

 <p style="text-align: center;">DIVISION OF ADULT INSTITUTIONS</p> <p style="text-align: center;">POLICY AND PROCEDURES</p>	DAI Policy #: 500.30.03	Page 1 of 4
	Original Effective Date: 11/01/03	New Effective Date: 05/14/18
	Supersedes: 500.30.03	Dated: 09/04/14
	Administrator's Approval: Jim Schwochert, Administrator	
Required Posting or Restricted:		
<input checked="" type="checkbox"/> Inmate <input checked="" type="checkbox"/> All Staff <input type="checkbox"/> Restricted		
Chapter: 500 Health Services		
Subject: Medical Examinations by Non-DOC Providers		

POLICY

Division of Adult Institution facilities shall evaluate inmate patient requests for medical and mental health examinations by non-DOC providers when it is outside of the care deemed medically necessary by DOC providers.

REFERENCES

Standards for Health Services in Prisons, National Commission on Correctional Health Care, 2018, P-A-02 Responsible Health Authority, P-A-03 Medical Autonomy

DEFINITIONS, ACRONYMS, AND FORMS

Advanced Care Provider (ACP) – Provider with prescriptive authority

BHS – Bureau of Health Services

DOC – Department of Corrections

DOC-1163A – Authorization for Use and Disclosure of Protected Health Information (PHI)

HSU – Health Services Unit

LTE – Limited Term Employment

Non-DOC Provider – A licensed health care provider who is not employed or under a contract with DOC to provide health care to inmate patients.

Outside Examination – A medical examination performed by a non-DOC provider either on site at a DOC facility or outside of a DOC facility.

PROCEDURE**I. Request for Outside Examination**

- A. Approval of non-DOC provider medical exams shall be limited to a specific set of circumstances.
- B. An inmate patient, attorney or family member may request that a non-DOC provider examine the inmate patient. The request shall be in writing and shall contain the following information:

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1. Descriptive reason for the examination. (i.e.: community ACP second opinion, disability benefits etc.)
2. Name, address, telephone number of proposed examiner.
3. Proposed time frame and location of the examination (time and location may be changed due to security reasons).
4. Release date if requesting evaluation for eligibility of benefits upon release.
5. Statement that all expenses of the examination shall be borne by the requester including, but not limited to, security staff escort, transportation and record copying.
6. The facility shall provide estimate of cost.

C. All requests shall be submitted to the BHS Director.

II. Determination by BHS Director

- A. The BHS Director shall determine whether the request is being made for one of the following reasons:
1. To establish eligibility of disability benefits of the patient during incarceration or upon return to the community.
 2. To respond to the request of an attorney representing the patient in a legal proceeding regarding matters such as conviction/adjudication, sentencing, parole or other release issues.
 3. To comply with a court ordered examination.
- B. The BHS Director shall determine if the exam can take place on-site if possible.
- C. Request made greater than six months from release date, may be denied.

III. Determination by Warden/Superintendent

- A. The Warden/designee shall review security issues involving the examination.
- B. The Warden/designee shall make a determination to approve or deny the requested examination based on:
1. Level of escort for examination.
 2. Location of the examination.
 3. Security issues with the identified patient being transported or off-site provider.
- C. Denial of transport shall be discussed with the BHS Director.

IV. Upon Approval by BHS Director and Warden/Designee

- A. Inmate patient/requestor shall prepay all expenses.
- B. HSU shall make available copies of the inmate patient HCR as requested by the non-DOC provider as requested and based on a signed DOC-1163A - Authorization for Use and Disclosure of Protected Health Information (PHI).

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- C. If the examination takes place at a DOC facility, an exam room and equipment such as blood pressure cuffs and stethoscopes may be provided at the discretion of the HSU Manager/designee.
- D. HSU shall not provide disposable equipment/supplies to a non-DOC provider.
- E. HSU staff shall not assist in the examination nor provide any services to locate providers, set up appointments, obtain diagnostic specimens, take x-rays, etc.
- F. HSU shall not perform diagnostic tests as part of a non-DOC examination.
- G. HSU shall not request or retain any reports of the non-DOC provider examination.
- H. Treatment recommendations from medical examinations by non-DOC providers shall be reviewed by a DOC ACP to determine an approved treatment plan.
 - 1. The DOC ACP shall document justification of changes in the recommended treatment plan.
 - 2. The patient shall be notified of the changes by the ACP.

Bureau of Health Services: _____ **Date Signed:** _____
James Greer, Director

_____ **Date Signed:** _____
Paul Bekx, MD, Medical Director

_____ **Date Signed:** _____
Mary Muse, Nursing Director

Administrator's Approval: _____ **Date Signed:** _____
Jim Schwochert, Administrator

DIVISION OF ADULT INSTITUTIONS FACILITY IMPLEMENTATION PROCEDURES

Facility: Name		
Original Effective Date: 00/00/00	DAI Policy Number: 500.30.03	Page 4 of 4
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Chapter: 500 Health Services		
Subject: Medical Examinations by Non-DOC Providers		
Will Implement <input type="checkbox"/> As written <input type="checkbox"/> With below procedures for facility implementation		
Warden's/Center Superintendent's Approval:		

REFERENCES

DEFINITIONS, ACRONYMS, AND FORMS

FACILITY PROCEDURE

- I.
 - A.
 - B.
 - 1.
 - 2.
 - a.
 - b.
 - c.
 - 3.
 - C.

II.

III.

RESPONSIBILITY

I. Staff

II. Inmate

III. Other