

 <p style="text-align: center;">DIVISION OF ADULT INSTITUTIONS</p> <p style="text-align: center;">POLICY AND PROCEDURES</p>	DAI Policy #: 500.30.23	Page 1 of 4
	Original Effective Date: 05/01/04	New Effective Date: 06/30/14
	Supersedes: BHS300:23	Dated: 07/19/07
	Administrator's Approval: Jim Schwochert, Administrator	
	Last Reviewed, No Changes: 07/13/16	
Required Posting or Restricted:		
<input checked="" type="checkbox"/> Inmate <input checked="" type="checkbox"/> All Staff <input type="checkbox"/> Restricted		
Chapter: 500 Health Services		
Subject: Restrictions to Reduce Health Care Risks		

POLICY

Division of Adult Institution facilities may restrict activities of inmate patients which are counter-productive to treatment or prevention of a serious medical condition when approved by the Bureau of Health Services.

REFERENCES

Standards for Health Services in Prisons – National Commission on Correctional Health Care 2014 P-G-02 Patients with Special Health Needs, P-I-05, Informed Consent and Right to Refuse

DEFINITIONS, ACRONYMS, AND FORMS

BHS – Bureau of Health Services

DOC – Department of Corrections

DOC-3475 – Bureau of Health Services Request for Restriction Approval

Health Care Record – Official confidential DOC record created and maintained for each inmate patient consisting of all or some of the following components: gray/green and/or orange short-term inmate admission (STIA) Medical Chart, Infirmary Chart, Hemodialysis Chart, Dental Record Psychological Records-Copies (DOC-3370/3370B), Optical Record, Medications Record, Psychological Services Unit Record, x-ray films, and other components as defined by the BHS.

Restrictions – Limitations placed on usual and customary activities of inmate patients such as canteen purchases, modified diets, access to water, etc.

Serious medical need – One that has been diagnosed by a physician/nurse practitioner/physician assistant as mandating treatment or one that is so obvious that even a lay person would easily recognize the necessity for medical attention.

PROCEDURE

I. Requesting Restrictions

- A. The facility Multidisciplinary Team(s) shall identify necessary restrictions based on:
 1. Interest in preserving and promoting the inmate patient's life, health and well-being.

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2. Interest in effectively managing health care resources.
- B. The facility shall ensure there are documented care plan interventions and teaching prior to requesting restrictions.
 - C. Requests for approval of restrictions shall be provided in writing on DOC-3475 – Bureau of Health Services Request for Restriction Approval. The request shall include:
 1. Inmate patient's condition.
 2. Proposed restriction.
 3. Documented interventions and response.
 4. Length of time the proposed restriction will be in place.
 - a. Restrictions can be requested for up to 90 days.
 - b. If no date is included, the automatic stop date will be 90 days.
 5. Expected outcome.
 6. Appropriate copies of the Health Care Record verifying condition and need for intervention. Examples include, but are not limited to:
 - a. Blood sugar checks.
 - b. Copies of canteen orders.
 - c. Weight monitoring.
 - d. Insulin record.
 - e. Blood pressure readings.
 - D. If the restriction is to turn off the water in the inmate patient's cell due to non-adherence with fluid restrictions the following shall also apply:
 1. Proof of non-compliance shall be attached to the DOC-3475 – Bureau of Health Services Request for Restriction Approval.
 2. Facility Warden/designee notification of water being turned off.
 3. Mental Health Director notification if justification is related to a mental health condition.
 4. A plan of care developed, which includes:
 - a. Routine fluids provided to meet the inmate patient's intake requirements.
 - b. HSU Manager/designee daily review of intake monitoring, and when not on-site, a designated person shall be named to review.
 - c. Staff access to water for hand-washing.
 - d. Inmate patient access to water for hygiene and toilet flushing.
 - e. Inmate workers access to water for cleaning.
- II. Review and Approval of Requests by BHS Central Office**
- A. All restrictions necessary for maintenance or improvement of an inmate patient's health condition against his or her wishes shall be approved prior to initiation by BHS in Central Office.
 - B. Facilities shall submit to BHS Central Office the original DOC-3475 – Bureau of Health Services Request for Restriction Approval and copies of

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documentation to be considered as identified in I. above. A copy shall be maintained in the Medical Chart until the original document is returned.

- C. A BHS Committee consisting of the BHS Director, Medical Director, Mental Health Director (if restriction involves mental health conditions), Director of Nursing, and BHS assigned Nursing Coordinator shall review the request and make a decision to approve or deny the request and sign the DOC-3475 – Bureau of Health Services Request for Restriction Approval.
- D. The signed original DOC-3475 shall be returned to the facility with a copy maintained in the BHS Central Office Health File.

III. Enforcing Decisions

- A. Inmate patients shall be provided with a copy of the DOC-3475 – Bureau of Health Services Request for Restriction Approval .
- B. Facilities are responsible to ensure restrictions are enforced through multidisciplinary care planning.
- C. Inmate patients are not able to refuse restrictions implemented through this process.
- D. If a restriction is needed for longer than 90 days, a new DOC-3475 – Bureau of Health Services Request for Restriction Approval shall be completed.

Bureau of Health Services: _____ **Date Signed:** _____
James Greer, Director

_____ **Date Signed:** _____
Ryan Holzmacher, MD, Medical Director

_____ **Date Signed:** _____
Mary Muse, Nursing Director

Administrator's Approval: _____ **Date Signed:** _____
Jim Schwochert, Administrator

DIVISION OF ADULT INSTITUTIONS FACILITY IMPLEMENTATION PROCEDURES

Facility: Name		
Original Effective Date:	DAI Policy Number: 500.30.23	Page 4 of 4
New Effective Date: 00/00/00	Supersedes Number:	Dated:
Chapter: 500 Health Services		
Subject: Restrictions to Reduce Health Care Risks		
Will Implement <input type="checkbox"/> As written <input type="checkbox"/> With below procedures for facility implementation		
Warden's/Center Superintendent's Approval:		

REFERENCES

DEFINITIONS, ACRONYMS, AND FORMS

FACILITY PROCEDURE

- I.
 - A.
 - B.
 - 1.
 - 2.
 - a.
 - b.
 - c.
 - 3.
 - C.

II.

III.

RESPONSIBILITY

I. Staff

II. Inmate

III. Other