

## DAI Policy 300.00.92 – Background Checks

### Attachment A – Individuals Prompting Further Investigation and Possible Denial Effective – 03/25/24

	Facility Entry Prohibited	Facility Entry May Be Approved at Warden/Designee Discretion
Open warrant in any jurisdiction	X	
Pending criminal charges or other litigation, including but not limited to: <ul style="list-style-type: none"> <li>• Incomplete court proceedings</li> <li>• Deferred prosecution agreement</li> <li>• County supervision for misdemeanor offense</li> <li>• Drug court disposition</li> <li>• Tribal court disposition</li> <li>• Family court orders</li> </ul>	X	
Incarceration in any jurisdiction		Prior to 12 months
Current community supervision (agent approval required via DOC-2429), including but not limited to: <ul style="list-style-type: none"> <li>• Wisconsin DOC parole, probation, extended supervision</li> <li>• Wisconsin Department of Health Services (DHS) conditional release (i.e. Sand Ridge Secure Treatment Center, Mendota Mental Health Institute, Winnebago Mental Health Institute)</li> <li>• Federal probation, parole</li> </ul>	Agent approval required via DOC-2429	
GPS or electronic monitoring		Agent approval required via DOC-2429
Sex Offender Registry, but no longer on correctional supervision		X
Non-drug criminal history in any jurisdiction		X
Drug-related criminal history in any jurisdiction within past 10 years		X
Identified person of interest by U.S. Department of Homeland Security		X
Indeterminate documentation or false information (e.g. inconsistent birth dates, etc.)		X
History with currently incarcerated PIOC or DAI staff member, including but not limited to: <ul style="list-style-type: none"> <li>• On PIOC personal visiting list (i.e. not recorded as professional visitor)</li> <li>• Restraining/protection order (petitioner and/or subject of injunction)</li> <li>• Court-issued or DCC-directed injunction/no-contact order</li> <li>• Co-defendant in any criminal case</li> <li>• Past perpetrator of crime against PIOC or staff</li> <li>• Past victim of PIOC or staff</li> </ul>	Same Site	Different Site
PIOC close family member NOT on personal visiting list; as defined by WI Admin. Code s DOC 309.02(4) (i.e. natural, adoptive, step, foster or surrogate parents; spouse; children, grandparents, grandchildren or siblings)		X
Former Wisconsin DOC employee applying for Non-DOC Personnel, Business & Professional Visitor		Prior to 12 months
Former Wisconsin DOC employee applying for PIOC Visiting List		Prior to 24 months

#### Additional Considerations for Approval/Denial of PIOC Personal Visitors

- Time passed since offense.
- Is proposed visitor PIOC victim or correlated to PIOC victim profile (e.g. domestic violence, sexual offense)? Consult Psychological Services Unit as needed to assess potential for further victimization utilizing the DOC-2427 Offender Visitor Review Removal Denial Approval.

- Review offense details (e.g. Criminal Complaint, Violation/Revocation Summary, Pre-Sentence Investigation, DOC-1577/1577A Sex Offender Assessment Report). Were non-convicted behaviors present which may correlate with risk of contact with proposed visitor (e.g. charges dismissed, case pleaded to lesser offense)?
- Review PIOC progress in offense-related programming (i.e. review program summaries; ongoing treatment needs; contact facilitators as needed). Program completion is not a prerequisite, nor a guarantee of approval.
- Proposed visitor's relationship with PIOC.
- If proposed visitor has offense history:
  - Consider time since offense.
  - Is a co-defendant or co-conspirator.
  - Proposed visitor met PIOC during incarceration.
  - Consult proposed visitor's DCC agent to assess suitability for visitation with PIOC.
  - Will proposed visitor enhance PIOC rehabilitation and post-release support system?