues to age.

OSCI should also be able to reduce the average length of stay for some hospital visits or slow future hospitalization cost increases resulting from the aging inmate population. The Department is unable to estimate what cost avoidances may be in these areas as hospital visit costs are highly variable.

Effect of Not Receiving Staff

If DOC does not receive staff for the opening of the HSU addition, it will be forced to utilize additional health agency (contracted) staff and LTEs. DOC is unlikely to be able to fill all the needed positions unless they receive additional FTE, as these types of positions are harder to recruit and have higher turnover than FTE. The utilization of agency and LTE staff would reduce the benefits of opening the HSU addition, as agency and LTE staff provide less continuity of care to patients due to their high turnover and they are often less experienced than FTE staff.

Summary

	FY 18		FY 19	
	Funding	FTE	Funding	FTE
GPR	$0	0	$2,200,200	28.95
TOTAL	$0	0	$2,200,200	28.95

Prepared by: Jake Jokisch, Budget & Policy Analyst
240-5415

Appendix 1. OSCI Inmate Ages over Time

Age Category	6/30/2010	6/30/2011	6/30/2012	6/30/2013	6/30/2014	6/30/2015
19 or younger	0.4%	0.3%	0.4%	0.2%	0.2%	0.0%
20-24	8.5%	8.4%	8.0%	7.2%	6.7%	6.3%
25-29	17.6%	17.1%	15.5%	13.6%	13.6%	15.7%
30-34	13.7%	13.7%	15.0%	16.6%	15.4%	13.8%
35-39	13.8%	11.8%	11.6%	12.8%	13.1%	13.3%
40-44	12.9%	12.9%	13.1%	12.3%	12.7%	11.3%
45-49	11.4%	12.9%	12.4%	12.6%	12.7%	12.0%
50-54	9.0%	8.9%	10.2%	10.4%	10.1%	11.4%
55-59	5.9%	7.3%	6.8%	6.6%	7.7%	7.5%
60-64	3.8%	3.6%	3.5%	4.1%	3.5%	3.7%
65 or older	3.0%	3.3%	3.5%	3.7%	4.2%	4.9%

Appendix 2. Per Capita DOC Healthcare Spending Over Time Compared to General Public

	Inmates	General Public
2001	$ 3,031	$5,220
2002	$ 3,030	$5,668
2003	$ 3,413	$6,099
2004	$ 3,786	$6,481
2005	$ 4,073	$6,856
2006	$ 4,083	$7,235
2007	$ 4,923	$7,630
2008	$ 4,829	$7,909
2009	$ 5,260	$8,147
2010	$ 5,161	$8,402
2011	$ 5,421	$8,666
2012	$ 5,454	$8,927
2013	$ 5,356	$9,115
2014	$ 5,595	$9,523
2015	$ 5,720	

NOTE: Inmates cost includes all DOC health care expenditures including: salary, fringe benefits, and supplies and services
*2014 and 2015 Inmates costs include a reduction from Medicaid starting to cover inpatient hospital admissions.
Source: https://www.cms.gov/research-statistics-data-and-systems/statistics-trends-and-reports/nationalhealthexpenddata/nhe-fact-sheet.html

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5702	OSCI HSU Expansion

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$1,258,200
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$596,600
06	Supplies and Services	$0	$430,700
07	Permanent Property	$0	$26,700
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$25,200
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	($156,200)
15	Internal services 3000	$0	$19,000
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$0**	**$2,200,200**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	28.95
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5702	**OSCI HSU Expansion**			
01	**Adult correctional services**				
	01 General program operations	$0	$2,080,500	0.00	28.95
	06 Energy costs; energy-related assessments	$0	$99,400	0.00	0.00
	10 Institutional repair and maintenance	$0	$20,300	0.00	0.00
	Adult correctional services SubTotal	**$0**	**$2,200,200**	**0.00**	**28.95**
	OSCI HSU Expansion SubTotal	**$0**	**$2,200,200**	**0.00**	**28.95**
	Agency Total	**$0**	**$2,200,200**	**0.00**	**28.95**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5702**	**OSCI HSU Expansion**				
	GPR	S	$0	$2,200,200	0.00	28.95
	Total		**$0**	**$2,200,200**	**0.00**	**28.95**
Agency Total			**$0**	**$2,200,200**	**0.00**	**28.95**

Decision Item (DIN) - 5801

Decision Item (DIN) Title - OARS Expansion

NARRATIVE

The Department of Corrections requests $660,800 GPR in FY18 and $660,800 GPR in FY19 for expansion of the Opening Avenues to Reentry Success program.

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Issue Paper

Topic: DIN 5801 - Opening Avenues to Reentry Success (OARS) Expansion

Request

The Department of Corrections (DOC) requests $660,800 GPR in FY18 and $660,800 GPR in FY19 for expansion of the OARS program.

Problem Description

The number of inmates with a serious mental illness is increasing and DOC lacks resources to provide services for all of these individuals through the OARS program. In FY15, 468 inmates with a serious mental illness were considered either medium or high risk to reoffend, meeting criteria for participation in the OARS program. During FY15, the OARS Program had an average daily population of 99 participants, or 21% of the OARS-eligible population.

Reductions in costs have allowed an increase in average daily population to 133 participants, thus far in FY16. Based on current costs per participant, the OARS Program can provide services to an additional 40 participants with the requested funding. The funding will increase OARS enrollment to 173 participants and provide services to 37% of the eligible population.

Background

The OARS Program began as a pilot program in FY11 with a goal of reducing recidivism in serious mentally ill offenders through intensive case management and mental health services. Individuals with mental health and substance use disorders are disproportionately involved with the criminal justice system, and often have difficulty successfully complying with the conditions of their release from incarceration and supervision[7].

In addition to mental health treatment needs these offenders frequently have an array of needs that must be addressed during the reentry process, such as: substance use disorders, financial need, and chronic health problems. Due to the complexity of these needs, this population cannot be easily served or assisted by any single system or entity. A lack of integrated treatment and supervision can lead to reincarceration, increased cost to the prison system and the state, and poor outcomes for the individual[8].

Funding for the 2015-17 Biennium in the amount of $2,600,000 per fiscal year allows for the treatment of 133 participants.

---

[7] Osher, F., D'Amora, D., Plotkin, M., Jarrett, N., & Eggleston, A. (2012). *Adults with Behavioral Health Needs Under Correctional Supervision: A Shared Framework for Reducing Recidivism and Promoting Recovery.* New York, NY: Council of State Governments. Retrieved from https://www.bja.gov/Publications/CSG_Behavioral_Framework.pdf.

[8] Wilson, A. B. (2013). How people with serious mental illness seek help after leaving jail. *Qualitative Health Research, 23*(12) 1575-1590.

To qualify for participation, an offender must meet these requirements:

1) volunteer for participation;
2) be referred by a DOC staff member;
3) be medium or high risk to reoffend based on COMPAS risk assessment;
4) have a diagnosed serious mental illness;
5) have at least six months of post-release DOC supervision remaining on their sentences;
6) be releasing to a county in the OARS service area.

Offenders on an alternative to revocation (ATR), being held on an indefinite detainer, and offenders under DOC supervision via the Interstate Compact for Adult Offender Supervision (ICAOS) cannot be admitted to the OARS program because of the added level of instability these circumstances bring to an offender's supervision case plan.

DOC partners with the Department of Health Services (DHS) to provide front-line services to OARS participants. This partnership has been in place since OARS' inception and capitalizes on the strengths in each agency. DHS' already established network of community care for the conditional release program is utilized to provide services.

DHS-contracted providers act as case managers and work with the treatment team to provide individualized services to each participant. Members of the treatment team include: participant, DHS case manager, DOC probation and parole agent, DOC institution social worker, and DOC OARS program specialist. Participants receive services, depending on their individual case plan, for six months up to two years in the community.

Services are tailored to each participant's needs and may include:

- Intensive case management and supervision.
- Assistance with obtaining and maintaining safe, affordable housing.
- Resources for medication and access to quality psychiatric care.
- Treatment options to address individualized and criminogenic needs.
- Access to local transportation, budgeting, and financial resources.
- Access to structured activities including employment and education.

Because the services are tailored to each participant's needs, costs vary from participant to participant. This variation makes it challenging to estimate how much each participant's treatment costs.

In addition, changing criteria for Medicaid eligibility have lowered the per participant cost born by DOC. More participants are now eligible for Medicaid leading to lower per participant costs which has allowed the DOC to increase participation numbers without additional funding.

The three-year average for FY12-14 was $24,500 per participant per year. The projected per participant average cost for FY16 based on the first six months of the fiscal year is $16,520, a cost reduction of 33%. In FY13, 397 eligible offenders were released compared to 468 in FY15 (an 18% increase).

Analysis

As of FY16 the OARS program service area includes 37 counties. (See appendix I for a map of the current and proposed service area.) The requested funds will expand the program to the 5 counties listed in Table 1 below and add additional DHS case managers in Dane and Milwaukee counties.

Table 1. Requested Expansion Counties			
Lincoln	Eau Claire	Shawano	Menominee
Langlade			

The OARS program aims to reduce recidivism among offenders with serious mental illness. Table 2 depicts the program participants' recidivism rates versus offenders with similar characteristics that did not participate in OARS.

Table 2. OARS Participants Recividism Rates (FY2011-13)						
Fiscal Years	*One-Year*		*Two-Year*		*Three-Year*	
2011-13	**OARS**	**Non-OARS**	**OARS**	**Non-OARS**	**OARS**	**Non-OARS**
	10%	18%	25%	30%	27%	36%
% change OARS vs. Non-OARS	(44%)		(18%)		(24%)	

Overall, recidivism rates of OARS participants are substantially lower than non-participants. In comparing one-year recidivism rates between OARS and Non-OARS participants we see a 44% reduction (8.0 percentage points) in recidivism.

Comparing the same two groups for the two-year and three-year time periods, the reduction in recidivism continues: two-year 18% reduction (5.0 percentage points) and three-year 24% reduction (9.0 percentage points). The trend of recidivism reduction has been a consistent result of the program since it began. DOC OARS program staff estimate that "*success stems from understanding the added complexity of mentally ill participants with criminogenic needs, and the DHS/DOC partnership that allows both organizations to apply evidence-based practices to treat those needs*."

Summary

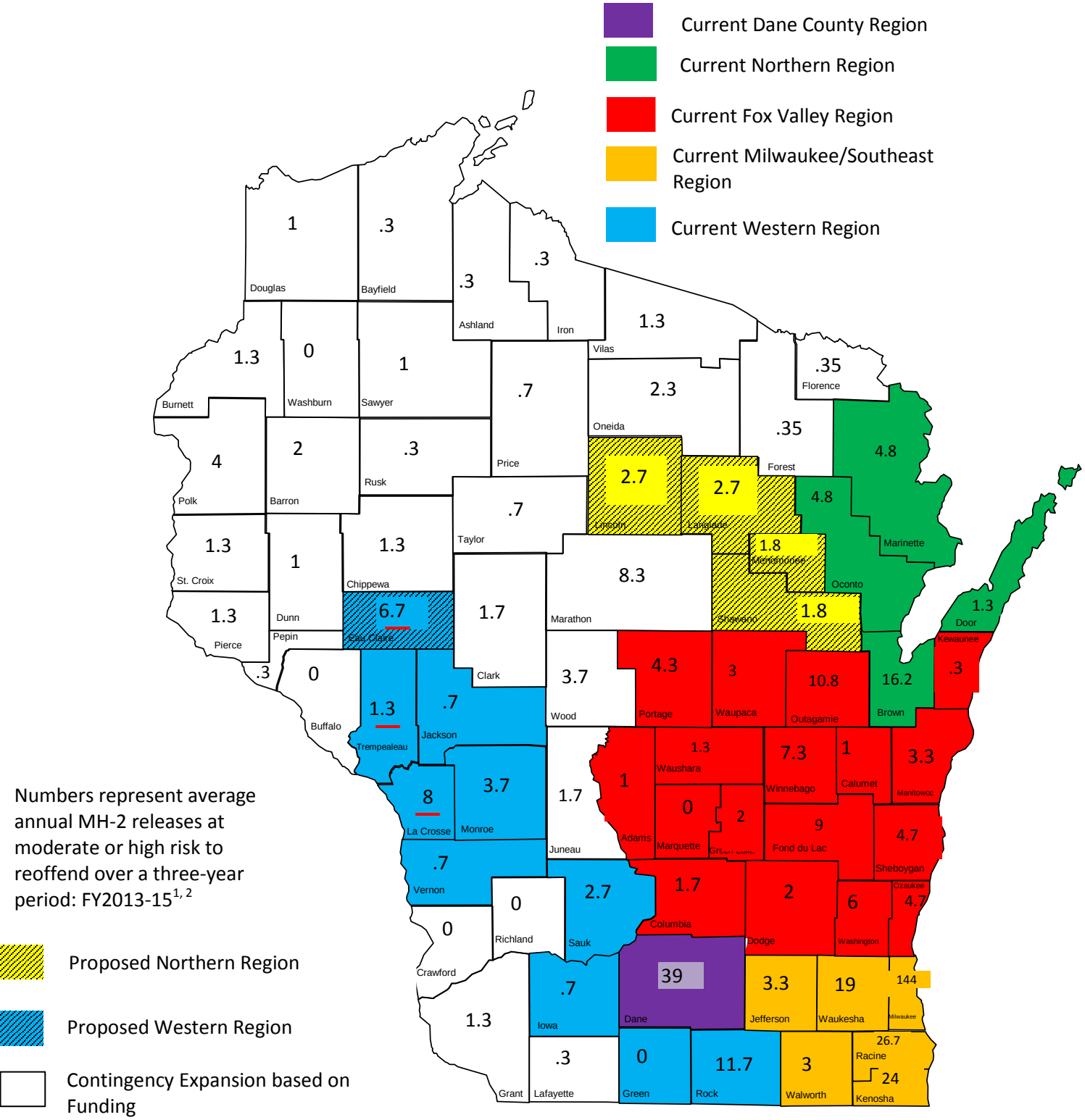
This request would expand the OARS program to 5 new counties and add case managers to Dane (1 case manager) and Milwaukee (1 case manager) counties.

	FY 18		FY 19	
	Funding	FTE	Funding	FTE
GPR	$660,800	0.00	$660,800	0.00
TOTAL	$660,800	0.00	$660,800	0.00

Prepared by: Emily Lindsey, Budget and Policy Analyst
240-5413

Appendix I

FY2013-15 Three Year Average MH-2 Moderate or High Risk Releases
Current and Projected OARS Coverage Area Map



Numbers represent average annual MH-2 releases at moderate or high risk to reoffend over a three-year period: FY2013-15[1, 2]

Proposed Northern Region

Proposed Western Region

Contingency Expansion based on Funding

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5801	OARS Expansion

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$660,800	$660,800
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$660,800**	**$660,800**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5801	**OARS Expansion**			
01	**Adult correctional services**				
	12 Becky Young community corrections; recidivism reduction community services	$660,800	$660,800	0.00	0.00
	Adult correctional services SubTotal	**$660,800**	**$660,800**	**0.00**	**0.00**
	OARS Expansion SubTotal	**$660,800**	**$660,800**	**0.00**	**0.00**
	Agency Total	**$660,800**	**$660,800**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5801**	**OARS Expansion**				
	GPR	S	$660,800	$660,800	0.00	0.00
	Total		**$660,800**	**$660,800**	**0.00**	**0.00**
Agency Total			**$660,800**	**$660,800**	**0.00**	**0.00**

Decision Item (DIN) - 5802

Decision Item (DIN) Title - Windows to Work & Vocational Training Expansion

NARRATIVE

The Department of Corrections requests $1,250,000 GPR in FY18 and $1,250,000 GPR in FY19 to expand the Windows to Work program and Vocational Training programs.

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Issue Paper

Topic: DIN 5802 - Windows to Work and Vocational Training Expansion

Request

The Department of Corrections (DOC) is requesting $1,250,000 GPR in FY18 and $1,250,000 GPR in FY19 to expand the Windows to Work program and Vocational Training programs.

Problem Description

Increased funding is necessary to expand services to prepare inmates for gainful employment upon release to the community. The funding would expand the service area of the Windows to Work program in order to assist more offenders with employment searching and job retention.

The Windows to Work (WTW) program is a partnership with the Department of Workforce Development's (DWD) Workforce Development Boards (WDB). In addition, vocational training programs at correctional centers around the state would be expanded through partnerships with local technical colleges. Labor market trends have been utilized to identify vocations in need of employees which are appropriate for a correctional population.

Each of these programs target different segments of DOC's population. WTW targets individuals with a medium- to high-risk to recidivate, and is located at correctional institutions. In addition, there is no minimum skill or knowledge level to participate in WTW. Vocational Training programs require proficiency in math and reading scores and will be offered only at the correctional centers. The $1,250,000 being requested would be allocated with $750,000 for Vocational Training programs and $500,000 for expansion of WTW programming.

Background

Vocational Training:
DOC offers Career Technical Education (CTE)/Vocational Programs in some capacity in 17 different institutions and across 24 different program areas.[9] These programs are connected to the Wisconsin Technical College System (WTCS) and include program areas such as: Barbering/Cosmetology, Computer Assisted Drafting, Masonry, and Machine Tool Operations.

Between July 1, 2013 and June 30, 2014, 1,485 inmates were enrolled in these CTE programs, and 885 inmates completed a CTE program during this time period. Unfortunately, only a fraction of the incarcerated population was enrolled, as during CY2014, 8,667 inmates were released from DOC institutions throughout the state.[10] DOC faces several challenges to providing CTE programs for a larger proportion of the incarcerated population; further enrollment and participation in these programs is inhibited

[9] DOC Education Services (http://doc.wi.gov)
[10] *Prison Releases: 1990-2014*

by program costs (instruction, supplies), length of program, access to industry-standard equipment, staffing issues, and overall institution infrastructure.

Due to nearly 9,000 inmates releasing each year throughout Wisconsin, and the high demand for skilled workers in several fields, the project in this proposal focuses on connecting incarcerated inmates at several DOC correctional centers to short-term technical training that will prepare them to be competitive candidates when applying for entry-level employment in in-demand occupations in the community. This is a request for $750,000 annually to support several technical training opportunities throughout the state.

DOC has received Pell grant funding in the amount of $1.45 million for the 2016-2017 school year to assist inmates in receiving education from the Milwaukee Area Technical College (MATC). The proposed new programs in this proposal focus on other areas in the state and therefore do not overlap the Pell Grant initiative.

Because of the need for skilled workers throughout Wisconsin and the challenges associated with providing training for a significant proportion of the incarcerated population, DOC has endeavored to develop partnerships with DWD, as well as several technical colleges throughout Wisconsin, in order to provide technical training to inmates in an accelerated format while utilizing state-of-the-art equipment. The proposed project detailed herein will build on three existing training initiatives: the MATC and Gateway Technical College have trained incarcerated DOC inmates in Computer Numerical Control (CNC)/Machining technologies, and a Madison College project to train incarcerated inmates in Industrial Maintenance. This proposal would expand these types of opportunities to more locations, thereby increasing enrollment.

Windows to Work:
WTW is a pre- and post-release program designed to address criminogenic needs that can lead to recidivism, including: employment, education, anti-social cognition, anti-social personality, and anti-social companions. Participants must be at medium- to high-risk to recidivate, according to their COMPAS assessment.

DOC holds a contract with each of Wisconsin's 11 WDBs to provide, or subcontract to provide, a WTW program at selected state correctional institutions or county jail facilities in each workforce development area. In FY17, WTW is funded with $1,227,214 GPR from §20.410(1)(d) ($314,714) and §20.410(1)(ds) ($912,500). These funds provide 4 county jail programs and 16 Division of Adult Institutions (DAI) programs (at 12 sites) throughout the state. See the table below for the program sites hosting the 20 programs and the WDB assisting in providing the programming.

Table 1. Windows to Work FY16 Program Sites

Program Site	WDB Area(s) Providing Program
Chippewa Valley Correctional Treatment Facility (CVCTF)	Northwest, West Central
Fox Lake Correctional Institution (FLCI)	Fox Valley
Jackson Correctional Institution (JCI)	Western
Milwaukee Secure Detention Facility (MSDF)	Milwaukee
New Lisbon Correctional Institution (NLCI)	Western
Oakhill Correctional Institution (OCI)	South Central
Oshkosh Correctional Institution (OSCI)	Bay Area, Fox Valley
Prairie du Chien Correctional Institution (PDCI)	Southwest
Racine Correctional Institution (RCI)	Milwaukee, Southeast
Sturtevant Transitional Facility (STF)	Milwaukee, Southeast
Stanley Correctional Institution (SCI)	Northwest, West Central
Taycheedah Correctional Institution (TCI)	Bay Area
Douglas County Jail	Northwest
Rock County Jail	Southwest
Waukesha County Huber (Jail)	W-O-W
Wood County Jail	North Central

Individual and group participation begins 3 to 9 months prior to release from incarceration. The WTW Coach provides participants with classroom training in core curriculum content areas, such as Cognitive Intervention, General Work Skills and Expectations, Financial Literacy, Community Resources, Job Seeking, Applications and Resumes. In coordination with the institution social worker and/or community corrections agent, individual release/case planning takes place. Coaches use a variety of assessment tools to assist in determining skill level and career path choices.

During the pre-release phase, county jail programs may function in a different manner due to the transient nature of the population. Many jail programs offer support to participants on an individual basis. This process still requires the utilization of curriculum as defined above, but in a more individualized manner.

In coordination with the Division of Community Corrections (DCC) Probation and Parole Agents, coaches assist participants with job search and job retention activities for approximately 12 months after release from incarceration. Participants receive assistance in accessing available community resources to address needs for food, shelter, clothing, transportation, and other services. WTW programs sometimes have limited funds to assist participants in addressing barriers to employment, such as transportation, education, personal identification (such as a driver’s license) and work supplies.

Analysis

Vocational Training Programs:

Due to the demand for skilled workers throughout Wisconsin, and based on the success of prior technical training projects, it is requested that $750,000 be allocated to support the expansion of vocational training opportunities for individuals who are incarcerated in DOC correctional centers. Based on industry demand, and evaluation of DOC population and capabilities, the following programs are identified for this project:

- ***Racine Correctional Institution – Gateway Technical College CNC Mobile Lab Training***
    - This is a continuation of the existing CNC Mobile Lab training, as DWD funding will no longer be available as of the end of FY16. The Computer Controlled Machine Tool Operators (U.S. Department of Labor Standard Occupational Code (SOC) 51-4011) occupation is listed among the fastest growing careers in Wisconsin.[11] The field is expected to experience a 16.4% increase statewide between the years of 2012 and 2022, with a total of 417 jobs to either open up due to replacements or growth in the field. An entry level wage for this field in southeastern Wisconsin is approximately $14.55. The occupation of Computer Numerically Controlled Machine Tool Programmers (SOC 51-4012) is also seeing significant growth, particularly in Workforce Development Area (WDA) 1 (Kenosha, Racine, and Walworth counties), where the field is projected to experience an increase in jobs of 27.72% between 2012 and 2022, with an entry level wage of $19.12. Finally, the Machinist (SOC 51-4041) occupation is expected to grow by 16.25% in WDA 1 by 2022, with an entry level wage of $13.60. For Machinists, this equates to a net gain of 269 positions in WDA 1 alone.
    - Enrollment criteria will be further defined; however individuals who are incarcerated at RCI and who are releasing to WDA 1 within 18 months after enrollment will be given priority.
    - Program would serve approximately 30 inmates/year
    - Estimated annual cost for the CNC Mobile Lab project: $83,000

- ***Thompson Correctional Center/Oregon Correctional Center – Madison College Industrial Maintenance Training***
    - This is a continuation of the Industrial Maintenance Essentials pilot project developed in early 2016, as WTW funding will no longer be available for this purpose. DWD provided growth projections which indicate that for the occupations of Industrial Machinery Mechanics there is a projected 28.46% change in employment from 2012 to 2022 in WDA 10 (Columbia, Dane, Dodge, Jefferson, Marquette, and Sauk Counties). For Maintenance Workers, Machinery there is a projected 22.25% change in employment in WDA 10. For Maintenance & Repair Workers, General there is a projected 13.06% change in employment in WDA 10. For all occupations, this equates to a net gain of 933 positions in WDA 10. Statewide, there is a projected net gain of 4,318 positions in these sectors.
    - Enrollment criteria will be further defined; however individuals who are incarcerated at TCC and OCC and who are releasing to WDA 10 within 18 months after enrollment will be given priority.
    - Program would serve approximately 30 inmates/year
    - Estimated annual cost for this training: $150,000

[11] All job projections are courtesy of The Office of Economic Advisors, Wisconsin Department of Workforce Development, August 2014.

- ***McNaughton Correctional Center (MCC) – Nicolet Area Technical College Industrial Mechanical Training***
    - DWD provided growth projections which indicate that for the occupations of Industrial Machinery Mechanics there is a projected 19.55% change in employment from 2012 to 2022 in *WDA 6* (Adams, Forest, Langlade, Lincoln, Marathon, Oneida, Portage, Vilas, and Wood Counties). For Maintenance Workers, Machinery there is a projected 17.49% change in employment in WDA 6. For Maintenance & Repair Workers, General there is a projected 6.26% change in employment in WDA 6. For all occupations, this equates to a net gain of 240 positions in WDA 6. Statewide, there is a projected net gain of 4,318 positions in these sectors.
    - Enrollment criteria will be further defined; however individuals who are incarcerated at MCC and who are releasing to WDA 6 within 18 months after enrollment will be given priority.
    - Program would serve approximately 30 inmates/year
    - Estimated annual cost for this training: $150,000

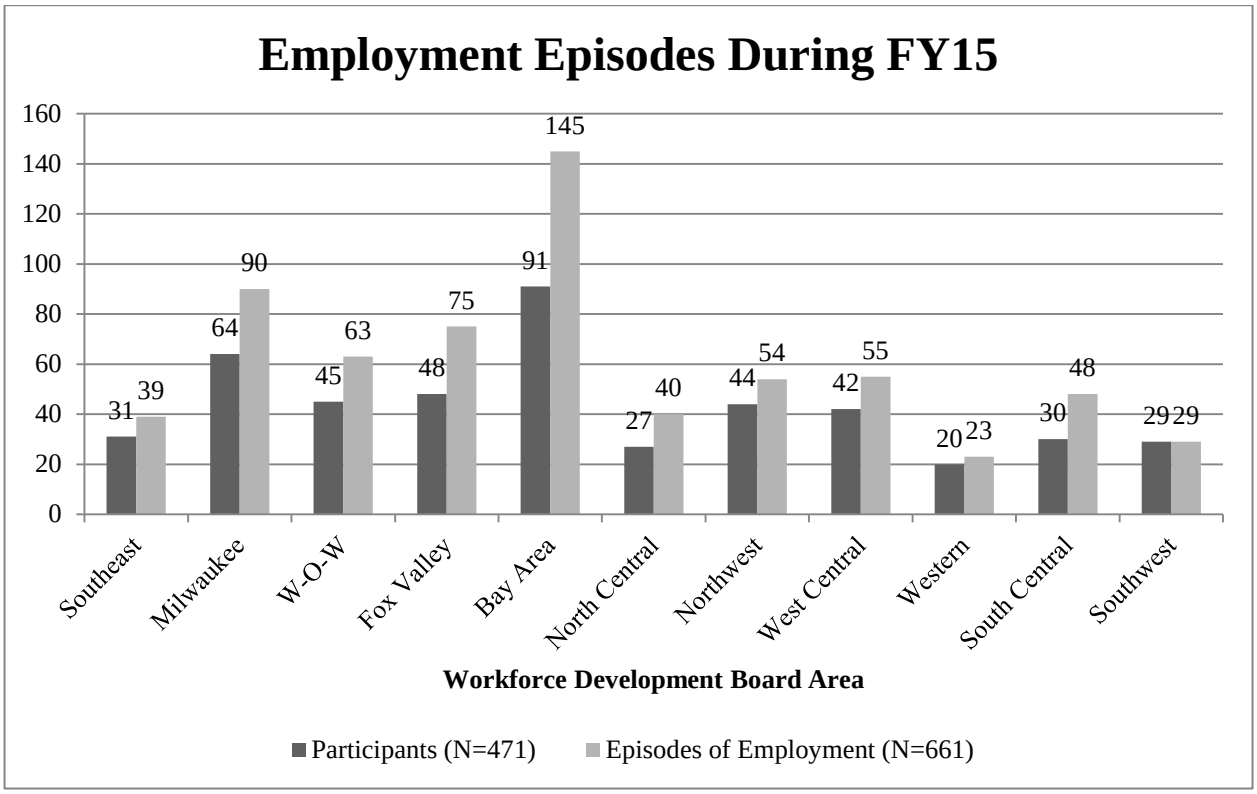
- ***Kenosha Correctional Center – Gateway Technical College Manufacturing Maintenance Training***
    - DWD provided growth projections which indicate that for the occupations of Industrial Machinery Mechanics there is a projected 27.61% change in employment from 2012 to 2022 in WDA 1 (Kenosha, Racine, and Walworth counties). For Maintenance Workers, Machinery there is a projected 12.59% change in employment in WDA 1. For Maintenance & Repair Workers, General there is a projected 15% change in employment in WDA 1. For all occupations, this equates to a net gain of 535 positions in WDA 1. Statewide, there is a projected net gain of 4,318 positions in these sectors.
    - Enrollment criteria will be further defined; however individuals who are incarcerated at KCC and who are releasing to WDA 1 within 18 months after enrollment will be given priority.
    - Program would serve approximately 30 inmates/year
    - Estimated annual cost for this training: $150,000

- ***Sanger B. Powers Correctional Center (SPCC) – Northeast Wisconsin Technical College Machine Tool Operation Training***
    - DWD provided growth projections specific to WDA 5 (Brown, Door, Florence, Kewaunee, Manitowoc, Marinette, Menominee, Oconto, Outagamie, Shawano, and Sheboygan Counties) that indicate that for the occupation of Machinists there is a projected 15.18% change in employment from 2012 to 2022. This equates to a net gain of 393 positions in this area (WDA 5). Statewide, there is a projected net gain of 1,680 positions in this sector. Additional career opportunities include Computer-Controlled Machine Tool Operators, Metal and Plastic (projected growth in WDA 5 of 148 positions), and Computer Numerically Controlled Machine Tool Programmers, Metal and Plastic (projected growth in WDA 5 of 54 positions).
    - Enrollment criteria will be further defined; however individuals who are incarcerated at SPCC and who are releasing to WDA 5 within 18 months after enrollment will be given priority.
    - Program would serve approximately 30 inmates/year
    - Estimated annual cost for this training: $150,000

- ***John C. Burke Correctional Center (JBCC)***
    - One option for JBCC is a partnership with Northcentral Technical College (NTC) in the field of Agriculture and/or Dairy Science. This project would be tied to the Waupun Farms and participants would be given credit through NTC for their work at the farm.
    - Another option for JBCC would be to develop a partnership with Moraine Park Technical College in which a small group of individuals could be trained in one of the following occupational fields: Industrial Maintenance, Welding, or CNC. Based on DWD growth projections, for the occupations of Welding, Soldering, and Brazing Machine Setters, Operators, and Tenders there is a projected 23.16% change in employment from 2012 to 2022 in WDA 10 (24.47% change in WDA 4, which encompasses Calumet, Green Lake, Fond Du Lac, Waupaca, Waushara, and Winnebago counties). For Welders, Cutters, Solderers, and Brazers, there is a projected change of 2.26% in WDA 10 (projected 5.36% change in WDA 4). For all occupations, this equates to a net gain of 267 positions in WDA 10 and WDA 4. Statewide, there is a projected net gain of 1,586 positions in these sectors.
    - For the second option, enrollment criteria will be further defined; however individuals who are incarcerated at JBCC and who are releasing to WDA 10 and WDA 4 within 18 months after enrollment will be given priority.
    - Program would train approximately 15 inmates/year
    - Estimated annual cost for this training: $67,000

<u>Windows to Work</u>

<u>Program Employment Data:</u>
One of the goals of WTW is to increase employment placement opportunities for participants. The graph below provides information for employment episodes occurring during the previous program year (7/1/2014 – 6/30/2015). An employment episode is an instance of employment, including temporary placements, On-the-Job Training (OJT), Work Experience, Seasonal Employment, and all full- and part-time employment. In all, *471 different program participants obtained 661 episodes of employment during the program year*. The graph on the following page shows how those employment episodes were spread out across the state. Program participants earned an average wage of $10.11 per hour at hire. 67.6% of employment episodes were full-time.



Current Program Limitations:

The current criterion for enrollment in WTW limits the number of individuals who may be eligible and able to participate in the program at any given time. Because an inmate at an institution with WTW must be releasing to the identified WDB region in order to participate, many inmates do not meet this criteria. The program has been designed in this way to promote a seamless transition to the community. However, as noted, this significantly limits the capacity of the program.

Currently, not every workforce board has the funding necessary to have a dedicated staff member(s) for the WTW program. Most agencies are required to utilize other funding streams to supplement salary, fringe benefits, travel, and various other expenses related to the program. Many times a staff member may have various duties unrelated to the program which does not allow him/her to dedicate appropriate time and resources to assisting participants both pre- and post-release. For example, the WTW Coach in the Western WDB area dedicates 54% of her time to the program, and the Coach in the WOW (Waukesha, Ozaukee, and Washington)WDB area dedicates 75% of his duties to the program.

Most workforce boards have been allocated a small budget to assist participants with addressing needs related to obtaining and maintaining employment. These funds are utilized for transportation concerns, shelter, education, work supplies, training opportunities, and identification, among others. In some cases, workforce boards do not have any funds for WTW program support. This limits the effectiveness of the program as a whole, and does not allow for the continued, wrap-around services that have shown to increase employment and reduce recidivism. While DOC does pay for contracted services, the contract cost does not cover all expenses related to providing services for participants.

In addition to the above mentioned limitations, some workforce boards cover several counties, requiring staff to possibly travel several hundred miles in any given day to support participants who are enrolled in the program. This, like the staffing concerns listed above, often requires funding to be pulled from various streams in order to support the effectiveness of the program. The requested funds would allow for expansion of the program by addressing these limitations.

County of Release Data:

The data presented below provides information on the numbers of individuals releasing from incarceration (DOC institution/facility) to each WDB area during CY2014:

WDB Area	Number Released
Southeast	937
Milwaukee	2,403
WOW	520
Fox Valley	438
Bay Area	1054
North Central	458
Northwest	174
West Central	277
Western	288
South Central	857
Southwest	383
Unknown	878
Totals	8,667

The vast majority of the individuals listed above are returning to the community in search of employment. Many do not have the skills necessary to obtain and maintain meaningful employment. Thus, it is important for them to be linked with the appropriate resources and services so that they can secure a job, and maintain a crime-free lifestyle in the community.

WTW is that link, however only a fraction of those individuals listed above can get the help they need from this program due to budget constraints and other limitations. In CY14, approximately 3% of all (8,667) releases to the community were served by WTW. With the requested funding, the Department estimates that 5% (470) of all releases to the community would be served, assuming that 8,667 inmates are released to the community.

Conclusion

The funds requested here would constitute significant investment in ensuring that more of DOC's population is employment-ready. Approximately 280 individuals would receive training and

employment assistance with these additional program dollars. As described previously, these programs target the needs of DOC's population and the needs of the labor market. By directly addressing the needs of the labor market, individuals will receive training relevant to meeting areas of the labor market that needs qualified employees.

Windows to Work:
Increased funding would allow for the redesign and expansion of the WTW program. As was mentioned, the current capacity of the program is limited by an individual's current location (institution), and his/her county of release. This additional funding would allow for individuals at a participating institution to enroll in the program while incarcerated and transfer to the program in the area where they are releasing after incarceration. This will require a high level of coordination, as well as additional staff resources.

With the additional $500,000 in funds, adding programs at the following institutions would be top priority: Fox Lake Correctional Institution (South Central WDB program – *a program already exists at FLCI with the Fox Valley WDB*), Kettle Moraine Correctional Institution (Bay Area WDB), Racine Youthful Offender Correctional Facility (Southeast WDB/Milwaukee WDB), and Redgranite Correctional Institution (Fox Valley WDB).

The Department estimates the requested funds would allow 112 additional program participants to be served by the WTW program. This would bring total participants to 470 per year. Because DOC contracts with the local WDBs to provide services, it won't be known until contracts are negotiated exactly which institutions can be expanded to and how many more participants can be served.

Vocational Training:

With the addition of $750,000 annually to support technical vocational training opportunities at several DOC facilities, it is projected that a minimum of 165 inmates could earn certifications in in-demand fields which will prepare them for employment upon their release from incarceration. To further ensure success of participants, this initiative would be managed through the Reentry Unit working closely with DAI and DCC staff.

Every individual who completes training will be identified prior to release (as applicable) and linked with a staff member who will make appropriate referrals and linkages to workforce intermediaries and/or employers in the community. Long-term success of participants will be tracked, including employment rates and recidivism rates. It is believed that this project will have a positive impact on participants, employers, and ultimately the community.

Summary

	FY 18		FY 19	
	Funding	FTE	Funding	FTE
GPR	$1,250,000	0.00	$1,250,000	0.00
TOTAL	$1,250,000	0.00	$1,250,000	0.00

Prepared by: Emily Lindsey, Budget and Policy Analyst
240-5413

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5802	Windows to Work & Vocational Training Expansion

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$1,250,000	$1,250,000
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$1,250,000**	**$1,250,000**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5802	**Windows to Work & Vocational Training Expansion**			
01	**Adult correctional services**				
	12 Becky Young community corrections; recidivism reduction community services	$1,250,000	$1,250,000	0.00	0.00
	Adult correctional services SubTotal	**$1,250,000**	**$1,250,000**	**0.00**	**0.00**
	Windows to Work & Vocational Training Expansion SubTotal	**$1,250,000**	**$1,250,000**	**0.00**	**0.00**
	Agency Total	**$1,250,000**	**$1,250,000**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5802**	**Windows to Work & Vocational Training Expansion**				
	GPR	S	$1,250,000	$1,250,000	0.00	0.00
	Total		**$1,250,000**	**$1,250,000**	**0.00**	**0.00**
Agency Total			**$1,250,000**	**$1,250,000**	**0.00**	**0.00**

Decision Item (DIN) - 5902

Decision Item (DIN) Title - MJTC Re-Estimate

NARRATIVE

The Department of Corrections requests ($229,500) PR in FY18 and ($162,800) PR in FY19 to contract with the Department of Health Services for 29 mental health beds for male juveniles at the Mendota Juvenile Treatment Center.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5902	MJTC Re-Estimate

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	($229,500)	($162,800)
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**($229,500)**	**($162,800)**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5902	**MJTC Re-Estimate**			
03	**Juvenile correctional services**				
	23 Juvenile operations	($229,500)	($162,800)	0.00	0.00
	Juvenile correctional services SubTotal	**($229,500)**	**($162,800)**	**0.00**	**0.00**
	MJTC Re-Estimate SubTotal	**($229,500)**	**($162,800)**	**0.00**	**0.00**
	Agency Total	**($229,500)**	**($162,800)**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5902**	**MJTC Re-Estimate**				
	PR	S	($229,500)	($162,800)	0.00	0.00
	Total		**($229,500)**	**($162,800)**	**0.00**	**0.00**
Agency Total			**($229,500)**	**($162,800)**	**0.00**	**0.00**

Decision Item (DIN) - 5903

Decision Item (DIN) Title - Serious Juvenile Offenders

NARRATIVE

The Department of Corrections requests $1,196,700 GPR in FY18 and $2,490,200 GPR in FY19 to provide juvenile institution care, alternate care, and community supervision for Serious Juvenile Offenders.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5903	Serious Juvenile Offenders

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$0	$0
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$0	$0
06	Supplies and Services	$1,196,700	$2,490,200
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$0	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$0	$0
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$1,196,700**	**$2,490,200**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	0.00	0.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5903	**Serious Juvenile Offenders**			
03	**Juvenile correctional services**				
	04 Serious juvenile offenders	$1,196,700	$2,490,200	0.00	0.00
	Juvenile correctional services SubTotal	**$1,196,700**	**$2,490,200**	**0.00**	**0.00**
	Serious Juvenile Offenders SubTotal	**$1,196,700**	**$2,490,200**	**0.00**	**0.00**
	Agency Total	**$1,196,700**	**$2,490,200**	**0.00**	**0.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5903**	**Serious Juvenile Offenders**				
	GPR	S	$1,196,700	$2,490,200	0.00	0.00
	Total		**$1,196,700**	**$2,490,200**	**0.00**	**0.00**
Agency Total			**$1,196,700**	**$2,490,200**	**0.00**	**0.00**

Decision Item (DIN) - 5904

Decision Item (DIN) Title - Juvenile Mental Health Services

NARRATIVE

The Department of Corrections requests $256,100 PR and 3.25 PR FTE in FY18 and $300,500 PR and 3.25 PR FTE in FY19 to support the provision and enhancement of mental health services for girls at Copper Lake School.

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Issue Paper

Topic: DIN 5904 - Juvenile Mental Health Services

Request

The Department of Corrections (DOC) requests $256,100 PR and 3.25 PR FTE in FY18 and $300,500 PR and 3.25 PR FTE in FY19 to support the provision and enhancement of mental health services for girls at Copper Lake School (CLS).

Problem Description

DOC has insufficient staffing and services to meet the mental health needs of its juvenile female population. Comparatively, resources for this high-need population in CLS are not met with the same level of intensive counseling staff as their male counterparts who have access to onsite services as well as access to the 29-bed unit at Mendota Juvenile Treatment Center (MJTC).

Background

Prior to the consolidation of the Juvenile Correctional Institution (JCI) schools at the end of FY11, specialized mental health services for juvenile girls were provided at Southern Oaks Girls School through the 6-bed Intensive Treatment Program (ITP) initially funded by a Juvenile Accountability Incentive Block Grant (JAIBG).

The staff-intensive ITP reduced security unit placements and resulted in fewer self-harm and staff incidents and better post-release outcomes. The ITP program provided:

- five or more hours of individualized educational classes and a dedicated teacher position;
- three to four treatment groups per day;
- one or more individual or family therapy sessions with a psychologist, treatment specialist/social worker, and/or a 45-minute meeting with the ITP psychiatrist;
- unit-dedicated psychologist;
- rigorous staff-to-youth ratios for intensive monitoring of dangerous behaviors; and,
- on-site health services staff to address self-harm injuries.

When JAIBG funding expired in 2005, the Legislature chose to continue the program with JCI PR funding until it ended due to the 2011 JCI school consolidation.

After the consolidation to CLS, a specialized unit was created to replace the ITP mental health services in what is now called the Ida B. Wells cottage (Wells). The Wells unit has enhanced security and social worker staffing and offers treatment options including individualized behavioral plans and groups focused on cognitive-behavioral therapy, healthy relationships programming, and art therapy. As of May 3, 2016, the CLS girls' population was 26. Of this population, 9 girls (35%) require specialized services and they reside at the Wells Living Unit with three other security placements who also require additional mental health care.

The Division of Juvenile Corrections (DJC) has recently implemented a Juvenile Mental Health Code (JMH Code) system to aid in assessing and categorizing a youth's mental health needs during her initial screening upon arrival to CLS (see Table 1). As of May 2, 2016, there were 12 girls who had a JMH code of 2 or 3, which indicates that they have serious emotional and behavioral problems including suicidal and self-harm behavior and psychosis. For their counterparts, male juveniles identified with a code of JMH-3 often have cases that require inpatient treatment services provided at MJTC. Appendix 1 contains a brief description of each mental health code.

Table 1 – Juvenile Mental Health Coding

JMH Code	Youth	Percent of Assessed
JMH 2 or JMH 3	12	54.5%
JMH 1	7	31.8%
JMH 0	3	13.6%
Not Assessed	3	
Total	25	100.0%

**As of May 2, 2016*

Juvenile girls often suffer from higher rates of depression, low self-esteem, negative body image, substance abuse, physical abuse, and sexual abuse than boys. The seclusion and loss of privacy associated with the correctional environment further challenges their mental health treatment by creating a sense of loss of control and negative feelings about their lives, which can result in higher likelihood of suicide attempts and self-mutilation.[12]

The Wells Unit is further complicated by the mixture of security placements and mental health placements which stretches staff between the different needs of the two populations. Additional security staff on all shifts will enable girls to receive more individual attention and support and create a safe treatment environment.

The majority of CLS girls have experienced significant adversities, such as: severe and persistent neglect, abandonment, physical abuse, sexual abuse, witnessing violence, and frequent disruption of family and community ties. As a result, many girls have entered the correctional system with unresolved trauma resulting in emotional, social, and neurological difficulties. Furthermore, the environment of a correctional facility oftentimes exacerbates past trauma, which can lead to increased incidences of self-harm and harm to others.

In emergencies, DJC occasionally sends girls to the Winnebago Mental Health Institute (WMHI) for immediate crisis stabilization but this is seen as a temporary solution since they do not offer any long term treatment options. Further, in the past, WMHI has been unwilling to accept admittance of some of the girls at CLS citing behavioral reasons. DJC is ultimately left with no options in the State that will serve the mental health needs of juvenile girls.

[12] "Mental Health Treatment for Youth in the Juvenile Justice System." National Mental Health Association. 2004: pp 10-11.

Analysis

Requested Positions and funding:

DJC is proposing that the following positions and funding be added to enhance mental health treatment:

- 1.75 FTE Youth Counselors are requested as patrol staff for the 3rd shift in order to provide additional services to girls who have been traumatized and abused and have difficulty with sleeplessness. This post would be added to the CLS Patrol Unit, which moves between the two housing units.

- .50 FTE Youth Counselors – Advanced are requested as additional lead workers for the 1st and 2nd shifts and to increase attention that the girls need to help complete goals or case work and to focus on their individual, identified needs. In total, DJC will utilize 3.50 FTE Youth Counselors – Advance for this initiative, but only an additional 0.50 FTE Youth Counselor – Advanced is needed. Security staff would be trained in Trauma Informed Care (TIC) practices in order to provide improved cognitive processing and a safer environment for girls.

- 1.00 FTE Psychologist Associate to enhance current individual and group work and family counseling time. A unit psychologist would assist in the reestablishment of adequate psychological care that was once available through the SOGS ITP and is currently available to boys through MJTC. The additional psychologist would enable girls to have increased access to programming and coordinate therapy with unit social workers and treatment specialists.

Additionally, DJC is requesting funding for an agency (contract) psychiatrist to provide in-person treatment one day per week and to coordinate with CLS psychologists when prescribing medication. This will increase access to psychiatry to two days per week. CLS has used both in-person and tele-psychiatry and has indicated that in-person consultation has produced better treatment outcomes.

Benefits of Additional Positions:

Over half of the girls at CLS require significant staff time and individual attention to address their mental health needs and to help them learn how to cope with past trauma and abuse. However, the Wells Unit does not have an appropriate amount of staffing to provide mental health services to the girls at CLS. While there are 2.50 psychologist FTEs at MJTC dedicated to 29 boys, there is only 1.00 FTE Psychological Associate dedicated to all of the girls at CLS. An LTE Psychologist intern spends 75% percent of her time at CLS, providing individual treatment.

A dedicated unit psychologist would serve to increase access to treatment in the Wells Unit and also ensure that access to treatment is not substantially reduced in the event of staff absences. Finally, while MJTC has a dedicated, full-time, unit psychiatrist, girls at CLS have access to a psychiatrist only one day per week.

In addition to formal treatment from clinical staff, Youth Counselors are best positioned to provide ongoing formal and informal support to girls with mental health needs, but current staffing levels are not sufficient to provide the appropriate intensity of service. Additional Youth Counselors on each shift will allow for more

individual attention, skill development, safety monitoring, and support in meeting treatment goals in between sessions with a psychologist or psychiatrist.

While MJTC has 23.00 FTE Psychiatric Care – Advanced positions dedicated to 29 boys, the Wells unit only has 8.75 Youth Counselors and 3.50 Youth Counselor – Advanced positions dedicated to the 12 girls. Housing unit staff are supplemented by an additional 5.25 FTE on the patrol unit, but patrol time is split between the Wells and King Units. While the ratios of security staff to youth are fairly similar between the two facilities, MJTC relies on non-security positions to perform treatment often performed by YCs at CLS.

The current staffing pattern in the Wells Unit is 3-3-1 which is further enhanced with the 1-1-1 post-shifted patrol unit. Table 2 shows how adding 5.25 FTE (3 of these FTE are not included in this request) updates the post-shift in the Wells Unit to 4-4-1 and the Patrol Unit to 1-1-2. The Patrol Unit is dedicated to moving between the two CLS housing units and providing additional assistance to YCs that are posted in each of the housing units.

Since there is only one post in each housing unit on third shift and one post for patrol, incidents that occur at night are particularly difficult to respond to. DJC has also indicated that it is commonplace for incidents on third-shift with one girl to snowball into the rest of the housing unit and that it becomes difficult to de-escalate the situation. While the primary focus of DJC's Patrol Unit request is enhancing mental health services in the Wells Unit, the additional post will increase stability in both the Wells and King Units on third shift.

Table 2 – Wells Unit and Patrol Staffing

	1st Shift w/ Patrol	**2nd Shift w/ Patrol**	**3rd Shift w/ Patrol**	**Total YC & YC-A FTE**
Current Staffing Pattern	3 YC 1 YC-A	3 YC 1 YC-A	1 YC 1 YC-A	12.25 YC 5.25 YC-A
Staffing Pattern with Request	3 YC 2 YC-A	3 YC 2 YC-A	2 YC 1 YC-A	14.00 YC 8.75 YC-A

Although the Wells Unit is more focused on enhanced mental health services than the King Unit, DJC has indicated that girls in both housing units will benefit from the enhanced mental health offered by the additional 1.00 FTE Psychologist Associate and .20 Agency Psychiatrist.

Summary

	FY 18		FY 19	
	Funding	FTE	Funding	FTE
PR	$256,100	3.25	$300,500	3.25
TOTAL	$256,100	3.25	$300,500	3.25

Prepared by: Peter James, Budget and Policy Analyst
240-5422

Appendix 1 – Juvenile Mental Health Codes

JMH Code	MH Status	Symptoms/Behaviors	Level of Service
JMH-0	No Mental Health Need	None	Available for consultation with staff. Contact as needed or by request.
JMH-1	Minimal Mental Health Need	Some behavioral problems. Adjustment difficulties / related stressors.	Monitoring by Psychological Services Unit staff. Available for consultation with staff. Contact as needed or by request.
JMH-2	Moderate Mental Health Need	Pronounced emotional / behavioral problems, but without repeated serious suicidal or self-harm behavior.	Initial contact within the first 2 weeks after unit placement, 6-8 sessions provided. Each session is scheduled every 2-4 weeks.
JMH-3	Serious Mental Health Need	Extreme manifestations of symptoms described in JMH-2, as well as serious suicidal and self-harm behavior and psychosis. These would be cases that have a need for inpatient treatment and are likely on more than one psychotropic medication.	Initial contact within the first week after unit placement, 6-8 sessions provided. Each session is scheduled weekly.

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5904	Juvenile Mental Health Services

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$109,700	$132,800
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$51,100	$61,300
06	Supplies and Services	$83,100	$104,300
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$10,600	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$1,600	$2,100
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$256,100**	**$300,500**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	3.25	3.25
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5904	**Juvenile Mental Health Services**			
03	**Juvenile correctional services**				
	23 Juvenile operations	$256,100	$300,500	3.25	3.25
	Juvenile correctional services SubTotal	**$256,100**	**$300,500**	**3.25**	**3.25**
	Juvenile Mental Health Services SubTotal	**$256,100**	**$300,500**	**3.25**	**3.25**
	Agency Total	**$256,100**	**$300,500**	**3.25**	**3.25**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5904**	**Juvenile Mental Health Services**				
	PR	S	$256,100	$300,500	3.25	3.25
	Total		**$256,100**	**$300,500**	**3.25**	**3.25**
Agency Total			**$256,100**	**$300,500**	**3.25**	**3.25**

Decision Item (DIN) - 5905

Decision Item (DIN) Title - Juvenile Medication Administration

NARRATIVE

The Department of Corrections requests $620,800 GPR, ($527,300) PR, and 9.00 GPR FTE in FY18 and $769,000 GPR, ($703,100) PR, and 9.00 GPR FTE in FY19 to provide for the safe and effective administration of controlled medications to Division of Juvenile Corrections youths by trained medical personnel.

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Issue Paper

Topic: DIN 5905 - Juvenile Medication Administration

Request

The Department of Corrections (DOC) requests $620,800 GPR, ($527,300) PR, and 9.00 GPR FTE in FY18 and $769,000 GPR, ($703,100) PR, and 9.00 GPR FTE in FY19 to provide for the safe and effective administration of controlled medications to Division of Juvenile Corrections (DJC) youths by trained medical personnel.

Problem Description

Medication delivery at DJC's Lincoln Hills School (LHS) and Copper Lake School (CLS) is not acceptable practice. Until recently, all medications were delivered by Youth Counselor (YC) and Youth Counselor Advanced (YCA) staff. Best practice involves the administration of medications by nurses. Currently, medication management is a hybrid system which involves the use of health care professionals and security staff.

Medication delivery by non-health care staff is recognized on a national level as an unsafe practice. YCs and YCAs are neither professionally trained nor experienced in, nor do they hold the fundamental knowledge of, the safe and correct methods of administration of medications. This practice, as implemented, could subject nurses and physicians to exposure and sanctions related to scope of practice issues if perceived to be delegating to non-licensed employees. In addition, patient medication requires a scientific knowledge and an understanding of the patient's basic and complex health needs.

DOC has made efforts to provide medication delivery training to YCs; however, medication administration involves knowledge of medication and an understanding of how medications interact with a patient's health status. The result of the practice of YCs delivering medications has resulted in medication errors, omissions, and safety concerns which all increase the possibility of litigation. As of May, 2016 DOC has begun taking steps toward having only healthcare professionals (nurses) administer medications at both LHS and CLS, but additional positions are necessary to entirely shift the responsibilities from security staff to nursing.

Background

Administration of medications to inmate patients by licensed health care staff, which includes Registered Nurses (RN) and Licensed Practical Nurses (LPN), occurs at the female Taycheedah Correctional Institution (TCI). Permanent positions for this purpose were allocated to TCI through the 2007-09 and 2009-11 Biennial Budgets. The role of the nurse in the Department is critical to the safe provision of health care to inmates and to ensuring access to care; therefore, it is important to ensure adequate staffing.

The 2007-09 Biennial Budget included authorization for 7.00 Licensed Practical Nurse (LPN) FTE predominantly for the distribution of medications at TCI's Monarch Unit (a specialized management unit for offenders who have difficulty in adjusting to the prison environment due to mental or emotional problems and/or cognitive deficiencies or who have a special need identified by medical staff or via other means requiring specialized care) and in TCI's Restrictive Housing (previously known as Segregation).

The 2009-11 Biennial Budget authorized an additional 10.50 Nurse Clinician 2 (NC2) (equivalent to Registered Nurse) FTE for administration of medication to the rest of TCI's population. These positions were subsequently reallocated to LPNs.

Until May, 2016 all medications at CLS/LHS were being delivered to the youth by YCs and YCAs. Nursing staff transcribed orders and also prepared and refilled the blister packs of medications for the housing units. As of May 23, 2016, LHS had 362 active prescriptions that were being administered on a daily basis while CLS had 135 active prescriptions.

Analysis

Medication management involves a considerable amount of time. In a nation-wide study[13], medical experts estimate that as much as 40% of nurses' time is involved in medications before, during, and after administration. The utilization of an assembly line approach for medication administration does not equate to a great reduction in nurses' time spent distributing medication, given the complexities and time-intensive nature of the task.

All of these factors increase the risk of medication errors and harm to juvenile patients when YC's perform medication administration and delivery. Medication administration requires knowledge of medication, side effects of medications, and knowledge of potential contraindications. Despite the Department's best efforts to provide training to YCs and YCAs on the delivery of medications, errors continued to occur.

Therefore, in May, 2016 DJC instituted new policies and procedures at LHS and CLS for the administration of medication. In the housing unit with the highest percent of youth on medication (DuBois), it became a requirement that nursing staff administer all medications. In the other housing units, only senior staff (YCAs and YCs with more than 2 years of experience) are now permitted to deliver medications.

DOC's next step in the transition started in June, 2016 when contracted health care staff (1 Registered Nurse and 1 LPN each for 1st and 2nd shifts) were hired to administer medications. Now only nursing staff are administering medications to CLS housing units and LHS' DuBois housing unit. The first medication administration occurs on the housing unit prior to the start of school (which occurs at 07:45 AM), the last administration occurs at approximately 10:30 PM, with two other medication passes during the day. This schedule is maintained 7 days/week. In June, 2016 the Department changed the classification on an existing 1.00 FTE into an NC2, thereby increasing the number of permanent nursing staff at LHS/CLS.

[13] Armitage and Knapman. "Adverse events in drug administration." *Journal of Nursing Management (*2003): 130-140.

As of May, 2016 CLS consists of two housing units which are both open. CLS is separated from the male side of the facility by a fence. LHS consists of 10 housing units, of which seven are currently open. One of LHS' housing units is completely surrounded by fencing that allows the unit to be switched from a male to a female unit, when there is a need for additional female beds. As shown in the map of the LHS/CLS campus in Appendix I, these housing units (cottages) are spread out across the entire campus, therefore there can be significant travel time from one housing unit to the next.

The Department would like to fully transition to utilizing permanent nursing staff to administer medication to all youth at LHS and CLS in October, 2017. In order to completely stop utilizing YCs and YCAs to administer medications, a total of 10.00 nursing FTE will be needed.

The Department would hire 5.00 FTE NC2s and 5.00 FTE LPNs, which would be above their currently authorized nursing staff. These 10 positions would provide the equivalent of a 3-3-0 staffing pattern (three on first shift, three on second shift, zero on third shift), 7 days per week. On occasion the Department may still need to use contract staff, but prefers to have permanent positions to provide consistency of medication administration to all youth. DJC recently reallocated a vacant non-institution GPR position to create one of the 10.00 FTE necessary for a more permanent method of administering medications at both LHS and CLS.

When medications are administered there is an expectation that the patient is assessed for ability to take the medication, and some medications require checking the patient's pulse, and ensuring proper orientation of the medications. The nurse also evaluates the patient for the effectiveness of the medication. Patients with significant health needs such as chronic disease or mental health needs will require assessment and thoughtfulness of medications administered.

In alignment with scope of practice and best practice, LPNs do not practice independently while RNs (NC2s) are allowed to practice independently. The LPN may participate in care of patients with basic health needs, and may therefore administer medications. However, patients with complex health needs must be assessed by an RN. It is due to these reasons that a mixture of NC2s and LPNs are being requested, rather than just LPNs.

Because the juvenile institutions are almost completely funded through PR, using existing PR positions would result in the salary and fringe expenditures being paid through revenues collected from the daily rate charged to counties, the Serious Juvenile Offender appropriation, and the Adult contract bed appropriation. In the Department's 2017-19 Biennial Budget request, funding for agency (contract) nursing staff was included, but could be reduced if this proposal is approved.

Given recent reductions to the institution populations (which also corresponds to reductions to revenues), the Department would prefer all 9.00 FTE be funded by GPR in the future so the costs aren't included on the daily rate.

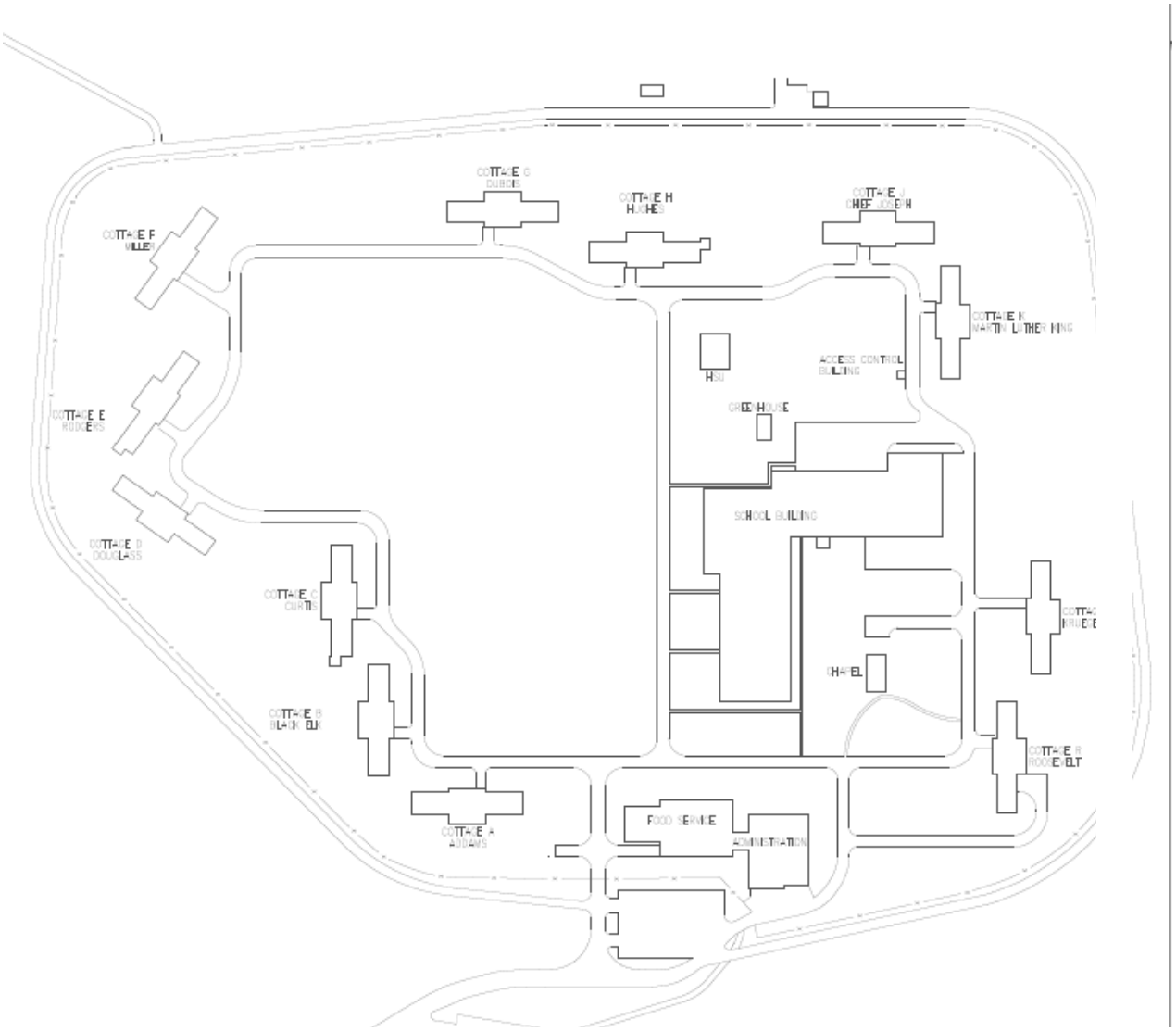
Summary

	FY 18		FY 19	
	Funding	FTE	Funding	FTE
GPR	$620,800	9.00	$769,000	9.00
PR	($527,300)	0.00	($703,100)	0.00
TOTAL	$93,500	9.00	$65,900	9.00

Prepared by: Dawn Woeshnick, Budget and Policy Supervisor
240-5417

Appendix I

Map of LHS/CLS Campus



Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5905	Juvenile Medication Administration

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$342,000	$463,500
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$159,500	$213,900
06	Supplies and Services	$70,000	$85,700
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$44,900	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	($527,300)	($703,100)
15	Internal services 3000	$4,400	$5,900
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$93,500**	**$65,900**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	9.00	9.00
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5905	**Juvenile Medication Administration**			
03	**Juvenile correctional services**				
	01 General program operations	$620,800	$769,000	9.00	9.00
	23 Juvenile operations	($527,300)	($703,100)	0.00	0.00
	Juvenile correctional services SubTotal	**$93,500**	**$65,900**	**9.00**	**9.00**
	Juvenile Medication Administration SubTotal	**$93,500**	**$65,900**	**9.00**	**9.00**
	Agency Total	**$93,500**	**$65,900**	**9.00**	**9.00**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5905**	**Juvenile Medication Administration**				
	GPR	S	$620,800	$769,000	9.00	9.00
	PR	S	($527,300)	($703,100)	0.00	0.00
	Total		**$93,500**	**$65,900**	**9.00**	**9.00**
Agency Total			**$93,500**	**$65,900**	**9.00**	**9.00**

Decision Item (DIN) - 5906

Decision Item (DIN) Title - PREA Compliance - Juveniles

NARRATIVE

The Department of Corrections requests $1,109,100 GPR, ($455,700) PR, 18.25 GPR FTE, and (10.00) PR FTE in FY18 and $1,263,700 GPR, ($607,700) PR, 18.25 GPR FTE, and (10.00) PR FTE in FY19 to improve staff ratio requirements at the Lincoln Hills School as directed by the Federal Prison Rape Elimination Act (PREA).

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Issue Paper

Topic: DIN 5906 - PREA Compliance - Juveniles

Request

The Department of Corrections (DOC) requests $1,109,100 GPR, ($455,700) PR, 18.25 GPR FTE, and (10.00) PR FTE in FY18 and $1,263,700 GPR, ($607,700) PR, 18.25 GPR FTE, and (10.00) PR FTE in FY19 to improve staff ratio requirements at the Lincoln Hills School (LHS) as directed by the Federal Prison Rape Elimination Act (PREA). The position request includes 11.00 FTE Youth Counselors (YC) and 7.25 FTE Youth Counselor – Advanced (YCA) positions annually.

Problem Description

Beginning October 1, 2017, PREA standards will require that each secure juvenile facility maintain minimum staff to juvenile ratios of 1:8 during waking hours and 1:16 during sleeping hours. DOC currently meets these requirements at the Grow Academy and Copper Lake School (CLS) but currently cannot meet them at LHS. DOC currently receives four grants to assist with PREA compliance but has not received enough funding to cover the additional positions required to achieve compliance. Additional positions and funding will be needed to improve LHS' compliance with PREA guidelines.

DOC is requesting GPR funds to help stabilize funding streams due to the volatility associated with the current program revenue (PR) funding method, which is directly tied to the facility's population.

Background

PREA was passed in Congress in 2003, and standards were published in 2012. The PREA standards broadly set guidelines for planning, operations, reporting, and investigations. The Department has already taken steps to meet PREA requirements, including:

- certifying one PREA auditor;
- certifying two PREA investigators;
- conducting a mock audit;
- installing a hotline for all youth to report PREA cases;
- maintaining compliant staffing ratios at CLS and the Grow Academy;
- installing additional fixed cameras; and,
- implementing body cameras for security staff that interact with juveniles.

PREA requires that all secure juvenile facilities maintain a staff-to-juvenile ratio of 1:8 during resident waking hours and 1:16 during resident sleeping hours, except during limited and discrete exigent

circumstances, which shall be fully documented for audit purposes[14]. PREA requirements further specify that only security staff can be included in these ratios. The guidelines published in 2012 gave facilities a deadline of October 1, 2017 to achieve compliance.

The PREA standards define security staff as employees primarily responsible for the direct supervision and control of residents in housing units, recreational and dining areas, schools, and other program areas. Teachers and social workers can count towards the ratio as long as they have received appropriate training, but can only count during times that they have direct supervision of youth.

PREA standards do not offer specific guidelines as to what qualifies as appropriate training, but PREA implementation guidance indicates that appropriate training generally includes training on the supervision of delinquent youth, verbal de-escalation techniques, age-appropriate defensive tactics, and crisis intervention. The Department believes that teachers have received adequate training for supervising delinquent youth since they receive a variety of training, including Principles of Subject Control (POSC) training, prior to supervising youth.

Each fiscal year, the Governor must certify to the US Attorney General that prison facilities under control of the State executive branch comply with the PREA standards. If the state is non-compliant with PREA standards, it is assessed a penalty of 5% of DOJ grants that would otherwise be received for prison purposes unless the governor can assure that the 5% will be used to achieve compliance. An estimated reduction of $35,600 in federal funding could occur if the state is non-compliant with PREA guidelines and the Governor couldn't provide assurance the funding would be used towards attaining compliance.

In addition to satisfying federal guidelines, research and reports from other states have shown that lower staff-to-youth ratios improves juvenile treatment outcomes and increases safety for both juveniles and staff[15]. Adequate staffing ratios allow staff to better get a sense of the environment of a living unit and better anticipate potential problems that have been developing slowly over time. Furthermore, increased interaction with youth allows both staff and youth to form positive relationships where staff can assist youth in meeting treatment goals or other focused, individualized needs.

Analysis

Current Staffing

As of February 28, 2016, there were seven open housing units at LHS. There are several factors that are used to determine which housing unit a youth should be placed in, including individual programming needs, security, overall facility population, and available staffing. Table 1 summarizes the programming by housing unit. Except for Krueger, all housing units have a 2-2-1 staffing pattern (two staff on first shift, two staff on second shift, and one staff on third shift).

---

[14] Prison Rape Elimination Act National Standards, 28 CFR Part 115 (2012).

[15] Moeser, Jim. 2014. "Physical Plant Design and Operations." In *Desktop Guide to Quality Practice for Working with Youth in Confinement.* National Partnership for Juvenile Services and Office of Juvenile Justice and Delinquency Prevention. p.101

Table 1- LHS Housing Units

Housing Unit	Programming	Population	Staffing Pattern
Black Elk	Older Youth	31	2-2-1
Douglass	General Population	38	2-2-1
Rogers	Reception	20	2-2-1
Du Bois	Substance Use Disorder	33	2-2-1
Hughes	Employability Skills	30	2-2-1
Krueger	Restrictive Housing Unit	17	3-3-1
Roosevelt	Restrictive Housing Unit	23	2-2-1
Total		**192**	

LHS currently averages a ratio of 1:12 during waking hours and 1:26 during sleeping hours. Table 2 summarizes the staffing ratios based on the youth count on February 28, 2016. These housing units are further assisted by a post-shifted "checks" unit that monitors specific youth throughout the day but it is not considered part of the ratio of staff to youth.

Table 2 – Current Ratios

Housing Unit	1st Shift	2nd Shift	3rd Shift
Black Elk	1:15.5	1:15.5	1:31
Douglass	1:19	1:19	1:38
Rogers	1:10	1:10	1:20
Du Bois	1:16.5	1:16.5	1:33
Hughes	1:15	1:15	1:30
Krueger	1:5.7	1:5.7	1:17
Roosevelt	1:11.5	1:11.5	1:23
Average	**1:12**	**1:12**	**1:26**

When the Juvenile Correctional Institutions (JCI) consolidation occurred in 2011, there were 153 authorized YC/YCA FTE to staff both LHS and CLS. Since consolidation, 7 of the 153 positions were moved to establish the Grow Academy in 2014. Since the JCI consolidation, the Division of Juvenile Corrections (DJC) has also increased staffing levels in the Wells Unit (female mental health and security) and the LHS Patrol Unit.

There are currently 145 YC and YCA positions split between LHS and CLS. A total of 135 security positions are needed to staff current cottage and non-cottage security posts. DJC is not currently holding any positions at LHS vacant due to having a lower Average Daily Population (ADP) than the 269 ADP budgeted between both schools for FY16 and FY17.

Changes Needed for PREA Compliance

LHS needs an additional 33 posts to achieve PREA compliance in each of its housing units. Each post requires 1.75 FTE to provide coverage 7 days per week, 365 days per year, totaling 57.75 FTE to staff all 33 additional posts. Table 3 outlines where each additional post is needed and the number of FTE that are required to fully staff each housing unit. Of the 57.75 FTE required to staff those posts, approximately 60 percent will be YCs with the remaining 40 percent YCAs.

Table 3 – Achieving Compliance – Additional Posts Needed

Housing Unit	1st Shift	2nd Shift	3rd Shift	FTE Needed
Black Elk	2	2	1	8.75
Douglass	3	3	2	14.00
Rogers	1	1	1	5.25
Du Bois	3	3	2	14.00
Hughes	2	2	1	8.75
Krueger	0	0	1	1.75
Roosevelt	1	1	1	5.25
Total	**12**	**12**	**9**	**57.75**

Challenges and Uncertainties

If DJC reallocates a significant portion of their vacant positions based on the current population, they will risk being non-compliant with PREA standards if the population increases and there is no corresponding increase in staff. Furthermore, since PREA staffing is based around multiples of 8, if population increases and LHS needs to open a new housing unit for 10 youth, they will need staffing that is commensurate with 16 youth in order to maintain compliance. Additionally, if DJC reallocates non-security staff to comply with PREA requirements and the population increases, other areas of operations may struggle to keep up with the work associated with running a larger institution population.

DOC is requesting that any new positions authorized be funded with GPR to avoid passing additional costs to their county customers, the contract bed appropriation for adult commitments, and the Serious Juvenile Offender (SJO) appropriation. Support for a blended funding model has been well documented for stabilizing the daily rate for the counties and also providing funding assurance for DJC operations.[16] Additionally, GPR funded positions will give DOC the flexibility to adjust staffing levels based on population. GPR will help stabilize DOC funding and prevent deficits when populations are low. Without additional GPR, since the overall DJC population has been declining for years, any additional increase in staff will lead to a larger increase in the daily rate.

This request does not provide all of the YC and YCA staff necessary to become fully complaint, however there are several housing units that would particularly benefit from an increase in staff. Krueger and Roosevelt are restrictive housing cottages that house particularly challenging youths. Since the post-shift in the Krueger housing unit is currently 3-3-1, it would be PREA compliant if one additional post is added on

[16] Report of the Juvenile Corrections Review Committee. June 2010: pp 10-11

third shift. Roosevelt would need an additional post on each shift in order to be PREA compliant. Bringing these two cottages into compliance would require four new posts and an additional 7.50 FTE.

The Rogers housing unit would also benefit from enriched staffing since it houses a mixture of juvenile sex offenders and also serves as the reception unit. In order to be PREA compliant, one post would need to be added to each shift. Finally, the Douglass housing unit is LHS' most populated cottage and houses general population youth. The addition of one post for each shift would provide increased staffing levels for the higher number of youth present. Increased staffing ratios in these two cottages would require 10.75 FTE.

Summary

	FY 18		FY 19	
	Funding	FTE	Funding	FTE
GPR	$1,109,100	18.25	$1,263,700	18.25
PR	($455,700)	(10.00)	($607,700)	(10.00)
TOTAL	$653,400	8.25	$656,000	8.25

Prepared by: Peter James, Budget and Policy Analyst
240-5422

Decision Item by Line

17-19 Biennial Budget

	CODES	TITLES
DEPARTMENT	410	Department of Corrections

	CODES	TITLES
DECISION ITEM	5906	PREA Compliance - Juveniles

	Expenditure items	1st Year Cost	2nd Year Cost
01	Permanent Position Salaries	$337,700	$341,200
02	Turnover	$0	$0
03	Project Position Salaries	$0	$0
04	LTE/Misc. Salaries	$0	$0
05	Fringe Benefits	$158,900	$155,600
06	Supplies and Services	$136,800	$147,200
07	Permanent Property	$0	$0
08	Unalloted Reserve	$0	$0
09	Aids to Individuals Organizations	$0	$0
10	Local Assistance	$0	$0
11	One-time Financing	$11,000	$0
12	Debt Service	$0	$0
13	Food 3000	$0	$0
14	Variable non-food 3000	$0	$0
15	Internal services 3000	$9,000	$12,000
16	Rent (lease and state owned) 3000	$0	$0
17	**Total Cost**	**$653,400**	**$656,000**
18	Project Positions Authorized	0.00	0.00
19	Classified Positions Authorized	8.25	8.25
20	Unclassified Positions Authorized	0.00	0.00

Decision Item by Numeric

Department of Corrections

Program	Decision Item/Numeric	1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
	5906	**PREA Compliance - Juveniles**			
03	**Juvenile correctional services**				
	01 General program operations	$1,109,100	$1,263,700	18.25	18.25
	23 Juvenile operations	($455,700)	($607,700)	(10.00)	(10.00)
	Juvenile correctional services SubTotal	**$653,400**	**$656,000**	**8.25**	**8.25**
	PREA Compliance - Juveniles SubTotal	**$653,400**	**$656,000**	**8.25**	**8.25**
	Agency Total	**$653,400**	**$656,000**	**8.25**	**8.25**

Decision Item by Fund Source

Department of Corrections

	Source of Funds		1st Year Total	2nd Year Total	1st Year FTE	2nd Year FTE
Decision Item	**5906**	**PREA Compliance - Juveniles**				
	GPR	S	$1,109,100	$1,263,700	18.25	18.25
	PR	S	($455,700)	($607,700)	(10.00)	(10.00)
	Total		**$653,400**	**$656,000**	**8.25**	**8.25**
Agency Total			**$653,400**	**$656,000**	**8.25**	**8.25**

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Statutory Language Request

Topic: Changing the statutory language for the creation of a prison industry from the requirement that the Joint Committee on Finance (JCF) hold a hearing to a requirement that JCF passively review the creation of a prison industry. *This request is contingent on the Prison Industry Board (PIB) approving the change in the fall of 2016.*

Current Language

§303.01(1)(c) Prior to establishing any prison industry, the department shall provide written notification of its proposed action to the cochairpersons of the joint committee on finance. The cochairpersons shall hold a public hearing before the committee concerning the proposal.

Proposed Change

§303.01(1)(c) Prior to establishing any prison industry, the department shall provide written notification of its proposed action to the ~~cochairpersons of the~~ joint committee on finance. ~~The cochairpersons shall hold a public hearing before the committee concerning the proposal.~~

Passive review. If, within 15 working days after the date on which the joint committee on finance receives the plan, the cochairpersons of the committee do not notify the department of corrections that the committee has scheduled a meeting to review the plan, the prison industry shall be approved. If, within 15 working days after the date on which the committee receives the plan, the cochairpersons of the committee notify the department of corrections that the committee has scheduled a meeting to review the plan, the prison industry may be approved, only upon approval by the committee.

Effect of the Change

There may be reduction in the amount of time that the Department has to wait before the creation of a prison industry has been approved. If the Department is more quickly able to establish a new industry, it may be able to realize the profits of the industry sooner. The Department will still have to get approval from the PIB and the JCF before creating a new prison industry.

Rationale for the Change

The Department of Corrections is seeking to streamline the process for approval of a prison industry while still ensuring that the PIB and the JCF can appropriately review any new prison industry proposal.

Desired Effective Date:	Upon Passage of Bill
Agency:	DOC
Agency Contact:	Jake Jokisch
Phone:	240-5415

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Statutory Language Request

Topic: Housing minors subject to a criminal penalty in juvenile correctional facilities

Current Language

§973.013(3m). If a person who has not attained the age of 16 years is sentenced to the Wisconsin state prisons, the department shall place the person at a juvenile correctional facility or a secured residential care center for children and youth, unless the department determines that placement in an institution under s. 302.01 is appropriate based on the person's prior record of adjustment in a correctional setting, if any; the person's present and potential vocational and educational needs, interests and abilities; the adequacy and suitability of available facilities; the services and procedures available for treatment of the person within the various institutions; the protection of the public; and any other considerations promulgated by the department by rule. The department may not place any person under the age of 18 years in the correctional institution authorized in s. 301.16 (1n).

Proposed Change

§973.013(3m). If a person who has not attained the age of ~~16~~ 18 years is sentenced to the Wisconsin state prisons, the department shall place the person at a juvenile correctional facility or a secured residential care center for children and youth, unless the department determines that placement in an institution under s. 302.01 is appropriate based on the person's prior record of adjustment in a correctional setting, if any; the person's present and potential vocational and educational needs, interests and abilities; the adequacy and suitability of available facilities; the services and procedures available for treatment of the person within the various institutions; the protection of the public; and any other considerations promulgated by the department by rule. The department may not place any person under the age of 18 years in the correctional institution authorized in s. 301.16 (1n).

Effect of the Change

This change would increase the maximum age of minors subject to a criminal penalty that are eligible to be transferred to a juvenile correctional facility. The maximum age would increase from 16 years of age to 18 years of age.

Rationale for the Change

This change would give the Department more options to appropriately house sentenced minors. This change would also assist the DOC in meeting the requirement of the Prison Rape Elimination Act (PREA) that incarcerated persons subject to a criminal penalty under age 18 must be housed separately from sentenced adults age 18 and older. Current state law only allows persons subject to a criminal penalty to be

placed in juvenile facilities if they are under 16 years of age. This change would be consistent with state and national level advocacy for removing minors from adult prisons and providing them with age-appropriate services in juvenile facilities.

Desired Effective Date:	Upon Passage of Bill
Agency:	DOC
Agency Contact:	Peter James
Phone:	240-5422

DEPARTMENT OF CORRECTIONS
2017-19 Biennial Budget
Statutory Language Request

Topic: Division of Juvenile Corrections Daily Rates

Current Language

Current language in §301.26(4)(d) sets the statutory daily rates for juveniles in correctional facilities over the time period of the biennium.

§301.26(4)(d)2. Beginning on July 1, 2017, and ending on June 30, 2018, the per person daily cost assessment to counties shall be $284 for care in a Type 1 juvenile correctional facility, as defined in s. 938.02 (19), $284 for care for juveniles transferred from a juvenile correctional institution under s. 51.35 (3).

§301.26(4)(d)3. Beginning on July 1, 2018, and ending on June 30, 2019, the per person daily cost assessment to counties shall be $292 for care in a Type 1 juvenile correctional facility, as defined in s. 938.02 (19), $292 for care for juveniles transferred from a juvenile correctional institution under s. 51.35 (3).

Proposed Change

Update the statutory rates calculated for the biennium.

§301.26(4)(d)2. Beginning on July 1, 2017, and ending on June 30, 2018, the per person daily cost assessment to counties shall be ~~$284~~ $326 for care in a Type 1 juvenile correctional facility, as defined in s. 938.02 (19), ~~$284~~ $326 for care for juveniles transferred from a juvenile correctional institution under s. 51.35 (3).

§301.26(4)(d)3. Beginning on July 1, 2018, and ending on June 30, 2019, the per person daily cost assessment to counties shall be ~~$292~~ $331 for care in a Type 1 juvenile correctional facility, as defined in s. 938.02 (19), ~~$292~~ $331 for care for juveniles transferred from a juvenile correctional institution under s. 51.35 (3).

Effect of the Change

These changes will modify statutes to reflect requested juvenile correctional institution rates.

Rationale for the Change

Rates reflecting the cost of care in juvenile correctional institutions are set in statute and updated each biennium.

Desired Effective Date:	Upon Passage of Bill
Agency:	DOC
Agency Contact:	Peter James
Phone:	240-5422

ACT 201

Proposal under s. 16.42(4)(b)2.: **0% change in each fiscal year**

FY: **FY18**

Agency: DOC - 410

Exclusions: Federal
Debt Service

Columns A-G were prepopulated for agencies to reflect state operations adjusted base and reductions agreed to by DOA and LFB. See Appendix H for instructions on how to complete these templates.

Proposed $ and Proposed FTE columns reflect total agency proposed spending and positions for indicated fiscal year. These amounts should include standard budget adjustments (SBAs), any proposed reallocations or reductions to meet the target, and any other requests that the agency would want considered under this proposal.

Appropriations with zero dollars and zero FTEs are not loaded into the template. If you have any questions, contact your SBO analyst.

IF YOUR AGENCY PLANS TO TAKE THE SAME CUTS OVER BOTH YEARS, YOU SHOULD ONLY FILL OUT ONE GRID FOR 0% GROWTH AND ONE GRID FOR 5% REDUCTION, THEN CHANGE FY18 TO FY18 AND 19.

	Appropriation		Fund	Adjusted Base		(See Note 1) 0% Change	Proposed Budget 2017-18		Item	Change from Adj Base		(See Note 2) Remove SBAs		Change from Adjusted Base after Removal of SBAs	
Agency	Alpha	Numeric	Source	$	FTE	Target	Proposed $	Proposed FTE	Ref.	$	FTE	$	FTE	$	FTE
410	1a	101	GPR	749,678,000	7,646.94	0	750,922,500	7,646.94		1,244,500	0.00	(1,244,500)	0.00	0	0.00
410	1b	102	GPR	147,400,200	1,849.38	0	146,843,500	1,849.38		(556,700)	0.00	556,700	0.00	0	0.00
410	1bd	103	GPR	6,503,700	27.00	0	6,552,600	27.00		48,900	0.00	(48,900)	0.00	0	0.00
410	1c	104	GPR	45,000	0.00	0	45,000	0.00		0	0.00	0	0.00	0	0.00
410	1bm	105	GPR	58,900	0.00	0	58,900	0.00		0	0.00	0	0.00	0	0.00
410	1f	106	GPR	28,221,800	0.00	0	28,221,800	0.00		0	0.00	0	0.00	0	0.00
410	1aa	110	GPR	4,282,800	0.00	0	4,282,800	0.00		0	0.00	0	0.00	0	0.00
410	1ds	112	GPR	10,138,400	9.00	0	10,156,300	9.00		17,900	0.00	(17,900)	0.00	0	0.00
410	1ab	114	GPR	18,360,500	0.00	0	18,360,500	0.00		0	0.00	0	0.00	0	0.00
410	1kd	120	PR	682,300	0.00	0	682,300	0.00		0	0.00	0	0.00	0	0.00
410	1kk	122	PR	7,597,300	9.00	0	7,630,900	9.00		33,600	0.00	(33,600)	0.00	0	0.00
410	1gr	124	PR	356,500	6.20	0	334,900	6.20		(21,600)	0.00	21,600	0.00	0	0.00
410	1gL	127	PR	139,400	0.00	0	139,400	0.00		0	0.00	0	0.00	0	0.00
410	1kk	130	PR	334,500	7.70	0	362,100	7.70		27,600	0.00	(27,600)	0.00	0	0.00
410	1kf	131	PR	11,808,200	11.65	0	11,734,300	11.65		(73,900)	0.00	73,900	0.00	0	0.00
410	1jz	132	PR	508,800	0.00	0	521,500	0.00		12,700	0.00	(12,700)	0.00	0	0.00
410	1i	133	PR	33,400	0.00	0	33,400	0.00		0	0.00	0	0.00	0	0.00
410	1km	134	PR	18,752,600	77.85	0	18,726,100	77.85		(26,500)	0.00	26,500	0.00	0	0.00
410	1kk	135	PR	4,816,300	19.00	0	4,803,100	19.00		(13,200)	0.00	13,200	0.00	0	0.00
410	1h	139	PR	774,800	9.50	0	769,400	9.50		(5,400)	0.00	5,400	0.00	0	0.00
410	1gn	143	PR	375,900	0.00	0	375,900	0.00		0	0.00	0	0.00	0	0.00
410	1kc	166	PR	2,776,500	2.00	0	2,775,800	2.00		(700)	0.00	700	0.00	0	0.00
410	1kx	167	PR	2,256,100	24.50	0	2,258,000	24.50		1,900	0.00	(1,900)	0.00	0	0.00
410	1kp	180	PR	2,416,600	4.00	0	2,588,200	4.00		171,600	0.00	(171,600)	0.00	0	0.00
410	1kh	181	PR	271,700	3.00	0	222,000	3.00		(49,700)	0.00	49,700	0.00	0	0.00
410	1gd	182	PR	819,000	0.00	0	819,000	0.00		0	0.00	0	0.00	0	0.00
410	1gt	184	PR	1,104,600	0.00	0	1,104,600	0.00		0	0.00	0	0.00	0	0.00
410	1gi	185	PR	4,342,000	0.50	0	4,339,600	0.50		(2,400)	0.00	2,400	0.00	0	0.00
410	1gf	187	PR	11,401,800	2.00	0	11,405,400	2.00		3,600	0.00	(3,600)	0.00	0	0.00
410	1gc	188	PR	340,800	0.00	0	340,800	0.00		0	0.00	0	0.00	0	0.00
410	1gk	191	PR	239,100	0.00	0	239,100	0.00		0	0.00	0	0.00	0	0.00
410	2a	201	GPR	1,282,900	13.00	0	1,182,300	13.00		(100,600)	0.00	100,600	0.00	0	0.00
410	3a	301	GPR	2,387,900	19.45	0	2,312,000	19.45		(75,900)	0.00	75,900	0.00	0	0.00
410	3cg	304	GPR	14,933,400	0.00	0	14,933,400	0.00		0	0.00	0	0.00	0	0.00
410	3ba	305	GPR	1,365,500	0.00	0	1,365,500	0.00		0	0.00	0	0.00	0	0.00

Agency	Appropriation Alpha	Appropriation Numeric	Fund Source	Adjusted Base $	Adjusted Base FTE	(See Note 1) 0% Change Target	Proposed Budget 2017-18 Proposed $	Proposed Budget 2017-18 Proposed FTE	Item Ref.	Change from Adj Base $	Change from Adj Base FTE	(See Note 2) Remove SBAs $	(See Note 2) Remove SBAs FTE	Change from Adjusted Base after Removal of SBAs $	Change from Adjusted Base after Removal of SBAs FTE
410	3c	308	GPR	18,000	0.00	0	18,000	0.00		0	0.00	0	0.00	0	0.00
410	3jv	320	PR	200,000	0.00	0	200,000	0.00		0	0.00	0	0.00	0	0.00
410	3hr	321	PR	285,000	0.00	0	285,000	0.00		0	0.00	0	0.00	0	0.00
410	3hm	322	PR	1,004,100	10.25	0	944,200	10.25		(59,900)	0.00	59,900	0.00	0	0.00
410	3hm	323	PR	29,905,500	303.05	0	29,246,000	303.05		(659,500)	0.00	659,500	0.00	0	0.00
410	3hm	325	PR	59,300	0.00	0	59,300	0.00		0	0.00	0	0.00	0	0.00
410	3hm	326	PR	620,300	0.00	0	620,300	0.00		0	0.00	0	0.00	0	0.00
410	3hm	327	PR	316,900	0.00	0	316,900	0.00		0	0.00	0	0.00	0	0.00
410	3hr	329	PR	3,977,000	38.30	0	3,996,600	38.30		19,600	0.00	(19,600)	0.00	0	0.00
410	3jr	330	PR	2,700	0.00	0	2,300	0.00		(400)	0.00	400	0.00	0	0.00
410	3jr	332	PR	177,800	0.00	0	177,800	0.00		0	0.00	0	0.00	0	0.00
410	3i	333	PR	7,700	0.00	0	7,700	0.00		0	0.00	0	0.00	0	0.00
410	3kx	367	PR	832,800	8.05	0	810,800	8.05		(22,000)	0.00	22,000	0.00	0	0.00
Totals				**1,094,214,300**	**10,101.32**	**0**	**1,094,127,800**	**10,101.32**		**(86,500)**	**0.00**	**86,500**	**0.00**	**0**	**0.00**

Note 1: Reduction target must be met within state operations appropriations, but may be allocated across those appropriations and fund sources.
Note 2: Amounts should be SBAs (DINs 3001 - 3011) from agency request multiplied by -1. (plus DIN 4503-Rent)

Target Reduction = 0

Difference = **0**
Should equal $0

Items - Describe proposed changes (excl. SBAs) to reach target or other priorities of agency

1
2
3

ACT 201

Proposal under s. 16.42(4)(b)2.: **0% change in each fiscal year**

FY: **FY19**

Agency: DOC - 410

Exclusions: Federal
Debt Service

Columns A-G were prepopulated for agencies to reflect state operations adjusted base and reductions agreed to by DOA and LFB. See Appendix H for instructions on how to complete these templates.

Proposed $ and Proposed FTE columns reflect total agency proposed spending and positions for indicated fiscal year. These amounts should include standard budget adjustments (SBAs), any proposed reallocations or reductions to meet the target, and any other requests that the agency would want considered under this proposal.

Appropriations with zero dollars and zero FTEs are not loaded into the template. If you have any questions, contact your SBO analyst.

IF YOUR AGENCY PLANS TO TAKE THE SAME CUTS OVER BOTH YEARS, YOU SHOULD ONLY FILL OUT ONE GRID FOR 0% GROWTH AND ONE GRID FOR 5% REDUCTION, THEN CHANGE FY18 TO FY18 AND 19.

	Appropriation		Fund	Adjusted Base		(See Note 1) 0% Change	Proposed Budget 2018-19		Item	Change from Adj Base		(See Note 2) Remove SBAs		Change from Adjusted Base after Removal of SBAs	
Agency	Alpha	Numeric	Source	$	FTE	Target	Proposed $	Proposed FTE	Ref.	$	FTE	$	FTE	$	FTE
410	1a	101	GPR	749,678,000	7,646.94	0	751,037,300	7,646.94		1,359,300	0.00	(1,359,300)	0.00	0	0.00
410	1b	102	GPR	147,400,200	1,849.38	0	147,529,700	1,849.38		129,500	0.00	(129,500)	0.00	0	0.00
410	1bd	103	GPR	6,503,700	27.00	0	6,552,600	27.00		48,900	0.00	(48,900)	0.00	0	0.00
410	1c	104	GPR	45,000	0.00	0	45,000	0.00		0	0.00	0	0.00	0	0.00
410	1bm	105	GPR	58,900	0.00	0	58,900	0.00		0	0.00	0	0.00	0	0.00
410	1f	106	GPR	28,221,800	0.00	0	28,221,800	0.00		0	0.00	0	0.00	0	0.00
410	1aa	110	GPR	4,282,800	0.00	0	4,282,800	0.00		0	0.00	0	0.00	0	0.00
410	1ds	112	GPR	10,138,400	9.00	0	10,156,300	9.00		17,900	0.00	(17,900)	0.00	0	0.00
410	1ab	114	GPR	18,360,500	0.00	0	18,360,500	0.00		0	0.00	0	0.00	0	0.00
410	1kd	120	PR	682,300	0.00	0	682,300	0.00		0	0.00	0	0.00	0	0.00
410	1kk	122	PR	7,597,300	9.00	0	7,631,000	9.00		33,700	0.00	(33,700)	0.00	0	0.00
410	1gr	124	PR	356,500	6.20	0	335,300	6.20		(21,200)	0.00	21,200	0.00	0	0.00
410	1gL	127	PR	139,400	0.00	0	139,400	0.00		0	0.00	0	0.00	0	0.00
410	1kk	130	PR	334,500	7.70	0	362,100	7.70		27,600	0.00	(27,600)	0.00	0	0.00
410	1kf	131	PR	11,808,200	11.65	0	11,734,800	11.65		(73,400)	0.00	73,400	0.00	0	0.00
410	1jz	132	PR	508,800	0.00	0	534,600	0.00		25,800	0.00	(25,800)	0.00	0	0.00
410	1i	133	PR	33,400	0.00	0	33,400	0.00		0	0.00	0	0.00	0	0.00
410	1km	134	PR	18,752,600	77.85	0	18,731,700	77.85		(20,900)	0.00	20,900	0.00	0	0.00
410	1kk	135	PR	4,816,300	19.00	0	4,803,100	19.00		(13,200)	0.00	13,200	0.00	0	0.00
410	1h	139	PR	774,800	9.50	0	770,400	9.50		(4,400)	0.00	4,400	0.00	0	0.00
410	1gn	143	PR	375,900	0.00	0	375,900	0.00		0	0.00	0	0.00	0	0.00
410	1kc	166	PR	2,776,500	2.00	0	2,775,800	2.00		(700)	0.00	700	0.00	0	0.00
410	1kx	167	PR	2,256,100	24.50	0	2,258,000	24.50		1,900	0.00	(1,900)	0.00	0	0.00
410	1kp	180	PR	2,416,600	4.00	0	2,588,200	4.00		171,600	0.00	(171,600)	0.00	0	0.00
410	1kh	181	PR	271,700	3.00	0	222,000	3.00		(49,700)	0.00	49,700	0.00	0	0.00
410	1gd	182	PR	819,000	0.00	0	819,000	0.00		0	0.00	0	0.00	0	0.00
410	1gt	184	PR	1,104,600	0.00	0	1,104,600	0.00		0	0.00	0	0.00	0	0.00
410	1gi	185	PR	4,342,000	0.50	0	4,339,600	0.50		(2,400)	0.00	2,400	0.00	0	0.00
410	1gf	187	PR	11,401,800	2.00	0	11,405,400	2.00		3,600	0.00	(3,600)	0.00	0	0.00
410	1gc	188	PR	340,800	0.00	0	340,800	0.00		0	0.00	0	0.00	0	0.00
410	1gk	191	PR	239,100	0.00	0	239,100	0.00		0	0.00	0	0.00	0	0.00
410	2a	201	GPR	1,282,900	13.00	0	1,183,800	13.00		(99,100)	0.00	99,100	0.00	0	0.00
410	3a	301	GPR	2,387,900	19.45	0	2,312,600	19.45		(75,300)	0.00	75,300	0.00	0	0.00
410	3cg	304	GPR	14,933,400	0.00	0	14,933,400	0.00		0	0.00	0	0.00	0	0.00
410	3ba	305	GPR	1,365,500	0.00	0	1,365,500	0.00		0	0.00	0	0.00	0	0.00
410	3c	308	GPR	18,000	0.00	0	18,000	0.00		0	0.00	0	0.00	0	0.00

Agency	Appropriation Alpha	Appropriation Numeric	Fund Source	Adjusted Base $	Adjusted Base FTE	(See Note 1) 0% Change Target	Proposed Budget 2018-19 Proposed $	Proposed Budget 2018-19 Proposed FTE	Item Ref.	Change from Adj Base $	Change from Adj Base FTE	(See Note 2) Remove SBAs $	(See Note 2) Remove SBAs FTE	Change from Adjusted Base after Removal of SBAs $	Change from Adjusted Base after Removal of SBAs FTE
410	3jv	320	PR	200,000	0.00	0	200,000	0.00		0	0.00	0	0.00	0	0.00
410	3hr	321	PR	285,000	0.00	0	285,000	0.00		0	0.00	0	0.00	0	0.00
410	3hm	322	PR	1,004,100	10.25	0	944,200	10.25		(59,900)	0.00	59,900	0.00	0	0.00
410	3hm	323	PR	29,905,500	303.05	0	29,246,900	303.05		(658,600)	0.00	658,600	0.00	0	0.00
410	3hm	325	PR	59,300	0.00	0	59,300	0.00		0	0.00	0	0.00	0	0.00
410	3hm	326	PR	620,300	0.00	0	620,300	0.00		0	0.00	0	0.00	0	0.00
410	3hm	327	PR	316,900	0.00	0	316,900	0.00		0	0.00	0	0.00	0	0.00
410	3hr	329	PR	3,977,000	38.30	0	3,985,700	38.30		8,700	0.00	(8,700)	0.00	0	0.00
410	3jr	330	PR	2,700	0.00	0	2,300	0.00		(400)	0.00	400	0.00	0	0.00
410	3jr	332	PR	177,800	0.00	0	177,800	0.00		0	0.00	0	0.00	0	0.00
410	3i	333	PR	7,700	0.00	0	7,700	0.00		0	0.00	0	0.00	0	0.00
410	3kx	367	PR	832,800	8.05	0	810,800	8.05		(22,000)	0.00	22,000	0.00	0	0.00
Totals				**1,094,214,300**	**10,101.32**	**0**	**1,094,941,600**	**10,101.32**		**727,300**	**0.00**	**(727,300)**	**0.00**	**0**	**0.00**

Note 1: Reduction target must be met within state operations appropriations, but may be allocated across those appropriations and fund sources.
Note 2: Amounts should be SBAs (DINs 3001 - 3011) from agency request multiplied by -1. (plus DIN 4503-Rent)

Target Reduction = 0

Difference = **0**
Should equal $0

Items - Describe proposed changes (excl. SBAs) to reach target or other priorities of agency

1
2
3

ACT 201

Proposal under s. 16.42(4)(b)1.: 5**% change in each fiscal year**
FY: **FY18**
Agency: DOC - 410

Exclusions: Federal
Debt Service

Columns A-G were prepopulated for agencies to reflect state operations adjusted base and reductions agreed to by DOA and LFB. See Appendix H for instructions on how to complete these templates.

Proposed $ and Proposed FTE columns reflect total agency proposed spending and positions for indicated fiscal year. These amounts should include standard budget adjustments (SBAs), any proposed reallocations or reductions to meet the target, and any other requests that the agency would want considered under this proposal.

Appropriations with zero dollars and zero FTEs are not loaded into the template. If you have any questions, contact your SBO analyst.

IF YOUR AGENCY PLANS TO TAKE THE SAME CUTS OVER BOTH YEARS, YOU SHOULD ONLY FILL OUT ONE GRID FOR 0% GROWTH AND ONE GRID FOR 5% REDUCTION, THEN CHANGE FY18 TO FY18 AND 19.

	Appropriation		Fund	Adjusted Base		(See Note 1) 5% Reduction	**Proposed Budget 2017-18**		Item	Change from Adj Base		(See Note 2) Remove SBAs		Change from Adjusted Base after Removal of SBAs	
Agency	Alpha	Numeric	Source	$	FTE	Target	Proposed $	Proposed FTE	Ref.	$	FTE	$	FTE	$	FTE
410	1a	101	GPR	749,678,000	7,646.94		750,922,500	7,646.94		1,244,500	0.00	(1,244,500)	0.00	0	0.00
410	1b	102	GPR	147,400,200	1,849.38	(54,710,715)	92,132,785	1,087.96	1	(55,267,415)	(761.42)	556,700	0.00	(54,710,715)	(761.42)
410	1bd	103	GPR	6,503,700	27.00		6,552,600	27.00		48,900	0.00	(48,900)	0.00	0	0.00
410	1c	104	GPR	45,000	0.00		45,000	0.00		0	0.00	0	0.00	0	0.00
410	1bm	105	GPR	58,900	0.00		58,900	0.00		0	0.00	0	0.00	0	0.00
410	1f	106	GPR	28,221,800	0.00		28,221,800	0.00		0	0.00	0	0.00	0	0.00
410	1aa	110	GPR	4,282,800	0.00		4,282,800	0.00		0	0.00	0	0.00	0	0.00
410	1ds	112	GPR	10,138,400	9.00		10,156,300	9.00		17,900	0.00	(17,900)	0.00	0	0.00
410	1ab	114	GPR	18,360,500	0.00		18,360,500	0.00		0	0.00	0	0.00	0	0.00
410	1kd	120	PR	682,300	0.00		682,300	0.00		0	0.00	0	0.00	0	0.00
410	1kk	122	PR	7,597,300	9.00		7,630,900	9.00		33,600	0.00	(33,600)	0.00	0	0.00
410	1gr	124	PR	356,500	6.20		334,900	6.20		(21,600)	0.00	21,600	0.00	0	0.00
410	1gL	127	PR	139,400	0.00		139,400	0.00		0	0.00	0	0.00	0	0.00
410	1kk	130	PR	334,500	7.70		362,100	7.70		27,600	0.00	(27,600)	0.00	0	0.00
410	1kf	131	PR	11,808,200	11.65		11,734,300	11.65		(73,900)	0.00	73,900	0.00	0	0.00
410	1jz	132	PR	508,800	0.00		521,500	0.00		12,700	0.00	(12,700)	0.00	0	0.00
410	1i	133	PR	33,400	0.00		33,400	0.00		0	0.00	0	0.00	0	0.00
410	1km	134	PR	18,752,600	77.85		18,726,100	77.85		(26,500)	0.00	26,500	0.00	0	0.00
410	1kk	135	PR	4,816,300	19.00		4,803,100	19.00		(13,200)	0.00	13,200	0.00	0	0.00
410	1h	139	PR	774,800	9.50		769,400	9.50		(5,400)	0.00	5,400	0.00	0	0.00
410	1gn	143	PR	375,900	0.00		375,900	0.00		0	0.00	0	0.00	0	0.00
410	1kc	166	PR	2,776,500	2.00		2,775,800	2.00		(700)	0.00	700	0.00	0	0.00
410	1kx	167	PR	2,256,100	24.50		2,258,000	24.50		1,900	0.00	(1,900)	0.00	0	0.00
410	1kp	180	PR	2,416,600	4.00		2,588,200	4.00		171,600	0.00	(171,600)	0.00	0	0.00
410	1kh	181	PR	271,700	3.00		222,000	3.00		(49,700)	0.00	49,700	0.00	0	0.00
410	1gd	182	PR	819,000	0.00		819,000	0.00		0	0.00	0	0.00	0	0.00
410	1gt	184	PR	1,104,600	0.00		1,104,600	0.00		0	0.00	0	0.00	0	0.00
410	1gi	185	PR	4,342,000	0.50		4,339,600	0.50		(2,400)	0.00	2,400	0.00	0	0.00
410	1gf	187	PR	11,401,800	2.00		11,405,400	2.00		3,600	0.00	(3,600)	0.00	0	0.00
410	1gc	188	PR	340,800	0.00		340,800	0.00		0	0.00	0	0.00	0	0.00
410	1gk	191	PR	239,100	0.00		239,100	0.00		0	0.00	0	0.00	0	0.00
410	2a	201	GPR	1,282,900	13.00		1,182,300	13.00		(100,600)	0.00	100,600	0.00	0	0.00
410	3a	301	GPR	2,387,900	19.45		2,312,000	19.45		(75,900)	0.00	75,900	0.00	0	0.00
410	3cg	304	GPR	14,933,400	0.00		14,933,400	0.00		0	0.00	0	0.00	0	0.00

Agency	Appropriation Alpha	Appropriation Numeric	Fund Source	Adjusted Base $	Adjusted Base FTE	(See Note 1) 5% Reduction Target	Proposed Budget 2017-18 Proposed $	Proposed Budget 2017-18 Proposed FTE	Item Ref.	Change from Adj Base $	Change from Adj Base FTE	(See Note 2) Remove SBAs $	(See Note 2) Remove SBAs FTE	Change from Adjusted Base after Removal of SBAs $	Change from Adjusted Base after Removal of SBAs FTE
410	3ba	305	GPR	1,365,500	0.00		1,365,500	0.00		0	0.00	0	0.00	0	0.00
410	3c	308	GPR	18,000	0.00		18,000	0.00		0	0.00	0	0.00	0	0.00
410	3jv	320	PR	200,000	0.00		200,000	0.00		0	0.00	0	0.00	0	0.00
410	3hr	321	PR	285,000	0.00		285,000	0.00		0	0.00	0	0.00	0	0.00
410	3hm	322	PR	1,004,100	10.25		944,200	10.25		(59,900)	0.00	59,900	0.00	0	0.00
410	3hm	323	PR	29,905,500	303.05		29,246,000	303.05		(659,500)	0.00	659,500	0.00	0	0.00
410	3hm	325	PR	59,300	0.00		59,300	0.00		0	0.00	0	0.00	0	0.00
410	3hm	326	PR	620,300	0.00		620,300	0.00		0	0.00	0	0.00	0	0.00
410	3hm	327	PR	316,900	0.00		316,900	0.00		0	0.00	0	0.00	0	0.00
410	3hr	329	PR	3,977,000	38.30		3,996,600	38.30		19,600	0.00	(19,600)	0.00	0	0.00
410	3jr	330	PR	2,700	0.00		2,300	0.00		(400)	0.00	400	0.00	0	0.00
410	3jr	332	PR	177,800	0.00		177,800	0.00		0	0.00	0	0.00	0	0.00
410	3i	333	PR	7,700	0.00		7,700	0.00		0	0.00	0	0.00	0	0.00
410	3kx	367	PR	832,800	8.05		810,800	8.05		(22,000)	0.00	22,000	0.00	0	0.00
Totals				**1,094,214,300**	**10,101.32**	**(54,710,715)**	**1,039,417,085**	**9,339.90**		**(54,797,215)**	**(761.42)**	**86,500**	**0.00**	**(54,710,715)**	**(761.42)**

Note 1: Reduction target must be met within state operations appropriations, but may be allocated across those appropriations and fund sources.
Note 2: Amounts should be SBAs (DINs 3001 - 3011) from agency request multiplied by -1. (plus DIN 4503-Rent)

Target Reduction = (54,710,715)

Difference = **0**
Should equal $0

Items - Describe proposed changes (excl. SBAs) to reach target or other priorities of agency

1 Anticipated that counties will utilize services for adult community supervision.
2
3
4
5

ACT 201

Proposal under s. 16.42(4)(b)1.: 5**% change in each fiscal year**
FY: **FY19**
Agency: DOC - 410

Exclusions: Federal
Debt Service

Columns A-G were prepopulated for agencies to reflect state operations adjusted base and reductions agreed to by DOA and LFB. See Appendix H for instructions on how to complete these templates.

Proposed $ and Proposed FTE columns reflect total agency proposed spending and positions for indicated fiscal year. These amounts should include standard budget adjustments (SBAs), any proposed reallocations or reductions to meet the target, and any other requests that the agency would want considered under this proposal.

Appropriations with zero dollars and zero FTEs are not loaded into the template. If you have any questions, contact your SBO analyst.

IF YOUR AGENCY PLANS TO TAKE THE SAME CUTS OVER BOTH YEARS, YOU SHOULD ONLY FILL OUT ONE GRID FOR 0% GROWTH AND ONE GRID FOR 5% REDUCTION, THEN CHANGE FY18 TO FY18 AND 19.

	Appropriation		Fund	Adjusted Base		(See Note 1) 5% Reduction	**Proposed Budget 2018-19**		Item	Change from Adj Base		(See Note 2) Remove SBAs		Change from Adjusted Base after Removal of SBAs	
Agency	Alpha	Numeric	Source	$	FTE	Target	Proposed $	Proposed FTE	Ref.	$	FTE	$	FTE	$	FTE
410	1a	101	GPR	749,678,000	7,646.94		751,037,300	7,646.94		1,359,300	0.00	(1,359,300)	0.00	0	0.00
410	1b	102	GPR	147,400,200	1,849.38	(54,710,715)	92,818,985	1,087.96	1	(54,581,215)	(761.42)	(129,500)	0.00	(54,710,715)	(761.42)
410	1bd	103	GPR	6,503,700	27.00		6,552,600	27.00		48,900	0.00	(48,900)	0.00	0	0.00
410	1c	104	GPR	45,000	0.00		45,000	0.00		0	0.00	0	0.00	0	0.00
410	1bm	105	GPR	58,900	0.00		58,900	0.00		0	0.00	0	0.00	0	0.00
410	1f	106	GPR	28,221,800	0.00		28,221,800	0.00		0	0.00	0	0.00	0	0.00
410	1aa	110	GPR	4,282,800	0.00		4,282,800	0.00		0	0.00	0	0.00	0	0.00
410	1ds	112	GPR	10,138,400	9.00		10,156,300	9.00		17,900	0.00	(17,900)	0.00	0	0.00
410	1ab	114	GPR	18,360,500	0.00		18,360,500	0.00		0	0.00	0	0.00	0	0.00
410	1kd	120	PR	682,300	0.00		682,300	0.00		0	0.00	0	0.00	0	0.00
410	1kk	122	PR	7,597,300	9.00		7,631,000	9.00		33,700	0.00	(33,700)	0.00	0	0.00
410	1gr	124	PR	356,500	6.20		335,300	6.20		(21,200)	0.00	21,200	0.00	0	0.00
410	1gL	127	PR	139,400	0.00		139,400	0.00		0	0.00	0	0.00	0	0.00
410	1kk	130	PR	334,500	7.70		362,100	7.70		27,600	0.00	(27,600)	0.00	0	0.00
410	1kf	131	PR	11,808,200	11.65		11,734,800	11.65		(73,400)	0.00	73,400	0.00	0	0.00
410	1jz	132	PR	508,800	0.00		534,600	0.00		25,800	0.00	(25,800)	0.00	0	0.00
410	1i	133	PR	33,400	0.00		33,400	0.00		0	0.00	0	0.00	0	0.00
410	1km	134	PR	18,752,600	77.85		18,731,700	77.85		(20,900)	0.00	20,900	0.00	0	0.00
410	1kk	135	PR	4,816,300	19.00		4,803,100	19.00		(13,200)	0.00	13,200	0.00	0	0.00
410	1h	139	PR	774,800	9.50		770,400	9.50		(4,400)	0.00	4,400	0.00	0	0.00
410	1gn	143	PR	375,900	0.00		375,900	0.00		0	0.00	0	0.00	0	0.00
410	1kc	166	PR	2,776,500	2.00		2,775,800	2.00		(700)	0.00	700	0.00	0	0.00
410	1kx	167	PR	2,256,100	24.50		2,258,000	24.50		1,900	0.00	(1,900)	0.00	0	0.00
410	1kp	180	PR	2,416,600	4.00		2,588,200	4.00		171,600	0.00	(171,600)	0.00	0	0.00
410	1kh	181	PR	271,700	3.00		222,000	3.00		(49,700)	0.00	49,700	0.00	0	0.00
410	1gd	182	PR	819,000	0.00		819,000	0.00		0	0.00	0	0.00	0	0.00
410	1gt	184	PR	1,104,600	0.00		1,104,600	0.00		0	0.00	0	0.00	0	0.00
410	1gi	185	PR	4,342,000	0.50		4,339,600	0.50		(2,400)	0.00	2,400	0.00	0	0.00
410	1gf	187	PR	11,401,800	2.00		11,405,400	2.00		3,600	0.00	(3,600)	0.00	0	0.00
410	1gc	188	PR	340,800	0.00		340,800	0.00		0	0.00	0	0.00	0	0.00
410	1gk	191	PR	239,100	0.00		239,100	0.00		0	0.00	0	0.00	0	0.00
410	2a	201	GPR	1,282,900	13.00		1,183,800	13.00		(99,100)	0.00	99,100	0.00	0	0.00
410	3a	301	GPR	2,387,900	19.45		2,312,600	19.45		(75,300)	0.00	75,300	0.00	0	0.00
410	3cg	304	GPR	14,933,400	0.00		14,933,400	0.00		0	0.00	0	0.00	0	0.00

Agency	Appropriation Alpha	Appropriation Numeric	Fund Source	Adjusted Base $	Adjusted Base FTE	(See Note 1) 5% Reduction Target	Proposed Budget 2018-19 Proposed $	Proposed Budget 2018-19 Proposed FTE	Item Ref.	Change from Adj Base $	Change from Adj Base FTE	(See Note 2) Remove SBAs $	(See Note 2) Remove SBAs FTE	Change from Adjusted Base after Removal of SBAs $	Change from Adjusted Base after Removal of SBAs FTE
410	3ba	305	GPR	1,365,500	0.00		1,365,500	0.00		0	0.00	0	0.00	0	0.00
410	3c	308	GPR	18,000	0.00		18,000	0.00		0	0.00	0	0.00	0	0.00
410	3jv	320	PR	200,000	0.00		200,000	0.00		0	0.00	0	0.00	0	0.00
410	3hr	321	PR	285,000	0.00		285,000	0.00		0	0.00	0	0.00	0	0.00
410	3hm	322	PR	1,004,100	10.25		944,200	10.25		(59,900)	0.00	59,900	0.00	0	0.00
410	3hm	323	PR	29,905,500	303.05		29,246,900	303.05		(658,600)	0.00	658,600	0.00	0	0.00
410	3hm	325	PR	59,300	0.00		59,300	0.00		0	0.00	0	0.00	0	0.00
410	3hm	326	PR	620,300	0.00		620,300	0.00		0	0.00	0	0.00	0	0.00
410	3hm	327	PR	316,900	0.00		316,900	0.00		0	0.00	0	0.00	0	0.00
410	3hr	329	PR	3,977,000	38.30		3,985,700	38.30		8,700	0.00	(8,700)	0.00	0	0.00
410	3jr	330	PR	2,700	0.00		2,300	0.00		(400)	0.00	400	0.00	0	0.00
410	3jr	332	PR	177,800	0.00		177,800	0.00		0	0.00	0	0.00	0	0.00
410	3i	333	PR	7,700	0.00		7,700	0.00		0	0.00	0	0.00	0	0.00
410	3kx	367	PR	832,800	8.05		810,800	8.05		(22,000)	0.00	22,000	0.00	0	0.00
Totals				**1,094,214,300**	**10,101.32**	**(54,710,715)**	**1,040,230,885**	**9,339.90**		**(53,983,415)**	**(761.42)**	**(727,300)**	**0.00**	**(54,710,715)**	**(761.42)**

Note 1: Reduction target must be met within state operations appropriations, but may be allocated across those appropriations and fund sources.
Note 2: Amounts should be SBAs (DINs 3001 - 3011) from agency request multiplied by -1. (plus DIN 4503-Rent)

Target Reduction = (54,710,715)

Difference = **0**
Should equal $0

Items - Describe proposed changes (excl. SBAs) to reach target or other priorities of agency

1 Anticipated that counties will utilize services for adult community supervision
2
3
4
5