

# PREA Facility Audit Report: Final

**Name of Facility:** Thompson Correctional Center

**Facility Type:** Prison / Jail

**Date Interim Report Submitted:** 12/23/2024

**Date Final Report Submitted:** 04/03/2025

| Auditor Certification                                                                                                                                                                                                                           |                                      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|
| The contents of this report are accurate to the best of my knowledge.                                                                                                                                                                           | <input type="checkbox"/>             |
| No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.                                                                                                                                       | <input type="checkbox"/>             |
| I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template. | <input type="checkbox"/>             |
| <b>Auditor Full Name as Signed:</b> Jerri Worm                                                                                                                                                                                                  | <b>Date of Signature:</b> 04/03/2025 |

| AUDITOR INFORMATION                 |                        |
|-------------------------------------|------------------------|
| <b>Auditor name:</b>                | Worm, Jerri            |
| <b>Email:</b>                       | jerri.worm@state.co.us |
| <b>Start Date of On-Site Audit:</b> | 11/11/2024             |
| <b>End Date of On-Site Audit:</b>   | 11/12/2024             |

| FACILITY INFORMATION              |                                                   |
|-----------------------------------|---------------------------------------------------|
| <b>Facility name:</b>             | Thompson Correctional Center                      |
| <b>Facility physical address:</b> | 434 State Farm Road, Deerfield, Wisconsin - 53531 |
| <b>Facility mailing address:</b>  |                                                   |

| Primary Contact |
|-----------------|
|-----------------|

|                          |                            |
|--------------------------|----------------------------|
| <b>Name:</b>             | Jon Petersen               |
| <b>Email Address:</b>    | Jon.Petersen@wisconsin.gov |
| <b>Telephone Number:</b> | 608 423-9225               |

#### Warden/Jail Administrator/Sheriff/Director

|                          |                              |
|--------------------------|------------------------------|
| <b>Name:</b>             | Clinton Bryant               |
| <b>Email Address:</b>    | Clinton.Bryant@wisconsin.gov |
| <b>Telephone Number:</b> | 608-240-5531                 |

#### Facility PREA Compliance Manager

|                          |                            |
|--------------------------|----------------------------|
| <b>Name:</b>             | Jon Petersen               |
| <b>Email Address:</b>    | jon.petersen@wisconsin.gov |
| <b>Telephone Number:</b> | 608-423-9852               |

#### Facility Health Service Administrator On-site

|                          |                          |
|--------------------------|--------------------------|
| <b>Name:</b>             | Kera Haase               |
| <b>Email Address:</b>    | Kera.Haase@wisconsin.gov |
| <b>Telephone Number:</b> | 608 240-5128             |

#### Facility Characteristics

|                                                                                |           |
|--------------------------------------------------------------------------------|-----------|
| <b>Designed facility capacity:</b>                                             | 118       |
| <b>Current population of facility:</b>                                         | 125       |
| <b>Average daily population for the past 12 months:</b>                        | 123       |
| <b>Has the facility been over capacity at any point in the past 12 months?</b> | No        |
| <b>What is the facility's population designation?</b>                          | Mens/boys |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|
| <b>In the past 12 months, which population(s) has the facility held? Select all that apply (Nonbinary describes a person who does not identify exclusively as a boy/man or a girl/woman. Some people also use this term to describe their gender expression. For definitions of “intersex” and “transgender,” please see <a href="https://www.prearesourcecenter.org/standard/115-5">https://www.prearesourcecenter.org/standard/115-5</a>)</b> |                                   |
| <b>Age range of population:</b>                                                                                                                                                                                                                                                                                                                                                                                                                 | 22-74                             |
| <b>Facility security levels/inmate custody levels:</b>                                                                                                                                                                                                                                                                                                                                                                                          | Minimum/Minimum Community Custody |
| <b>Does the facility hold youthful inmates?</b>                                                                                                                                                                                                                                                                                                                                                                                                 | No                                |
| <b>Number of staff currently employed at the facility who may have contact with inmates:</b>                                                                                                                                                                                                                                                                                                                                                    | 25                                |
| <b>Number of individual contractors who have contact with inmates, currently authorized to enter the facility:</b>                                                                                                                                                                                                                                                                                                                              | 23                                |
| <b>Number of volunteers who have contact with inmates, currently authorized to enter the facility:</b>                                                                                                                                                                                                                                                                                                                                          | 5                                 |

| <b>AGENCY INFORMATION</b>                                    |                                                         |
|--------------------------------------------------------------|---------------------------------------------------------|
| <b>Name of agency:</b>                                       | Wisconsin Department of Corrections                     |
| <b>Governing authority or parent agency (if applicable):</b> | State of Wisconsin                                      |
| <b>Physical Address:</b>                                     | 3099 East Washington Avenue, Madison, Wisconsin - 53704 |
| <b>Mailing Address:</b>                                      | PO Box 7925, Madison, Wisconsin - 53707                 |
| <b>Telephone number:</b>                                     | (608) 240-5000                                          |

|                                                    |
|----------------------------------------------------|
| <b>Agency Chief Executive Officer Information:</b> |
|----------------------------------------------------|

|                          |                          |
|--------------------------|--------------------------|
| <b>Name:</b>             | Kevin Carr               |
| <b>Email Address:</b>    | Kevin.Carr@wisconsin.gov |
| <b>Telephone Number:</b> | (608) 240-5065           |

| Agency-Wide PREA Coordinator Information |              |                       |                            |
|------------------------------------------|--------------|-----------------------|----------------------------|
| <b>Name:</b>                             | Leigha Weber | <b>Email Address:</b> | leigha.weber@wisconsin.gov |

| Facility AUDIT FINDINGS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Summary of Audit Findings                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |  |
| <p>The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.</p> <p>Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.</p> |  |
| Number of standards exceeded:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |  |
| 0                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |
| Number of standards met:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |  |
| 45                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |  |
| Number of standards not met:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |
| 0                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |

## POST-AUDIT REPORTING INFORMATION

### GENERAL AUDIT INFORMATION

#### On-site Audit Dates

|                                                   |            |
|---------------------------------------------------|------------|
| 1. Start date of the onsite portion of the audit: | 2024-11-11 |
| 2. End date of the onsite portion of the audit:   | 2024-11-12 |

#### Outreach

|                                                                                                                                                                                                         |                                                                    |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| 10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility? | <input checked="" type="radio"/> Yes<br><input type="radio"/> No   |
| a. Identify the community-based organization(s) or victim advocates with whom you communicated:                                                                                                         | RCC: Sexual Violence Resource center in Dane County was contacted. |

### AUDITED FACILITY INFORMATION

|                                                                                  |                                                                                                                                                                                                    |
|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 14. Designated facility capacity:                                                | 118                                                                                                                                                                                                |
| 15. Average daily population for the past 12 months:                             | 123                                                                                                                                                                                                |
| 16. Number of inmate/resident/detainee housing units:                            | 2                                                                                                                                                                                                  |
| 17. Does the facility ever hold youthful inmates or youthful/juvenile detainees? | <input type="radio"/> Yes<br><input checked="" type="radio"/> No<br><input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility) |

## **Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit**

### **Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit**

|                                                                                                                                                                                                                                                                      |     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| <b>18. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:</b>                                                                                                                                 | 124 |
| <b>19. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:</b>                                                                                                  | 0   |
| <b>20. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:</b> | 0   |
| <b>21. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:</b>                                                                        | 0   |
| <b>22. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:</b>                                                                                             | 5   |
| <b>23. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:</b>                                                                                    | 0   |
| <b>24. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:</b>                                                                                   | 0   |

|                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                               |
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| <b>25. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:</b>                                                                                   | 0                                                                                                                                                                                                                             |
| <b>26. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:</b>                                                                                                 | 0                                                                                                                                                                                                                             |
| <b>27. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:</b>                                                            | 3                                                                                                                                                                                                                             |
| <b>28. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:</b>                                     | 0                                                                                                                                                                                                                             |
| <b>29. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</b> | Due to the nature of this work release facility many of the targeted categories were not housed here and were unable to be interviewed. Additional random inmates were interviewed to reach the minimum number of interviews. |
| <b>Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit</b>                                                                                                                                                 |                                                                                                                                                                                                                               |
| <b>30. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</b>                                                                                             | 25                                                                                                                                                                                                                            |
| <b>31. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</b>                                                                                 | 5                                                                                                                                                                                                                             |

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| <b>32. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</b>                        | 23                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>33. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:</b> | No text provided.                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>INTERVIEWS</b>                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>Inmate/Resident/Detainee Interviews</b>                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>Random Inmate/Resident/Detainee Interviews</b>                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>34. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:</b>                                                                                                              | 13                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>35. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)</b>                                                             | <div> <input checked="" type="checkbox"/> Age </div> <div> <input checked="" type="checkbox"/> Race </div> <div> <input checked="" type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic) </div> <div> <input type="checkbox"/> Length of time in the facility </div> <div> <input checked="" type="checkbox"/> Housing assignment </div> <div> <input type="checkbox"/> Gender </div> <div> <input type="checkbox"/> Other </div> <div> <input type="checkbox"/> None </div> |
| <b>36. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?</b>                                                                                      | A list of all inmates assigned to TCC by housing unit was provided. The auditor randomly selected inmates based on all available known factors and ensured all housing units were represented.                                                                                                                                                                                                                                                                                    |

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| <b>37. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <input checked="checked" type="radio"/> Yes<br><br><input type="radio"/> No |
| <b>38. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | No text provided.                                                           |
| <b>Targeted Inmate/Resident/Detainee Interviews</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                             |
| <b>39. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 8                                                                           |
| <p>As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".</p> |                                                                             |
| <b>40. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 5                                                                           |
| <b>41. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 0                                                                           |

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| <b>41. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b>                                                                                                                      | <input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.<br><br><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed. |
| <b>41. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b> | The facility/agency provided a list of all inmates who fell within the targeted categories, several of which were zero. During the site review and during interviews no additional targeted inmates were able to be found.                                                                                                   |
| <b>42. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:</b>                                          | 0                                                                                                                                                                                                                                                                                                                            |
| <b>42. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b>                                                                                                                      | <input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.<br><br><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed. |
| <b>42. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b> | The facility/agency provided a list of all inmates who fell within the targeted categories, several of which were zero. During the site review and during interviews no additional targeted inmates were able to be found.                                                                                                   |
| <b>43. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</b>                                                                     | 5                                                                                                                                                                                                                                                                                                                            |

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| <b>44. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</b>                                                            | 0                                                                                                                                                                                                                                                                                                                                               |
| <b>44. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b>                                                                                                                      | <div> <input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. </div> <div> <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed. </div> |
| <b>44. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b> | The facility/agency provided a list of all inmates who fell within the targeted categories, several of which were zero. During the site review and during interviews no additional targeted inmates were able to be found.                                                                                                                      |
| <b>45. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</b>                                      | 0                                                                                                                                                                                                                                                                                                                                               |
| <b>45. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b>                                                                                                                      | <div> <input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. </div> <div> <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed. </div> |
| <b>45. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b> | The facility/agency provided a list of all inmates who fell within the targeted categories, several of which were zero. During the site review and during interviews no additional targeted inmates were able to be found.                                                                                                                      |

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| <b>46. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</b>                                        | 0                                                                                                                                                                                                                                                                                                                                               |
| <b>46. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b>                                                                                                                      | <div> <input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. </div> <div> <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed. </div> |
| <b>46. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b> | The facility/agency provided a list of all inmates who fell within the targeted categories, several of which were zero. During the site review and during interviews no additional targeted inmates were able to be found.                                                                                                                      |
| <b>47. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</b>                                                                      | 0                                                                                                                                                                                                                                                                                                                                               |
| <b>47. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b>                                                                                                                      | <div> <input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. </div> <div> <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed. </div> |
| <b>47. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b> | The facility/agency provided a list of all inmates who fell within the targeted categories, several of which were zero. During the site review and during interviews no additional targeted inmates were able to be found.                                                                                                                      |

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| <b>48. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</b>                                                                               | 3                                                                                                                                                                                                                                                                                                                                               |
| <b>49. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</b> | 0                                                                                                                                                                                                                                                                                                                                               |
| <b>49. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b>                                                                                                                                                                                | <div> <input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. </div> <div> <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed. </div> |
| <b>49. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b>                                                           | The facility/agency provided a list of all inmates who fell within the targeted categories, several of which were zero. During the site review and during interviews no additional targeted inmates were able to be found.                                                                                                                      |
| <b>50. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</b>                                                                                                                                | All inmates identified as being part of a targeted group were interviewed but this fell below the minimum number so additional random inmates were interviewed.                                                                                                                                                                                 |
| <b>Staff, Volunteer, and Contractor Interviews</b>                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                 |
| <b>Random Staff Interviews</b>                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                 |
| <b>51. Enter the total number of RANDOM STAFF who were interviewed:</b>                                                                                                                                                                                                                                                        | 12                                                                                                                                                                                                                                                                                                                                              |

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| <b>52. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)</b>                                                                                                                                                           | <input type="checkbox"/> Length of tenure in the facility<br><input checked="" type="checkbox"/> Shift assignment<br><input checked="" type="checkbox"/> Work assignment<br><input checked="" type="checkbox"/> Rank (or equivalent)<br><input type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken)<br><input type="checkbox"/> None |
| <b>53. Were you able to conduct the minimum number of RANDOM STAFF interviews?</b>                                                                                                                                                                                                    | <input checked="" type="radio"/> Yes<br><input type="radio"/> No                                                                                                                                                                                                                                                                                          |
| <b>54. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</b>                                                                          | Due to the small size of the facility almost all staff working were interviewed as random.                                                                                                                                                                                                                                                                |
| <b>Specialized Staff, Volunteers, and Contractor Interviews</b>                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                           |
| Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements. |                                                                                                                                                                                                                                                                                                                                                           |
| <b>55. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):</b>                                                                                                                                                   | 14                                                                                                                                                                                                                                                                                                                                                        |
| <b>56. Were you able to interview the Agency Head?</b>                                                                                                                                                                                                                                | <input checked="" type="radio"/> Yes<br><input type="radio"/> No                                                                                                                                                                                                                                                                                          |
| <b>57. Were you able to interview the Warden/Facility Director/Superintendent or their designee?</b>                                                                                                                                                                                  | <input checked="" type="radio"/> Yes<br><input type="radio"/> No                                                                                                                                                                                                                                                                                          |

|                                                                    |                                                                                                                                                                                                                                      |
|--------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>58. Were you able to interview the PREA Coordinator?</b>        | <input checked="" type="radio"/> Yes<br><br><input type="radio"/> No                                                                                                                                                                 |
| <b>59. Were you able to interview the PREA Compliance Manager?</b> | <input checked="" type="radio"/> Yes<br><br><input type="radio"/> No<br><br><input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards) |

**60. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)**

- ☒ Agency contract administrator
- ☒ Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- ☐ Line staff who supervise youthful inmates (if applicable)
- ☐ Education and program staff who work with youthful inmates (if applicable)
- ☒ Medical staff
- ☐ Mental health staff
- ☐ Non-medical staff involved in cross-gender strip or visual searches
- ☒ Administrative (human resources) staff
- ☒ Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- ☒ Investigative staff responsible for conducting administrative investigations
- ☐ Investigative staff responsible for conducting criminal investigations
- ☒ Staff who perform screening for risk of victimization and abusiveness
- ☐ Staff who supervise inmates in segregated housing/residents in isolation
- ☒ Staff on the sexual abuse incident review team
- ☒ Designated staff member charged with monitoring retaliation
- ☒ First responders, both security and non-security staff
- ☒ Intake staff

|                                                                                                                                            |                                                                                                                                                                                                                                                                                     |
|--------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                            | <input type="checkbox"/> Other                                                                                                                                                                                                                                                      |
| <b>61. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility?</b>                            | <input checked="" type="radio"/> Yes<br><input type="radio"/> No                                                                                                                                                                                                                    |
| <b>61. Enter the total number of VOLUNTEERS who were interviewed:</b>                                                                      | 2                                                                                                                                                                                                                                                                                   |
| <b>61. Select which specialized VOLUNTEER role(s) were interviewed as part of this audit from the list below: (select all that apply)</b>  | <input type="checkbox"/> Education/programming<br><input type="checkbox"/> Medical/dental<br><input type="checkbox"/> Mental health/counseling<br><input checked="" type="checkbox"/> Religious<br><input type="checkbox"/> Other                                                   |
| <b>62. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility?</b>                           | <input checked="" type="radio"/> Yes<br><input type="radio"/> No                                                                                                                                                                                                                    |
| <b>62. Enter the total number of CONTRACTORS who were interviewed:</b>                                                                     | 2                                                                                                                                                                                                                                                                                   |
| <b>62. Select which specialized CONTRACTOR role(s) were interviewed as part of this audit from the list below: (select all that apply)</b> | <input type="checkbox"/> Security/detention<br><input type="checkbox"/> Education/programming<br><input type="checkbox"/> Medical/dental<br><input type="checkbox"/> Food service<br><input checked="" type="checkbox"/> Maintenance/construction<br><input type="checkbox"/> Other |
| <b>63. Provide any additional comments regarding selecting or interviewing specialized staff.</b>                                          | No text provided.                                                                                                                                                                                                                                                                   |

## SITE REVIEW AND DOCUMENTATION SAMPLING

### Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

**64. Did you have access to all areas of the facility?**

☒ Yes

☐ No

**Was the site review an active, inquiring process that included the following:**

**65. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?**

☒ Yes

☐ No

**66. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?**

☒ Yes

☐ No

**67. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?**

☒ Yes

☐ No

**68. Informal conversations with staff during the site review (encouraged, not required)?**

☒ Yes

☐ No

|                                                                                                                                                                                     |                   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| <b>69. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).</b> | No text provided. |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|

### Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

|                                                                                                                                                                               |                                                                      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|
| <b>70. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?</b> | <input checked="" type="radio"/> Yes<br><br><input type="radio"/> No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|

|                                                                                                                                                                                          |                   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| <b>71. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).</b> | No text provided. |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|

## SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

### Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

**72. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:**

|                                      | # of sexual abuse allegations | # of criminal investigations | # of administrative investigations | # of allegations that had both criminal and administrative investigations |
|--------------------------------------|-------------------------------|------------------------------|------------------------------------|---------------------------------------------------------------------------|
| <b>Inmate-on-inmate sexual abuse</b> | 0                             | 0                            | 0                                  | 0                                                                         |
| <b>Staff-on-inmate sexual abuse</b>  | 0                             | 0                            | 0                                  | 0                                                                         |
| <b>Total</b>                         | 0                             | 0                            | 0                                  | 0                                                                         |

**73. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:**

|                                           | # of sexual harassment allegations | # of criminal investigations | # of administrative investigations | # of allegations that had both criminal and administrative investigations |
|-------------------------------------------|------------------------------------|------------------------------|------------------------------------|---------------------------------------------------------------------------|
| <b>Inmate-on-inmate sexual harassment</b> | 0                                  | 0                            | 0                                  | 0                                                                         |
| <b>Staff-on-inmate sexual harassment</b>  | 0                                  | 0                            | 0                                  | 0                                                                         |
| <b>Total</b>                              | 0                                  | 0                            | 0                                  | 0                                                                         |

## Sexual Abuse and Sexual Harassment Investigation Outcomes

### Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

#### 74. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

|                               | Ongoing | Referred for Prosecution | Indicted/ Court Case Filed | Convicted/ Adjudicated | Acquitted |
|-------------------------------|---------|--------------------------|----------------------------|------------------------|-----------|
| Inmate-on-inmate sexual abuse | 0       | 0                        | 0                          | 0                      | 0         |
| Staff-on-inmate sexual abuse  | 0       | 0                        | 0                          | 0                      | 0         |
| Total                         | 0       | 0                        | 0                          | 0                      | 0         |

#### 75. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

|                               | Ongoing | Unfounded | Unsubstantiated | Substantiated |
|-------------------------------|---------|-----------|-----------------|---------------|
| Inmate-on-inmate sexual abuse | 0       | 0         | 0               | 0             |
| Staff-on-inmate sexual abuse  | 0       | 0         | 0               | 0             |
| Total                         | 0       | 0         | 0               | 0             |

### Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

**76. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:**

|                                           | Ongoing | Referred for Prosecution | Indicted/ Court Case Filed | Convicted/ Adjudicated | Acquitted |
|-------------------------------------------|---------|--------------------------|----------------------------|------------------------|-----------|
| <b>Inmate-on-inmate sexual harassment</b> | 0       | 0                        | 0                          | 0                      | 0         |
| <b>Staff-on-inmate sexual harassment</b>  | 0       | 0                        | 0                          | 0                      | 0         |
| <b>Total</b>                              | 0       | 0                        | 0                          | 0                      | 0         |

**77. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:**

|                                           | Ongoing | Unfounded | Unsubstantiated | Substantiated |
|-------------------------------------------|---------|-----------|-----------------|---------------|
| <b>Inmate-on-inmate sexual harassment</b> | 0       | 0         | 0               | 0             |
| <b>Staff-on-inmate sexual harassment</b>  | 0       | 0         | 0               | 0             |
| <b>Total</b>                              | 0       | 0         | 0               | 0             |

**Sexual Abuse and Sexual Harassment Investigation Files Selected for Review**

**Sexual Abuse Investigation Files Selected for Review**

**78. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:**

0

**78. Explain why you were unable to review any sexual abuse investigation files:**

No reports of sexual abuse or sexual harassment were made in the past 12 months at TCC.

|                                                                                                                                                                  |                                                                                                                                                                                      |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>79. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</b> | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)                  |
| <b>Inmate-on-inmate sexual abuse investigation files</b>                                                                                                         |                                                                                                                                                                                      |
| <b>80. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</b>                                                         | 0                                                                                                                                                                                    |
| <b>81. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</b>                                                 | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files) |
| <b>82. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</b>                                           | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files) |
| <b>Staff-on-inmate sexual abuse investigation files</b>                                                                                                          |                                                                                                                                                                                      |
| <b>83. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</b>                                                          | 0                                                                                                                                                                                    |
| <b>84. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</b>                                                  | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)  |

|                                                                                                                                                                       |                                                                                                                                                                                           |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>85. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</b>                                                 | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)       |
| <b>Sexual Harassment Investigation Files Selected for Review</b>                                                                                                      |                                                                                                                                                                                           |
| <b>86. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:</b>                                                                          | 0                                                                                                                                                                                         |
| <b>86. Explain why you were unable to review any sexual harassment investigation files:</b>                                                                           | No reports of sexual abuse or sexual harassment were made in the past 12 months at TCC.                                                                                                   |
| <b>87. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</b> | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)                  |
| <b>Inmate-on-inmate sexual harassment investigation files</b>                                                                                                         |                                                                                                                                                                                           |
| <b>88. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</b>                                                         | 0                                                                                                                                                                                         |
| <b>89. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?</b>                                                               | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files) |

|                                                                                                                                      |                                                                                                                                                                                           |
|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>90. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</b>          | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files) |
| <b>Staff-on-inmate sexual harassment investigation files</b>                                                                         |                                                                                                                                                                                           |
| <b>91. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</b>                         | 0                                                                                                                                                                                         |
| <b>92. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?</b>                 | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)  |
| <b>93. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</b>           | <input type="radio"/> Yes<br><input type="radio"/> No<br><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)  |
| <b>94. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.</b> | No text provided.                                                                                                                                                                         |

## SUPPORT STAFF INFORMATION

### DOJ-certified PREA Auditors Support Staff

95. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

☐ Yes

☒ No

### Non-certified Support Staff

96. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

☒ Yes

☐ No

96. Enter the TOTAL NUMBER OF NON-CERTIFIED SUPPORT who provided assistance at any point during this audit:

2

## AUDITING ARRANGEMENTS AND COMPENSATION

97. Who paid you to conduct this audit?

☐ The audited facility or its parent agency

☒ My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)

☐ A third-party auditing entity (e.g., accreditation body, consulting firm)

☐ Other

Identify your state/territory or county government employer by name:

Colorado Department of Corrections

**Was this audit conducted as part of a consortium or circular auditing arrangement?**

☒ Yes

☐ No

| Standards                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Auditor Overall Determination Definitions                                                                                                                                                                                                                                                                                                                                                                                                                |  |
| <ul style="list-style-type: none"> <li>Exceeds Standard<br/>(Substantially exceeds requirement of standard)</li> <li>Meets Standard<br/>(substantial compliance; complies in all material ways with the stand for the relevant review period)</li> <li>Does Not Meet Standard<br/>(requires corrective actions)</li> </ul>                                                                                                                               |  |
| Auditor Discussion Instructions                                                                                                                                                                                                                                                                                                                                                                                                                          |  |
| <p>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</p> |  |

| 115.11 | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|        | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|        | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|        | <p>In reviewing Division of Adult (DAI) policies and procedures they reference and define an incarcerated individual as; Person in our care (PIOC), inmate, and offender. This audit report will use these terms interchangeably when referring to an incarcerated person.</p> <p>In determining compliance, the auditor triangulated relevant and available evidence related to all standards including:</p> <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>Thompson Correctional Center Pre-Audit Questionnaire (TCC PAQ)</li> <li>Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated August 2, 2022. For this audit report, this policy will be referred to as ED 72.</li> <li>Agency PREA Director position description</li> <li>Agency Organizational Chart dated September 2024</li> </ul> |

- WIDOC PREA Compliance Manager listing dated 10/7/2024

Interviews Conducted:

- PREA Coordinator (Director)
- PREA Compliance Manager

Reasoning and analysis (by provision):

115.11(a):

- ED 72:
  - ED 72 is the agency's written policy that mandates zero tolerance towards all forms of sexual abuse and sexual harassment in facilities it operates directly or under contract.
  - It states:
    - "The Wisconsin Department of Corrections has zero tolerance for sexual abuse, sexual harassment and report-related retaliation in its facilities, including those with which it contracts for the confinement of PIOC's.
    - The DOC provides a coordinated victim-centered response to reports of sexual abuse and sexual harassment. This includes providing medical and mental health services to victims, as appropriate, while investigating all allegations.
    - The DOC provides multiple avenues to report allegations of sexual abuse and sexual harassment and, further, recognizes the right of staff members and PIOC's to be free from retaliation for reporting or participating in the investigation of sexual abuse and sexual harassment.
    - The DOC trains all employees, contractors and volunteers to recognize, respond to and report sexual abuse and sexual harassment.
    - The DOC provides PIOC's with a comprehensive orientation that details their right to be free from sexual abuse, sexual harassment and report-related retaliation.
    - The DOC employs a data collection method to accurately track and aggregate sexual abuse and sexual harassment incidents, identify core causal factors and take corrective action so as to align with a zero-tolerance environment."

115.11(b):

- ED 72 states:
  - "The DOC shall employ or designate a PREA Director to oversee department efforts to comply with PREA standards. This position shall have sufficient time and authority to develop, implement and oversee

DOC's efforts to comply with PREA standards in all of its facilities."

- The PREA Director position description states:
  - Under the general policy direction of the Assistant Deputy Secretary, this position is responsible for the direction of the Prison Rape Elimination Act (PREA) provisions in the Department. The scope of this position encompasses the entire Wisconsin Department of Corrections in which capacity it serves as the department expert on the PREA and provides oversight and consultation to department management. This position is responsible for the planning, coordination, implementation and maintenance of an ongoing PREA training and education program, and will serve as a subject matter expert on PREA quality and training standards; monitor all department-wide PREA processes; and work with agencies and stakeholders in attaining consistent, quality PREA training and education. Additionally, this position is responsible for the review of all allegations of Prison Rape Elimination Act (PREA) violations to determine appropriate investigative action and provide technical assistance where appropriate and requested. The goals of the office include the provision of objective, efficient and thorough investigations and collection of data on operational trends and identification of areas in need of improvement. This position is also responsible for the review of alleged serious policy or criminal violations related to PREA involving inmates, offenders, juveniles and staff. This position shall comply with the Department's administrative rules and the agency's policies and procedures including those related to the Department's overall Reentry philosophy of using evidence-based strategies, practices and programs which target an offender's individual criminogenic needs and risk level."
- The agency organizational chart shows:
  - The PREA Director reports to the Assistant Deputy Secretary.
- The agency PREA Director (referenced as the PREA Coordinator in the PREA standards) stated:
  - She feels she has enough time to manage all of her PREA related responsibilities which includes overseeing PREA compliance for 38 facilities. She stated that each of the 38 facilities has a PREA Compliance Manager and a backup for when they are unavailable (vacation etc). She stated that she interacts with them in many ways including having quarterly meetings, visiting the facilities, phone calls, and emails. If she were to identify a compliance issue she would work with the facility to identify a workable solution which may include connecting them to a similar facility to identify solutions and also may reach out to peers in other states to see what processes they have in place.

115.11(c):

- The Agency PREA Compliance Manager (PCM) listing shows that TCC has a

|  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|--|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | <p>primary and back-up PCM. The primary PCM is the TCC Superintendent (warden).</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “The appointing authority or designee at each facility shall assign one employee as the facility-based PREA Compliance Manager with sufficient time and authority to coordinate the facility’s efforts to comply with PREA standards as set forth by DOC.”</li> </ul> </li> <li>• Interview with the PREA Compliance manager: <ul style="list-style-type: none"> <li>◦ The PCM stated that he has enough time to manage his PREA related responsibilities.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.11 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
|--|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

| 115.12 | Contracting with other entities for the confinement of inmates                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|--------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|        | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|        | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|        | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 8/2/2022</li> <li>• Division of Adult Institutions (DAI) DOC-2845 PREA Contract Compliance Review Report template</li> <li>• DAI Policy 410.00.01 PREA Compliance Review of Contracted Facilities, dated 01/24/2022</li> <li>• PREA Contract Compliance Review Reports</li> <li>• PREA final audit reports for the contract agencies</li> <li>• Public websites for the agencies/facilities listed in the chart</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Agency Contract Administrator</li> </ul> <p>Findings:</p> <p>115.12(a):</p> <ul style="list-style-type: none"> <li>• The TCC PAQ reported that the agency has contracts for confinement with 14</li> </ul> |

agencies.

- ED 72 states:
  - “All new or renewed contracts for the confinement of the DOC PIOC’s not within a DOC-operated facility shall include a provision regarding the contractor’s obligation to adopt and comply with PREA standards. In addition, any new contract or contract renewal shall provide for contract monitoring to ensure that the contractor is complying with PREA standards.”
- The 14 active contracts were reviewed and verified they all contain a section regarding PREA compliance. It states:
  - “The Sheriff agrees to comply with the Federal Prison Rape Elimination Act of 2003, and any subsequent standards imposed by the United States Attorney General. If the Sheriff is not in full compliance with PREA the Sheriff shall take all feasible and necessary steps to work toward full compliance.”
  - In addition, the contract agencies’ websites were reviewed and verified 12I contain information regarding PREA. Audit reports were reviewed for 12 contract jails and they were all determined to be compliant. The remaining 2 were recently signed contracts and the agencies have not yet had an audit completed or added PREA information to their websites but the PREA Director verified they will in the next audit cycle.

115.12(b):

- The TCC PAQ reported that compliance visits are conducted annually except during the years in which the contracted facility is subject to a DOJ PREA audit.
- See chart below for compliance reviews completed on contract facilities.
- DAI policy 410.00.01 states that the DAI shall review its contracted facilities for the confinement of inmates to ensure compliance with PREA and provides procedures for monitoring.
- Interview with the agency contract administrator:
  - She stated that they currently have contracts for confinement with 12 jails that require them to undergo a national audit every three years and on non-national audit years she does a review. There is a review form she uses and she goes onsite and conducts interviews as well. She also stated that all of the 12 facilities had undergone a national audit but that they were waiting on final audit reports for a few and that they will be done within the three-year cycle.

The auditor finds the agency/facility in full compliance with PREA Provision §115.12 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.

|  | Contract Facility                               | Contract Start Date | PREA compliance in Contract? | Audit      | Last Compliance Review | Website have PREA information? |
|--|-------------------------------------------------|---------------------|------------------------------|------------|------------------------|--------------------------------|
|  | Fond du Lac County                              | 10/01/2016          | Yes                          | 10/14/2022 | 02/27/2024             | Yes                            |
|  | Grant County Jail                               | 06/25/2024          | Yes                          | Pending    | Pending                | Pending                        |
|  | Jefferson County Jail                           | 02/28/2019          | Yes                          | 04/25/2023 | 08/07/2024             | Yes                            |
|  | Juneau County Jail                              | 02/12/2019          | Yes                          | 03/07/2023 | 02/13/2024             | Yes                            |
|  | Lincoln County Jail                             | 09/06/2024          | Yes                          | Pending    | Pending                | Pending                        |
|  | Marquette County Jail                           | 07/14/2021          | Yes                          | 05/09/2022 | 04/17/2024             | Yes                            |
|  | Milwaukee County Community Reintegration Center | 08/27/2017          | Yes                          | 01/12/2023 | 08/08/2024             | Yes                            |
|  | Oneida County Jail                              | 05/04/2016          | Yes                          | 05/05/2023 | 05/30/2024             | Yes                            |
|  | Ozaukee County Jail                             | 02/28/2019          | Yes                          | 12/01/2022 | 03/22/2024             | Yes                            |
|  | Racine County Jail                              | 02/12/2019          | Yes                          | 03/19/2023 | 06/24/2024             | Yes                            |
|  | Rock County Jail                                | 11/15/2018          | Yes                          | 03/01/2021 | 08/14/2024             | Yes                            |
|  | Sauk County Jail                                | 02/12/2019          | Yes                          | 03/18/2022 | 01/26/2023             | Yes                            |
|  | Vernon County Jail                              | 03/29/2019          | Yes                          | 11/08/2022 | 07/30/2024             | Yes                            |
|  | Vilas County                                    | 02/12/              | Yes                          | 06/19/     | 05/30/2024             | Yes                            |

|  |      |      |  |      |  |  |
|--|------|------|--|------|--|--|
|  | Jail | 2019 |  | 2022 |  |  |
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| <b>115.13</b> | <b>Supervision and monitoring</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|               | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|               | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|               | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• Thompson Correctional Center (TCC) Staffing dated 02/02/2024</li> <li>• WIDOC PREA Coordinator PREA Staffing Plan Annual Review log</li> <li>• DAI Policy 410.40.01 PREA Unannounced Supervisory Rounds, dated 01/24/22</li> <li>• DAI Policy 410.50.05 PREA Staffing plan, dated 05/29/24</li> <li>• Photos of shift logs</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• TCC Warden</li> <li>• PREA Compliance Manager</li> <li>• PREA Director</li> <li>• Intermediate or higher-level staff</li> </ul> <p>Site review Observations</p> <p>Findings:</p> <p>115.13(a):</p> <ul style="list-style-type: none"> <li>• The TCC PAQ reports that since the last PREA audit, the average daily number of inmates is 123.. They further report that the average daily number of inmates on which the staffing plan is predicated is 123.</li> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “Each facility shall develop, document and make its best efforts to comply with a staffing plan that provides for adequate levels of employees and, where applicable, video monitoring, to protect PLOCs against sexual abuse. In calculating adequate staffing levels and determining the need for video monitoring, facilities shall consider: <ul style="list-style-type: none"> <li>■ 1. Generally accepted correctional practices;</li> <li>■ 2. Any judicial, federal investigative and internal/external oversight agency findings of inadequacy;</li> </ul> </li> </ul> </li> </ul> |

- 3. The facility’s physical plant including blind-spots or areas where staff or PIOC’s may be isolated;
  - 4. The composition of the PIOC population;
  - 5. The number and placement of security staff;
  - 6. Institution programs occurring on a particular shift;
  - 7. The prevalence of substantiated and unsubstantiated incidents of sexual abuse; and
  - 8. Applicable State or local laws, regulations, standards and other relevant factors.”
- DAI policy 410.50.05 summarizes the requirement of each adult institution to develop, document and make its best efforts to comply on a regular basis with a staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect PIOC from sexual abuse.
- The TCC staffing plan:
  - The February 2024 TCC staffing plan was reviewed and this auditor verified they contains all requirements of the standard including Generally accepted detention and correctional practices; Any judicial findings of inadequacy; Any findings of inadequacy from Federal investigative agencies; Any findings of inadequacy from internal and external oversight bodies; All components of the facility’s physical plant (including “blind spots” or areas where staff or inmates may be isolated); The composition of the inmate population; The number and placement of supervisory staff; Institution programs occurring on a particular shift; Any applicable State or local laws, regulations, or standards; The prevalence of substantiated and unsubstantiated incidents of sexual abuse; and Any other relevant factors.
- Interview with the Warden/PCM:
  - In the interview with the Warden/PCM he stated that TCC has a PREA staffing plan and that it contains all required elements.
- Site review observations:
  - During the site review the audit team was able to observe all areas of the facility. Correctional staff were seen in every living unit as well as throughout the other areas of the facility. The audit team reviewed the cameras and no issues were noted. In the living units no areas of concerns or blind spots were found.

115.13(b):

- ED 72 states:
  - “In circumstances where the staffing plan is not complied with, the facility shall document in written form and justify all deviations from the plan.”
- The TCC PAQ reports that there were no deviations from the staffing plan during the audit period.
- Interview with the Warden:
  - In the interview with the Warden he stated if they deviate from the

staffing plan, due to something like a hospital trip, it would be documented but this rarely happens and they can hold staff over.

115.13(c):

- WIDOC PREA Coordinator PREA Staffing Plan Annual Review log shows that TCC had their last annual review signed on February 02, 2024.
- DAI policy 410.50.05 states:
  - “Whenever necessary, but not less than once per year each facility shall assess, determine, and document whether adjustments are needed to :1. The facility’s staffing plan; 2. The facility’s deployment of video monitoring systems and other monitoring technologies; and 3. The resources the facility has available to ensure adherence to the staffing plan.” It further states that the staffing plan shall be reviewed by the PREA Director.
- The TCC staffing plan shows it was signed as reviewed by the PREA Director in 2024.
- Interview with the PREA Director:
  - She stated that yes, she reviews the staffing plan for each facility annually.

115.13(d):

- ED 72 states:
  - “Supervisory staff shall conduct and document unannounced rounds, covering all shifts to identify and deter employee sexual abuse and sexual harassment. The DOC employees are prohibited from alerting other employees that these supervisory rounds are occurring unless such announcement is related to the legitimate operational functions of the facility.”
- DAI policy 410.40.01 states:
  - Rounds shall be conducted at random times across all shifts and days of the week. Staff are prohibited from alerting other staff members that supervisory rounds are occurring, unless such announcement is essential to avoid creating additional danger during exigent circumstances.
- Photos of shift logs were reviewed which showed rounds by supervisors at various times and days of the week.
- Interviews with intermediate or higher staff:
  - In an interview with an intermediate or higher staff they stated they conduct unannounced rounds on a regular basis.

The auditor finds the agency/facility in full compliance with PREA Provision §115.13 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.

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| 115.14 | Youthful inmates                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|        | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|        | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|        | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• DAI Policy 302.00.20 Placement of Juveniles in Adult Correctional Sites, dated 02/22/2021</li> <li>• Agency movement memo Re: PREA-Youthful Inmates, dated 12/19/16</li> </ul> <p>Site Review Observations</p> <p>Findings:</p> <p>115.14(a, b, c):</p> <ul style="list-style-type: none"> <li>• The TCC PAQ reported that they do not house youthful inmates.</li> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “Youthful inmates shall not be placed in a housing unit in which they have sight, sound or physical contact with any adult PIOC through use of a shared dayroom or other common space, shower area or sleeping quarters. In areas outside of housing units, DOC shall either: maintain sight and sound separation between youthful inmates and adult PIOC’s or provide direct staff supervision when youthful inmates and adult PIOC’s have sight, sound or physical contact. Adult facilities shall make best efforts to avoid isolating youthful inmates to comply with this provision. Absent exigent circumstances, adult facilities shall not deny youthful inmates daily large muscle exercise and any legally required special education services to comply with this provision. Youthful inmates shall also have access to other programs and work opportunities to the extent possible. Such exigent circumstances leading to the denial of large-muscle exercise, legally required education services and/or other programming shall be documented.”</li> </ul> </li> <li>• DAI Policy 302.00.20 states: <ul style="list-style-type: none"> <li>◦ “The Division of Adult Institutions shall not house juveniles in adult correctional facilities.” It further states that “Adjudicated juveniles who are less than 18 years of age shall not be admitted to a DAI facility or the WRC (Wisconsin Resource Center).”</li> </ul> </li> <li>• The memo regarding youthful inmates states that all of the youthful inmates previously housed at the facility were moved to a DJC facility and that no youthful inmates will be housed at any of the above stated facilities, or at any DAI facility, from this point forward.</li> </ul> |

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|  | <ul style="list-style-type: none"> <li>• Site review observations: <ul style="list-style-type: none"> <li>◦ During the site review no inmates that appeared to be under 18 were observed. Staff were informally asked about youthful inmates during the site review and all stated that they do not house them at TCC. The inmate roster for the first day of the audit did not show anyone under the age of 18.</li> </ul> </li> <li>• No interviews with youthful inmates or staff who supervise youthful inmates were able to be completed as TCC does not hold youthful inmates.</li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.14 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| 115.15 | Limits to cross-gender viewing and searches                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
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|        | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|        | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|        | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• DAI Policy 410.40.02 PREA Opposite Gender Viewing and Announcing, dated 03/20/23</li> <li>• DAI Policy 500.70.24 Clinical Observation, dated 07/13/21</li> <li>• DAI Policy 306.16.01 Use of Body Cameras, dated 03/14/22</li> <li>• DAI Policy 306.17.02 Searches of Inmates, dated 08/31/23</li> <li>• DAI Policy 500.70.27 Transgender Management and Care, dated 05/29/24</li> <li>• DOC-3802 Pat Search Preference Request form</li> <li>• DOC-544 Lesson Plan for Personal Searches: Pat Searches and Strip Searches, dated 10/22</li> <li>• WCCS Cross Gender Announcement Memo, dated 02/09/22</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Random Staff</li> <li>• Random Inmates</li> </ul> <p>Site Review Observations</p> <p>Findings:</p> <p>115.15(a):</p> |

- The TCC PAQ reported that they do not conduct cross-gender strip or visual body cavity searches of inmates and that there have been no incidents of cross-gender strip or cross-gender visual body cavity searches of inmates in the past 12 months.
- ED 72 states:
  - “Adult facilities shall not permit cross-gender strip or body cavity searches of PIOC except in exigent circumstances or when performed by medical practitioners.”
- DAI policy 410.40.02 states:
  - “All viewing and searches shall be performed in accordance with DAI policies 306.17.02, 500.00.05 and 500.70.24.”
- DAI policy 500.70.24 states:
  - “Cross gender constant observation may be conducted when privacy accommodations are provided for toileting, showering and changing clothing. Exceptions are allowed in exigent circumstances.”
- DAI policy 306.16.01 states:
  - “Staff shall activate their body camera when: 5. Conducting a strip search or participating in a staff assisted strip search.
    - a. Strip searches shall be conducted as outlined in DAI Policy 306.17.02.
    - b. Staff shall activate the BWC during a strip search or staff assisted strip search.”
  - it further states:
    - “A DOC-2466 shall be completed when a staff member of the opposite gender of the inmate reviews BWC footage which reveals the breasts, buttocks or genitalia. Such viewing shall be related to an exigent circumstance or active investigation.”
- DAI policy 306.17.02 states:
  - “Staff directly observing the PIOC during a strip search shall be required to be the same gender as the PIOC. A second staff (of any gender) shall only observe the staff performing the strip search. All body cavity searches and certain body content searches shall be conducted by off-site health professionals. Coordination is required between security and off-site staff regarding these searches.”
- No non-medical staff involved in cross-gender strip or visual searches were able to be interviewed as TCC does not conduct cross-gender strip or visual searches.
- Site review observations:
  - During the site review the areas where strip searches are conducted were viewed by the audit team and it was verified that they provide enough privacy that cross-gender viewing is obstructed.

115.15(b):

- DAI policy 306.17.02 states:
  - “Male PIOC may be pat searched by male or female security staff

members and female inmates may only be pat searched by female security staff.”

This provision does not apply to this facility as they do not house female inmates.

115.15(c):

- DAI policy 306.17.02 states:
  - “Inmate searches shall be documented utilizing DOC-1523. Documentation of exigent circumstances where cross-gender pat-down searches of female inmates by male staff are conducted shall be maintained. Documentation of exigent circumstances where cross-gender strip, body cavity or body contents searches are performed shall be maintained.”
- No cross-gender strip searches had been conducted in the past 12 months so no logs or other documentation were able to be reviewed.

115.15(d):

- ED 72 states:
  - “In order to enable PIOC’s to shower, perform bodily functions and change clothing without nonmedical staff members of the opposite gender viewing their breasts, buttocks or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks, staff members of the opposite gender shall announce their presence when entering a PIOC housing unit. If opposite gender status quo changes during that shift, then another announcement is required. Facilities shall not restrict access to regularly available programming or other out-of-cell or housing unit opportunities in order to comply with this provision.”
- DAI policy 410.40.02 states:
  - “Each facility shall develop and be responsible for implementing local procedures to ensure that a consistent announcement is made each time a staff member, contractor, or volunteer of the opposite gender enters (or exits and reenter a housing unit where inmates may be able to shower, change clothing or perform bodily functions. At a minimum, the announcement must be made when an opposite-gender staff member enters the housing unit and there are no other opposite-gender staff members present on the housing unit. When an opposite-gender staff member is entering a housing unit and it is unknown to him/her whether the opposite gender announcement has been made on his or her behalf, the entering staff member shall be responsible for making an announcement.”
  - The policy lists three available options of generally accepted practices for executing such announcements.
    - 1. A designated tone, sign, and/or light that is used only for the

purpose of announcing a member of the opposite gender entering housing units.

- 2. An announcement made by the staff working the control desk via the intercom or alternate system.
- 3. The opposite gender staff person makes an audible announcement of their presence
  - It further states that facilities housing deaf or blind inmates shall develop an alternative or supplementary notification method and that upon admission, inmates shall receive facility specific information that explains how opposite gender staff announce their presence.
- WCCS Cross Gender Announcement Memo is a reminder to all WCCS staff regarding the cross-gender announcements including that staff shall make the opposite gender announcement in addition to activating the tone and blue light.
- Site review observations:
  - During the site review the audit team saw both male and female staff in the facility. A doorbell type sound was played when female staff entered units that did not currently have female staff working. There was also a blue light on the ceiling in the living units that is turned on whenever female staff are present so that PIOC's can easily look and see if female staff may be present.
  - The showers in the living units had a wall that was glass brick. The bottom portion was shaded but the top portion was not and there was a direct view into the showers from the hallway which did not provide enough privacy for PIOC to shower without opposite gender staff being able to view them.
    - Corrective action: TCC added shower curtains to restrict the view into the showers and provided the auditor photos to verify they had been installed.
  - Cameras were viewed by the audit team and verified they do not show areas where inmates may be in a state of undress.
- Inmate interview questionnaire:
  - A total of 21 inmates were asked if female staff announce when they enter the living units and all but one stated that yes, female staff are consistently announced either using the doorbell or they see the blue light is on. All 21 also stated that they have enough privacy to use the toilet, shower and change clothing.
- Random staff interviews:
  - A total of 12 random staff from all shifts and various posts throughout the facility were interviewed and all stated that female staff are announced using the doorbell and/or blue light.

115.15(e):

- ED 72 states:
  - "Facilities may not search or physically examine a transgender or

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|  | <p>intersex PIOC for the sole purpose of determining the PIOC's genital status. If the PIOC's genital status is unknown, it may be determined during conversations with the PIOC, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner."</p> <ul style="list-style-type: none"> <li>• DAI policy 306.17.02 states: <ul style="list-style-type: none"> <li>◦ "Staff shall not physically examine or search a transgender or intersex inmate for the sole purpose of determining the inmate's genital status. If unknown, an inmate's genital status may be determined through the following methods: 1. Conversation with the inmate. 2. Review of medical records. 3. As part of a broader medical examination conducted in private by an ACP."</li> </ul> </li> <li>• DAI policy 500.70.27 includes a process for transgender and intersex PIOC to request the gender of the staff member who conducts pat searches.</li> <li>• Random staff interviews: <ul style="list-style-type: none"> <li>◦ All 12 random staff interviewed were aware that the agency's policies prohibit staff from searching or physically examining a transgender or intersex inmate for the purpose of determining their genital status.</li> </ul> </li> <li>• TCC has no PIOC identified as transgender or intersex so no targeted interviews for this category were able to be conducted.</li> </ul> <p>115.15(f):</p> <ul style="list-style-type: none"> <li>• TCC PAQ states that 100 percent of security staff have received training on conducting cross-gender pat-down searches and searches of transgender and intersex inmates in a professional and respectful manner, consistent with security needs.</li> <li>• DOC-544 lesson plan covers pat searches and strip searches and includes why and how to conduct searches and that they should be done in a professional, respectful and least intrusive manner as possible.</li> <li>• Random staff interviews: <ul style="list-style-type: none"> <li>◦ All random security staff interviewed verified they have received training on how to conduct cross-gender pat searches and searches of transgender and intersex inmates.</li> </ul> </li> </ul> <p>After corrective action the auditor finds the agency/facility in full compliance with PREA Provision §115.15 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| <b>115.16</b> | <b>Inmates with disabilities and inmates who are limited English proficient</b> |
|               | <b>Auditor Overall Determination:</b> Meets Standard                            |
|               | <b>Auditor Discussion</b>                                                       |

Documentation reviewed:

- TCC PAQ
- Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22.
- WIDOC Executive Directive 71: Language Assistance Policy and Implementation for Addressing Need of Offenders with Limited English Proficiency
- WIDOC Sexual Abuse and Sexual Harassment Prevention and Intervention, A Resource for Inmates handbook (large print, Spanish)
- Agency Handbook Addendum (Spanish and Large print)
- DAI Policy 300.00.35 American with Disabilities Act, dated 09/14/20
- DAI Policy 300.00.61 Language Assistance for Limited English Proficiency (LEP) Inmates, dated 05/09/22
- Agency Interpretation, Translation Services Contract
- Agency Posted Language Policy Notice (English and Spanish)

Interviews Conducted:

- Agency Head
- Inmates (with disabilities or who are limited English proficient)
- Random Staff

Site Review Observations

Findings:

115.16(a):

- ED 72 states:
  - “PIOCs with disabilities or who have limited English proficiency shall have an equal opportunity to participate in or benefit from all aspects of the DOC’s efforts to prevent, detect and respond to sexual abuse and sexual harassment. This includes providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary, in addition to the provision of PIOC education in formats accessible to all. Written materials shall be provided in formats or methods that ensure effective communication with PIOCs with disabilities.”
- The WIDOC Sexual Abuse and Sexual Harassment Prevention and Intervention, A Resource for Inmates handbook is available in large print and Spanish.
- A list of agencies that are available to provide in-person ASL Interpretation, in-person Foreign Language Interpretation, Video Remote ASL interpretation, Video Remote Foreign Language Interpretation, Telephone Foreign Language

Interpretation and Written Foreign Language Translation was provided.

- DAI policy 300.00.35 outlines how each facility shall establish a process by which inmates and members of the public with qualified disabilities may request accommodations for access to programs, services and activities.
- Site review observations:
  - During the site review the audit team observed PREA postings in English and Spanish that were easily read and understood.
- Interview with the Agency Head (designee):
  - She stated that the agency has established procedures to provide inmates with disabilities and who are limited English proficient equal opportunity to participate in all aspects of the effect to prevent, detect, and respond to sexual abuse and sexual harassment. She stated that the PREA orientation video is available in English, Spanish and Hmong as well as it is closed captioned for the hearing impaired. It can also be provided in braille or audio format and they have a disability coordinator who oversees these services.
- Interviews with inmates who are disabled or limited English proficient:
  - A total of five PIOC were interviewed using the disabled inmates protocol. All five were deaf or hard of hearing. All five stated they did receive information about sexual abuse and sexual harassment in a manner they were able to understand.

115.16(b):

- Posters (including the PREA poster and language policy notice), inmate handbooks and addendums were provided to show they are available in Spanish.
- DAI policy 300.00.61 states:
  - “The Division of Adult Institutions shall comply with Federal law under Title VI which required all recipients of federal funds to provide meaningful access to documents, services and programs for individuals with Limited English Proficiency.”
- Interviews with inmates who are disabled or limited English proficient
  - A total of five PIOC were interviewed using the disabled and limited English proficient inmates protocol. All PIOC interviews stated they did receive information about sexual abuse and sexual harassment in a manner they were able to understand.

115.16(c):

- ED 72 states:
  - “The facility shall not rely on PIOC interpreters, PIOC readers or other types of PIOC assistants except in exigent circumstances where an extended delay in obtaining an effective interpreter could compromise the PIOC’s safety, the performance of first responder duties or the investigation of the PIOC’s allegations. The exigent circumstances in

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|  | <p>which PIOC assistants are used shall be documented.”</p> <ul style="list-style-type: none"> <li>• ED 71 outlines how to determine the appropriate language assistance services in both oral and written formats.</li> <li>• Site review observations: <ul style="list-style-type: none"> <li>◦ Staff were informally interviewed during the site review and stated they were aware they could not use other inmates to interpret for anything PREA related.</li> </ul> </li> <li>• Interviews of random staff: <ul style="list-style-type: none"> <li>◦ Twelve random staff were interviewed and asked when an inmate would be allowed to interpret for another inmate. The majority of the staff interviewed (eleven) stated they would use interpretation services, the other stated they would contact a supervisor for guidance. All were aware not to use an inmate to interpret for any PREA related issue and none had seen an inmate used for this purpose.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.16 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| 115.17 | Hiring and promotion decisions                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
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|        | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|        | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|        | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22.</li> <li>• WIDOC Executive Directive 42: Police Contact, Arrest, and Conviction Policy for Current Employees, dated 08/15/16</li> <li>• DAI Policy 309.06.03 Non-DOC Personnel, Business &amp; Professional Visitors, dated 08/20/24</li> <li>• DAI Policy 300.00.92 Background Checks, dated 03/25/24</li> <li>• Agency Human Resources Procedures, Background Check Procedure, dated 11/26/18</li> <li>• Agency Human Resources Procedures, Fingerprint Procedures, dated 11/26/18</li> <li>• Agency Human Resources Procedures policy 200.30.507, Reference Checks, dated 02/29/2024</li> <li>• Background check examples, (5 staff examples)</li> <li>• DOC-2430 Contractor Background Check with Attached Education and Acknowledgement</li> </ul> |

- DOC-1098D Background Check Authorization form, dated 02/2021
- DOC-1098R Candidate Reference Check, dated 10/2020
- DOC-2674 DAI Volunteer Application

Interviews Conducted:

- Administrative (Human Resources) Staff

Site Review Observations

Findings:

115.17(a-b):

- ED 72 states:
  - “The DOC shall not hire or promote anyone who has engaged in sexual abuse in a confinement facility; has been convicted of engaging or attempting to engage in nonconsensual sexual activity in the community, or has been civilly or administratively adjudicated to have engaged in activity described above. The DOC shall consider any incidents of sexual harassment when determining whether to hire, promote or enlist the services of any staff member.”
- ED 42 states:
  - “DOC will not fire or promote an applicant for a position which may have contact with inmates, offender or juveniles based on the following PREA Standards: 1. Engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution or place of detention. 2. Convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse. 3. Civilly or administratively adjudicated to have engaged in the activity described in (1) or (2) above.”
- Agency Human Resources Procedures, Background Check Procedure outlines the process for each applicant’s background check and also states the same information as ED 42 regarding not hiring or promoting an applicant who has 1. Engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution or place of detention. 2. Convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse. 3. Civilly or administratively adjudicated to have engaged in the activity described in (1) or (2) above.
- DOC-2430 Contractor Background Check with Attached Education and Acknowledgement includes a facility security clearance background check form that is to be filled out by the individual, a pamphlet titled “Sexual abuse

and sexual harassment in confinement: A guide for volunteers and contractors” and a PREA training acknowledgement that they have to sign.

- Interview with human resources staff:
  - In the interview with human resources staff she stated that yes, the facility does consider prior incidents of sexual harassment when determining whether to hire or promote anyone, or to enlist the services on any contractor who may have contact with inmates.

115.17(c-d):

- The TCC PAQ reports that 3 criminal background checks have been performed in the past 12 months for persons hired who may have contact with inmates. It further reported that in the past 12 months the number of contracts where criminal background checks were conducted on all staff who might have contact with inmates were 2 out of 2 (100%).
- ED 72 states:
  - “Prior to hiring new staff members and enlisting the services of any employee who may have contact with offenders, the DOC shall perform a criminal background records check” and “The DOC shall make its best effort to obtain (and, when requested, provide) reference information from all prior institutional employers on substantiated allegations of sexual abuse or sexual harassment or any resignation during a pending investigation of a sexual abuse allegation.”
- Agency Human Resources Procedures, Background Check Procedure outlines procedures for HR staff to follow when completing background and reference checks.
- DAI policy 309.06.03 requires the agency to conduct criminal background checks for all volunteers as well as a new background check is required if the prior review was more than one year before.
- DAI Policy 300.00.92 states:
  - “A. Warden/designee shall assign one or more facility staff members to conduct background checks and to approve/deny facility entry applications. B. In accordance with DAI 309.06.03, background checks for Non-DOC Personnel, Business & Professional Visitors applying for facility entry privileges at multiple DAI facilities may be carried out by the DAI Security Chief/designee (e.g. other state or federal agencies, Remington Center, statewide vendors/contractors).”
- Sample background checks for contractors were received and verified that the necessary background check and PREA questions were completed.
- Interview with human resources staff:
  - HR staff stated that the facility performs criminal record checks for all newly hired employees as well as those being considered for promotions or any change in classification. Background checks are

also performed for all contractors; some are done through the warden's office or medical as well.

115.17(e):

- ED 72 states:
  - "The DOC shall conduct a criminal background records check every five years for current employees."  
TCC fingerprint information was reviewed for 5 random employees that showed the 5 year checks being completed.
- Interview with human resources staff:
  - HR staff stated that portal 100 and CCAP are used and that the fingerprint system is ran through oracle and people soft. For the 5 year checks she said it is ran every pay period and they then contact any employees who needs to be finger printed and that they are finger printed once every 5 years.

115.17(f-g):

- ED 72 states:
  - "All applicants shall be required to disclose instances of sexual misconduct as described above. Applicants who fail to disclose such information shall be ineligible for hire for the current vacancy and, if applicable, may be grounds for termination."
- DOC 1098D has a statement which the applicant has to sign that states:
  - "I affirm that all the information on this document is true and complete to the best of my knowledge and I understand that any falsification or omission of information will disqualify me for this position."
- Interview with human resources staff:
  - HR staff stated that per ED 42 the facility does ask all applicant and employees about previous misconduct in written applications for hiring or promotions and during the annual review they go through an acknowledgement. She further stated that ED 42 requires employees to disclose any such misconduct.

115.17(h):

- Interview with human resources staff:
  - HR staff stated that they are mandated by law to do file checks on all new employees and that when a former employee applies for work at another institution that upon request from that institution they will provide information on any substantiated allegations of sexual abuse or sexual harassment.

The auditor finds the agency/facility in full compliance with PREA Provision §115.17 based upon analysis of all available evidence including the documentation provided

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|  | and interviews conducted. |
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| <b>115.18</b> | <b>Upgrades to facilities and technologies</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|               | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|               | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• DOC-2635 Maintenance Project Request for Approval Form</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Agency Head</li> <li>• Warden</li> </ul> <p>Findings:</p> <ul style="list-style-type: none"> <li>• 115.18(a): <ul style="list-style-type: none"> <li>◦ The TCC PAQ indicates that the agency/facility has not acquired a new facility or made a substantial expansion or modification since the last PREA audit.</li> </ul> </li> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “When designing or acquiring any new facility and in planning any substantial expansion or modification of existing facilities, the DOC shall consider the effect of the design, acquisition, expansion or modification upon the DOC’s ability to protect PIOC’s from sexual abuse.”</li> </ul> </li> <li>• DOC-2635 Maintenance Project Request for Approval Form (blank) requires that the facility describe how the proposed project will enhance the facility’s ability to protect inmates from sexual abuse.</li> <li>• Interview with Agency Head (designee): <ul style="list-style-type: none"> <li>◦ She stated that facility and agency leadership work together when designing, acquiring or planning any substantial modifications to facilities. They will review the staffing plan, any reported incidents, compare to similar facilities and evaluate sound correctional practices.</li> </ul> </li> <li>• Interview with the Warden: <ul style="list-style-type: none"> <li>◦ The Warden confirmed that TCC has not had any substantial expansions or modifications since the last PREA audit.</li> </ul> </li> </ul> <p>115.18(b):</p> |

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|  | <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “When installing or updating a video monitoring system, electronic surveillance system, or other monitoring technology, the DOC shall consider how such technology may enhance the DOC’s ability to protect PIOC’s from sexual abuse.”</li> </ul> </li> <li>• Interview with the Agency Head (designee): <ul style="list-style-type: none"> <li>◦ She stated that they continuously improve their monitoring technology and look at site lines and determine which areas need cameras to monitor staff and inmate movement, also previous incidents and investigations would be taken into consideration.</li> </ul> </li> <li>• Interview with the Warden: <ul style="list-style-type: none"> <li>◦ He stated that when installing or updating monitoring technology, such as cameras, he would review coverage areas to ensure better viewing and that more areas are covered.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.18 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| 115.21 | Evidence protocol and forensic medical examinations                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
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|        | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|        | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|        | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• DAI Policy 306.00.14 Protection, Gathering and Preservation of Evidence, dated 12/01/23</li> <li>• DAI Policy 500.30.19 Sexual Abuse-Health Services Unit Procedure in the Event of Sexual Abuse, dated 11/21/23</li> <li>• DAI Policy 410.50.04 PREA Support Services and Retaliation Monitoring, dated 10/18/21</li> <li>• Excerpt from the Agency Healthcare Manual Reference</li> <li>• Memorandum of Understanding with RCC: Sexual Violence Resource Center, dated 11/2/23</li> <li>• Support Services Workshop - WIDOC Victim Services Coordinators Agenda</li> <li>• Agency Victim Accompaniment Guide</li> <li>• Agency Victim Services Coordinator Sexual Abuse and Sexual Harassment Reference Guide</li> <li>• DOC-2767 Agency Victim Services Coordinator Response Checklist</li> </ul> |

- Agency Law Enforcement Compliance Request Email, dated 03/18/2019

Interviews Conducted:

- Random Staff
- SAFE/SANE Staff
- PREA Compliance Manager

Findings:

115.21(a):

- The TCC PAQ reported:
  - The agency/facility is responsible for conducting administrative sexual abuse investigation but they are not responsible for conducting criminal sexual abuse investigations and that they are completed by the Dane County Sheriffs Office.
- DAI Policy 306.00.14:
  - Agency policy outlines appropriate staff requirements to preserve and protect evidence in order to maximize the potential for obtaining usable physical evidence for investigations and includes sufficient technical detail to aid staff in obtaining usable physical evidence.
- DAI Policy 500.30.19:
  - Contains information for the health services unit and how they should respond to reports of sexual abuse, including that "HSU staff performing the assessment shall: Understand principles to preserve evidence during care and treatment."
- Interviews with random staff:
  - Twelve random staff were asked about the agency's protocol for obtaining usable physical evidence. They were all aware that the facility has trained investigators and several mentioned they could be taken to an outside facility for a SANE examination and that outside law enforcement could be involved.

115.21(b):

- The TCC PAQ reported:
  - TCC does not hold youthful inmates. It further indicates that the protocol was based on the National Commission on Correctional Health Care-Response to Sexual Abuse.
- The excerpt from the agency healthcare manual states:
  - WIDOC clinicians do not conduct SANE examinations and as such, DOC does not implement a forensic medical examination protocol and rather conforms to healthcare standards in the reference manual.

115.21(c):

- The TCC PAQ reported:
  - All inmates who experience sexual abuse are offered access to forensic medical examination at an outside facility, Unity Point Health/Meriter Hospital. The PAQ provided by TCC reports there were no forensic medical exams conducted in the past 12 months.
- DAI Policy 500.30.19 states:
  - The medical care for sexual abuse victims may include transfer to an offsite facility for a SANE assessment, when determined evidentiarily or medically appropriate.
- ED 72 states:
  - “all victims shall be offered access to forensic medical examinations at an offsite medical facility, without financial cost, where evidentiarily or medically appropriate.”
- The agency inmate handbook states:
  - One of the rights for inmates is to receive free medical and mental health care following an incident of sexual abuse or sexual harassment.
- Interview with SANE Coordinator:
  - The SANE Coordinator for Meriter Hospital was interviewed on the phone by the audit team and she stated that her hospital normally has a SANE nurse on-call to respond to the hospital when needed.

115.21(d):

- The TCC PAQ states:
  - The facility makes a victim advocate from a rape crisis center available from RCC and if not available, a qualified staff member may provide victim advocate services.
- The Memorandum of Understanding with RCC: Sexual Violence Resource Center shows:

RCC: Sexual Violence Resource Center shows will provide an advocate to accompany and support victims of sexual abuse through the forensic medical examination and investigatory interview processes, as requested by the victim via DOC, if available.
- Support Services Workshop - WIDOC Victim Services Coordinators Agenda, Agency Victim Accompaniment Guide and the Agency Victim Services Coordinator Sexual Abuse and Sexual Harassment Reference Guide show:
  - Agency training to ensure a qualified staff member is appropriately trained and available in the absence of a rape crisis center victim advocate.
- Interview with the PREA Compliance Manager:
  - The PCM stated that they have an agreement with an outside victim advocacy center and that the social workers at TCC can contact the center when needed.

- No PIOC at TCC reported sexual abuse in the past 12 months so no targeted interviews for this category were able to be conducted.

115.21(e):

- ED 72 states:
  - “The facility shall attempt to make available to the victim an advocate from a local sexual assault service provider to accompany and support the victim through the forensic medical examination process and investigatory interviews. As requested by the victim, such a person shall also provide emotional support, crisis intervention, information, and referrals. If a sexual assault service provider is not available to provide victim advocate services, the DOC shall make available a member who has been screened for appropriateness to serve in this role and has received education concerning sexual assault and forensic examination issues. Facilities shall document efforts to secure services from a local sexual assault service provider.”
- DAI Policy 410.50.04 states:
  - For every alleged victim of sexual abuse, the Victim Services Coordinator (VSC) is tasked with several responsibilities including victim accompaniment when an advocate from a sexual assault service provider (SASP) is unavailable.
- DOC-2767 Agency Victim Services Coordinator Response Checklist:
  - Provides a checklist of tasks for the VSC if a SASP is not available including accompanying and providing support for the alleged victim through the forensic medical examination and investigatory interviews.
- Interview with the PREA Compliance Manager:
  - An MOU is created by the central office with the agency.

115.21(f):

- Agency Law Enforcement Compliance Request Email shows:
  - The Dane County Sheriffs Office was contacted regarding a compliance request from the WIDOC Secretary. No response was received.

115.21(g): Auditor is not required to audit this provision.

The auditor finds the agency/facility in full compliance with PREA Provision §115.21 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.

| 115.22 | Policies to ensure referrals of allegations for investigations                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
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|        | <p data-bbox="256 185 959 219"><b>Auditor Overall Determination:</b> Meets Standard</p> <p data-bbox="256 264 544 297"><b>Auditor Discussion</b></p> <p data-bbox="256 342 624 376">Documentation reviewed:</p> <ul data-bbox="331 443 1465 813" style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• DAI Policy 303.00.05 Law Enforcement Referrals, dated 07/25/22</li> <li>• DAI Policy 306.00.15 Inmate Investigations, dated 09/07/23</li> <li>• Agency Human Resources Procedures 200.30.304, Employee Disciplinary Investigations, dated 10/28/20</li> <li>• Referral for criminal investigations template</li> <li>• Screenshot of agency website re: Law Enforcement Referrals</li> </ul> <p data-bbox="256 857 576 891">Interviews Conducted:</p> <ul data-bbox="331 958 624 1037" style="list-style-type: none"> <li>• Investigative Staff</li> <li>• Agency head</li> </ul> <p data-bbox="256 1081 384 1115">Findings:</p> <p data-bbox="256 1149 408 1182">115.22(a):</p> <ul data-bbox="331 1249 1477 2078" style="list-style-type: none"> <li>• The TCC PAQ reported: <ul style="list-style-type: none"> <li>◦ The agency ensures that an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassment. They further reported that in the past 12 months no allegations of sexual abuse or sexual harassment were received at TCC.</li> </ul> </li> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “The DOC shall ensure that an investigation is completed for all allegations of sexual abuse and sexual harassment, including those received from third-parties and anonymous sources.”</li> </ul> </li> <li>• Interview with the Agency Head (designee): <ul style="list-style-type: none"> <li>◦ She stated that the agency has ED 72 in place which outlines the investigation process and that they ensure that an administrative and/or criminal investigation is completed for all allegation of sexual abuse or sexual harassment. She stated that administrative investigations are completed internally by trained PREA investigative staff and that criminal investigations are referred to local law enforcement in that jurisdiction, either the Sheriff’s Office or police department. The referral to law enforcement would be made promptly after any health or safety concerns are addressed and the PREA office would also be notified. Outside law enforcement would then work hand in hand with</li> </ul> </li> </ul> |

the agency and facility.

115.22(b-c):

- ED 72 requires:
  - “Allegations of sexual abuse or sexual harassment that involve potentially criminal behavior shall be referred for investigation to local law enforcement. All referrals to law enforcement shall be documented. The policy describing such referrals, in addition to the investigative responsibilities of the DOC and local law enforcement, shall be published and maintained on the DOC’s website.
- DAI policy 303.00.05 states:
  - “The Warden/designee shall refer the following to LE (law enforcement): Allegation of sexual abuse or sexual harassment as defined by ED 72 that involve potentially criminal behavior and sexual assault per Wisconsin Statutes s. 940.225”
- DAI Policy 306.00.15 states:
  - “All reports of sexual abuse shall be reported to law enforcement by the facility PREA Compliance Manager/designee. Reports of sexual harassment that involve potentially criminal behavior shall be referred to law enforcement.”
- Agency Human Resources Procedures 200.30.304 states:
  - “All allegations of sexual abuse shall be reported to law enforcement by the Security Director, PREA Compliance Manager, or other designee. Allegations of sexual harassment that involve potentially criminal behavior shall be referred to law enforcement. All referrals shall be documented.”
- Referral for criminal investigations template:
  - Provides a standard document to send to outside law enforcement referring the case to their agency.
- The agency website: (<https://doc.wi.gov/Pages/AboutDOC/PrisonRapeEliminationAct.aspx>) was reviewed and verified that ED 72 is available for the public.
- Interview with facility investigator:
  - An administrative investigator was interviewed and stated that agency policy requires that allegations of sexual abuse or sexual harassment be referred for investigation.

115.22(d): Auditor is not required to audit this provision.

115.22(e): Auditor is not required to audit this provision.

The auditor finds the agency/facility in full compliance with PREA Provision §115.22 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.

| 115.31 | Employee training                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
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|        | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|        | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|        | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• Agency Training Curriculum: Facility Staff Training and Support: DAI Uniformed Staff</li> <li>• Agency Training Module-All Staff PREA</li> <li>• Agency Newsletter examples and example emails regarding updates</li> <li>• DOC-1558 Employment Statement of Acknowledgement (blank and completed examples)</li> <li>• TCC Training Roster for PREA</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Random Sample of Staff</li> </ul> <p>Site Review Observations</p> <p>Findings:</p> <p>115.31(a-b):</p> <ul style="list-style-type: none"> <li>• The TCC PAQ states that staff receive refresher training during odd years and that refresher (biennial) training was released in the fall of 2023 and that it is intranet-based.</li> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “The DOC shall train all new staff members on the department’s zero-tolerance policy for sexual abuse and sexual harassment. All staff members shall receive training every two years; in years in which a staff member does not receive such refresher training, the DOC shall provide refresher information on current sexual abuse and sexual harassment policies. The training shall include, but is not limited to the subparts listed below. Each staff member shall acknowledge and certify to the DOC, through signature or electronic verification, that they understand the training they received. <ul style="list-style-type: none"> <li>■ a. The DOC’s zero tolerance policy for sexual abuse and sexual harassment;</li> <li>■ b. How to fulfill employee responsibilities under the DOC sexual abuse and sexual harassment prevention, detection, reporting and response policies and procedures;</li> </ul> </li> </ul> </li> </ul> |

- c. PIOC's right to be free from sexual abuse and sexual harassment;
  - d. The right of PIOC's and staff members to be free from retaliation for reporting sexual abuse and sexual harassment;
  - e. The dynamics of sexual abuse and sexual harassment in confinement;
  - f. The common reactions of sexual abuse and sexual harassment victims;
  - g. How to detect and respond to signs of threatened and actual sexual abuse;
  - h. How to avoid inappropriate relationships with PIOC's;
  - i. How to communicate effectively and professionally with PIOC's, including lesbian, gay, bisexual, transgender, intersex or gender nonconforming PIOC's;
  - j. How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities;
  - k. Relevant laws regarding the applicable age of consent;
  - l. Instruction tailored to male and female PIOC's; and
  - m. Instruction specific to the unique needs and attributes of juveniles."
- The training curriculums; facility staff training and support: DAI Uniformed Staff and all staff PREA, were reviewed and verified they contain all these standards requirements.
  - Interviews with random staff:
    - Twelve random staff were interviewed and all stated they receive training on PREA annually.

115.31(c):

- The TCC PAQ reported that staff receive refresher training during odd years and that biennial refresher training was released in the winter of 2023.
- Agency newsletters were reviewed that show updates regarding PREA being distributed.

115.31(d):

- The TCC PAQ reported that initial training and biennial modules are online and that participation and understanding are tracked electronically via the learning management system and that all new employees are also required to read ED 72 and sign acknowledgement form DOC-1558 to affirm their understanding.
- ED 72 states"
  - "Each employee shall acknowledge and certify to the DOC, through signature or electronic verification, that they understand the training they received."
- DOC-1558 Employment Statement of Acknowledgement includes a list of

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|  | <p>executive directives, including ED 72, that employees are required to read, understand and abide by. The form also includes a signature from the employee that they “understand that that have the responsibility to read, understand, and abide by all Department of Correction policies and procedures, including but not limited to, the Executive Directives and policies listed above.”</p> <ul style="list-style-type: none"> <li>• A TCC training roster was reviewed that shows all completed PREA related training for staff including the 2017, 2019, 2021 and 2023 PREA refreshers or Introduction to PREA for newly hired staff. The 2023 PREA refresher shows it was taken by all 25 staff assigned to TCC.</li> <li>• Example acknowledgement forms were received for five staff and verified staff signs to verify they have received and understand the training provided.</li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.31 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| <b>115.32</b> | <b>Volunteer and contractor training</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|               | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|               | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Agency Brochure (English &amp; Spanish)</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• DAI Policy 309.06.03 Volunteers, Pastoral Visitors, Program Guests and Interns, dated 08/20/24</li> <li>• POC-0080 DAI Brief Volunteer Orientation</li> <li>• POC-0079 DAI Volunteer, Pastoral Visitor, Program Guest &amp; Intern Orientation training</li> <li>• DAI Volunteer, Pastoral Visitor, Program Guest &amp; Intern Orientation Manual</li> <li>• Agency Contractor &amp; Volunteer Training</li> <li>• DOC-2786 Agency Contractor Acknowledgment form (blank and completed examples)</li> <li>• DOC-2674 DAI Volunteer Application</li> <li>• DOC-2809 Volunteer Orientation Roster Attendance Record</li> </ul> <p>Interviews Conducted:</p> |

- Volunteers
- Contractors

#### Site Review Observations

#### Findings:

#### 115.32(a-b):

- The TCC PAQ reports that 28 volunteers and contractors, who may have contact with inmates, have been trained in agency's policies and procedures regarding sexual abuse and sexual harassment.
- ED 72 states:
  - "All volunteers and contractors who have contact with PIOC's shall be trained, in accordance with the type of service and level of contact they have with PIOC's, on the DOC's zero-tolerance policy as it relates to sexual abuse and sexual harassment. They shall, additionally, be trained on their responsibilities under the DOC's sexual abuse and sexual harassment prevention, detection and response policies and procedures. Each volunteer or contractor shall acknowledge and certify to the DOC, through signature or electronic verification, that they understand the training they received."
- DAI policy 309.06.03 states:
  - "Non-DOC personnel are required to complete role-specific orientation as detailed in Attachment A prior to facility entry and PIOC interaction."
- POC-0080 DAI Brief volunteer orientation was reviewed and it states that they should carefully review the DOC pamphlet regarding PREA and that DOC has a zero-tolerance standard for sexual abuse and harassment, including that inmates cannot legally consent to any sexual contact. It further states that they must immediately report any information (including suspicion) about inmate victimization, retaliation or neglect.
- The POC-0079 DAI Volunteer, Pastoral Visitor, Program Guest & Intern Orientation training was reviewed and it has several slides related to PREA and their responsibilities as a volunteer including the agency's zero-tolerance policy and reporting any knowledge of any sexual conduct immediately. The agency Contractor and Volunteer training (updated February 2018) covers many aspects of PREA including definitions, statistics, zero tolerance, prevention, detection and response procedures.
- The agency volunteer manual was reviewed and verified it contains information on PREA, ED 72 and maintaining professional boundaries.
- Interviews with volunteers:
  - Two volunteers/contract staff were interviewed by the audit team and both stated they have received training regarding PREA and their responsibilities. They both stated that they have been notified of the agency's zero-tolerance policy and signed a form about it.

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|  | <p>115.32(c):</p> <ul style="list-style-type: none"> <li>• DAI policy 309.06.03 requires each volunteer to sign DOC 2809 to verify attendance for all brief and full volunteer orientations (conducted by group or individually) including the annual orientation update. It also requires that completed form (DOC-2809) be scanned and filed and the original provided to the PREA Compliance Manager.</li> <li>• DOC-2809 Volunteer orientation roster attendance record states: <ul style="list-style-type: none"> <li>◦ “Per the Prison Rape Elimination Act (PREA), the Wisconsin Department of Corrections shall ensure that all volunteers who have contact with inmates have: Been notified of the agency’s zero-tolerance policy regarding sexual abuse and sexual harassment; Been trained on their responsibilities under the agency’s sexual abuse and sexual harassment prevention, detection, and response policies and procedures; Received training based on the services they provide and the level of contact they have with inmates. My signature below verifies that I have received and understand training on DOC policies and my responsibilities.”</li> </ul> </li> <li>• The agency contract statement of acknowledgement, which is available in English and Spanish, has the contractor sign to acknowledge they were provided training on their responsibilities under the agency’s policies and procedures regarding sexual abuse and sexual harassment prevention, detection and response and that by signing they indicate they understand the training received.</li> <li>• The volunteer application was reviewed and it indicates that by signing they understand they are expected to abide by all DOC and DAI policies, including the fraternization policy and facility procedures.</li> <li>• Training records for three volunteers and contractors were reviewed that show completed form DOC-2786 including signing that they acknowledge and indicate they understand that DOC provided them training on their responsibilities under the agency’s policies and procedures regarding sexual abuse and sexual harassment, prevention, detection and response.</li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.32 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| <b>115.33</b> | <b>Inmate education</b>                              |
|               | <b>Auditor Overall Determination:</b> Meets Standard |
|               | <b>Auditor Discussion</b>                            |

Documentation reviewed:

- TCC PAQ
- Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22DAI Policy 410.20.01
- Inmate PREA Education dated 05/29/24
- POC-0041B Resource sheet for offenders (English and Spanish)
- PREA Orientation videos at <https://doc.wi.gov/Pages/AboutDOC/PrisonRapeEliminationAct.aspx>
- POC-0041C Inmate PREA Education Facilitator Guide (Rev. 01/2022)
- Photo of inmate ID cards showing PREA information on the back
- WIDOC Sexual Abuse and Sexual Harassment Prevention and Intervention, A Resource for Inmates handbook (English and Spanish)
- Email directive regarding Inmate Education from the PREA Coordinator dated 12/14/2015
- POC-99 Acknowledgement of Receipt of/Access to Information (PREA Education)

Interviews Conducted:

- Intake Staff
- Random inmates

Site Review Observations

Findings:

115.33(a):

- The TCC PAQ reported that 83/83 (100%) of inmates admitted in the past 12 months were given PREA education at intake.
- Ed 72 states:
  - “At intake, PIOC’s shall receive information detailing the DOC’s zero tolerance policy regarding sexual abuse and sexual harassment and how to report such incidents or suspicions.”
- DAI policy 410.20.01 states that:
  - “The Division of Adult Institutions shall provide education to PIOC explaining the agency’s zero tolerance policy regarding sexual abuse and sexual harassment; including report-related retaliation; reporting methods; and agency response procedures.” It further states that upon arrival at an intake facility each PIOC shall receive POC-0041 and POC-0041B complete with the local sexual assault service provider contact information.
- Interviews with random inmates:
  - Of the 21 random inmates interviewed, all stated they received

information about the zero-tolerance policy and how to report.

115.33(b):

- The TCC PAQ reported that 73/73 (100%) of those inmates admitted during the past 12 months (whose length of stay was for at least 30 days) received comprehensive education.
- ED 72 states:
  - “Within 30 days of intake at adult facilities and within 10 days at juvenile facilities, the facility shall provide comprehensive education to PIOC’s either in person or through video regarding: a. The DOC’s zero tolerance policy, including PIOC’s right to be free of sexual abuse, sexual harassment and disclosure-related retaliation; and b. The DOC’s policies and procedures for responding to such incidents.”
- DAI policy 410.20.01 states:
  - “Within 30 days of intake, each inmate shall be provided comprehensive PREA education, which includes: 1. Viewing the video “Sexual Abuse and Sexual Harassment Prevention and Intervention.” 2. Following the video, a staff facilitated discussion shall occur and include: a. The facility’s cross-gender announcement procedure. b. Local sexual assault service provider contact information. c. Notable facility-specific PREA procedures. 3. Facilities shall use POC-0041C to guide their comprehensive education.”
- Interviews with intake staff:
  - Intake staff interviewed stated that all new arrivals are given education on PREA including being shown the WI DOC PREA video.
- Interviews with random inmates:
  - The inmates who stated they received PREA education typically stated they received the education within a few days of arriving to a few weeks or a month after arriving at TCC.
- A list of completed PREA education acknowledgements for TCC was received that shows all of the inmates who completed it in the past 12 months. The list contains 76 PIOC, 12 show no date of completion for the education and 35 show they received it but longer than 30 days after arrival which means 62% did not receive education within the 30 days required.
  - Corrective action: New staff was assigned to conduct the PREA education at TCC. For 90 days following the on-site portion of the audit TCC sent the auditor education reports monthly showing when any new arrivals arrived and the date of their PREA education. All were completed within the required timeframe.

115.33(c):

- The TCC PAQ reported that all inmates had been educated within 30 days of intake during the audit period.
- An email dated 12/17/15 shows that inmate PREA education was started at

that time and should be incorporated into all intake processes beginning in January, 2016 and that all inmates who are already at facilities need to be educated prior to April 30, 2016.

- ED 72 states:
  - “Upon transfer to another facility, PIOC’s shall receive education specific to the facility’s sexual abuse, sexual harassment and report-related retaliation policies and procedures to the extent they differ from the previous facility.”
- DAI policy 410.20.01 states:
  - “Upon transfer to a facility, each PIOC shall receive: 1.POC-0041 if they state they do not already have a copy. 2.POC-0041B complete with local sexual assault service provider contact information.”
  - “Within 30 days of transfer, each PIOC shall be provided comprehensive PREA education, which includes, at minimum, a staff facilitated discussion of: 1. The agency’s zero tolerance for sexual abuse, sexual harassment and report-related retaliation. 2. Sexual abuse and sexual harassment reporting options. 3. The facility’s cross-gender announcement procedure.4. Local sexual assault service provider contact information. 5. The facility’s response procedure. 6. Notable facility-specific PREA procedures.”

115.33(d):

- ED 72 states:
  - “PIOCs with disabilities or who have limited English proficiency shall have an equal opportunity to participate in or benefit from all aspects of the DOC’s efforts to prevent, detect and respond to sexual abuse and sexual harassment. This includes providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary, in addition to the provision of PIOC education in formats accessible to all. Written materials shall be provided in formats or methods that ensure effective communication with PIOC’s with disabilities.”
- DAI policy 410.20.01 states:
  - “PIOC with disabilities or who have limited English proficiency shall be provided with access to interpreters or alternate formats to assist them with comprehension of the information in accordance with DAI Policies 300.00.35 and 300.00.61. Alternate formats of education may include:
    - a. POC-0041 Audio recording (obtain from PREA Office)
    - b. POC-0041 Braille translation (obtain from PREA Office).
    - c. POC-0041S, POC-0041BS Spanish translation.
    - d. Spanish and subtitled versions of the PREA education video.
    - e. Special education teacher or similar to facilitate education.”
- The agency handbook and addendum are available in English, large print and

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|  | <p>Spanish.</p> <ul style="list-style-type: none"> <li>Interviews with inmates who are disabled or limited English proficient: <ul style="list-style-type: none"> <li>A total of five PIOC were interviewed using the disabled and limited English proficient inmates' protocol, all had a hearing disability. All five PIOC stated they did receive information about sexual abuse and sexual harassment in a manner that was understood.</li> </ul> </li> </ul> <p>115.33(e):</p> <ul style="list-style-type: none"> <li>POC-99 Acknowledgement of Receipt of/Access to Information was reviewed and it shows that inmates sign to acknowledge they have received the sexual abuse and sexual harassment handbook, a resource sheet and PREA education. The form is available in English and Spanish.</li> <li>A list of completed PREA education acknowledgements for TCC was received that shows all of the inmates who completed it in the past 12 months. The list contains 76 PIOC, 12 show no date of completion for the education and 35 show they received it but longer than 30 days after arrival which means 62% did not receive education within the 30 days required. <ul style="list-style-type: none"> <li>Corrective action: New staff was assigned to conduct the PREA education at TCC. For 90 days following the on-site portion of the audit TCC sent the auditor education reports monthly showing when any new arrivals arrived and the date of their PREA education. All were completed within the required timeframe.</li> </ul> </li> </ul> <p>115.33(f):</p> <ul style="list-style-type: none"> <li>Site review observations: <ul style="list-style-type: none"> <li>During the site review the audit team found PREA posters in every living unit. Most were located near the phones while others were on a bulletin board within the unit. When inmates were informally interviewed they stated the PREA posters are everywhere and that PREA information is also on the back of their ID and on the tablets.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.33 based upon analysis of all available evidence including the documentation provided, the site review, interviews conducted and documentation received during the corrective action period.</p> |
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| <b>115.34</b> | <b>Specialized training: Investigations</b>          |
|               | <b>Auditor Overall Determination:</b> Meets Standard |
|               | <b>Auditor Discussion</b>                            |

Documentation reviewed:

- TCC PAQ
- Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22
- Agency Investigation Training curricula
- Agency Investigation Resource Guide, updated September 2024
- WIDOC Directory of Investigative staff who have completed training, updated October 2024

Interviews Conducted:

- Investigative staff

Findings:

115.34(a-c):

- ED 72 states:
  - “Employees who investigate incidents of sexual abuse and sexual harassment shall receive specialized training on techniques for interviewing sexual abuse victims, proper use of Garrity/Oddsen warnings, sexual abuse evidence collection in confinement settings and the criteria and evidence required to substantiate a case for administrative action or prosecutorial referral. The DOC shall maintain documentation of training completion.”
- The agency investigation training curricula and resource guide were reviewed and verified that they cover investigations in confinement settings, interview techniques for interviewing sexual abuse victims, proper use of Garrity and Miranda warnings (the agency does not conduct criminal investigations so they would never use Miranda), evidence collection in confinement settings and the definitions of unfounded, substantiated and unsubstantiated.
- A roster of staff trained to investigate reports of sexual abuse and sexual harassment in confinement were reviewed and it shows 1 staff at TCC is trained, and throughout the agency 639 total agency investigators have completed the required training.
- Interview with investigative staff:
  - The investigator interviewed stated that he has received training specific to conducting sexual abuse investigations in confinement settings.

115.34(d): Auditor is not required to audit this provision.

The auditor finds the agency/facility in full compliance with PREA Provision §115.34 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.

| 115.35 | Specialized training: Medical and mental health care                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
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|        | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|        | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|        | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22.</li> <li>• PREA for healthcare Staff training curriculum</li> <li>• TCC PREA for Healthcare training roster</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Medical staff</li> </ul> <p>Findings:</p> <p>115.35(a):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “All medical and mental health care practitioners who work regularly in a DOC facility(ies) shall be trained on the subparts below.” a. How to detect and assess signs of sexual abuse and sexual harassment; b. How to preserve physical evidence of sexual abuse; c. How to respond effectively and professionally to victims of sexual abuse and sexual harassment; and d. How and to whom to report allegations or suspicions of sexual abuse and sexual harassment.”</li> </ul> </li> <li>• The PREA for Healthcare Staff curricula was reviewed and it includes information on first responder duties, initial assessment, reporting, preserving evidence, providing care and responses.</li> <li>• The TCC PAQ reported that (100%) medical staff who work regularly at the facility have received the training which was verified with a PREA healthcare training completion roster. They currently only have one state medical employee and no mental health staff.</li> <li>• Interview with medical staff: <ul style="list-style-type: none"> <li>◦ A medical staff member was interviewed and stated they have received specialized training regarding sexual abuse and sexual harassment and that it covered all required topics including how to detect and assess signs of sexual abuse and sexual harassment and how and to whom to report allegations or suspicions of sexual abuse and sexual harassment.</li> </ul> </li> </ul> <p>115.35(b): This provision is not applicable as forensic medical examinations would be conducted at an outside facility and not by agency staff.</p> |

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|  | <p>115.35(c-d):</p> <ul style="list-style-type: none"> <li>• Training rosters for the medical staff member were reviewed and show she has taken PREA for Healthcare staff, introduction to PREA and PREA refreshers.</li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.35 based upon analysis of all available evidence including the documentation provided and interviews conducted.</p> |
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| <b>115.41</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|               | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|               | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22.</li> <li>• DAI Policy 410.30.01 Screening for Risk of Sexual Abusiveness and Sexual Victimization, dated 11/21/23</li> <li>• Agency risk screening directive email from PREA Coordinator dated 04/19/16</li> <li>• Sample inmate risk screening assessments</li> <li>• List of risk screenings for current TCC PIOC (Admission Screening Summary)</li> <li>• DOC-2781B PREA Screening tool, Adult Male facility</li> <li>• Agency Wisconsin Integrated Corrections System (WICS) User Guides Sections A, B, C, D and Rescreening</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Staff responsible for risk screening</li> <li>• Random Inmates</li> <li>• PREA Director</li> <li>• PREA Compliance Manager</li> </ul> <p>Site Review Observations</p> <p>Findings:</p> <p>115.41(a-e):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ "PIOCs shall be assessed during an intake screening within 72 hours of</li> </ul> </li> </ul> |

arrival at the facility, and again within 72 hours of transfer to another facility, for risk of being sexually abused by other PIOC's or sexually abusive towards other PIOC's. The objective screening instrument shall include, at minimum, the following criteria:

1. The presence of a mental, physical or developmental disability;
2. Level of emotional and cognitive development (juvenile facilities only)
3. Age;
4. Physical build;
5. Previous incarcerations;
6. Exclusively nonviolent criminal history;
7. Prior convictions for sex offenses against an adult or child;
8. Is, or is perceived to be, gay, lesbian, bisexual, transgender, intersex or gender nonconforming;
9. Previously experienced sexual victimization;
10. Prior acts of sexual abuse, prior convictions for violent offenses and/or history of prior institutional violence or sexual abuse; and
11. PIOC's perception of vulnerability"

- DAI policy 410.30.01 explains the procedures for conducting risk screening including appropriate time frames. It also defines ROA as risk of abusiveness and ROV as risk of victimization.
- The TCC PAQ reports that 78/78 (100%) of inmates entering the facility within the past 12 months whose length of stay was for 72 hours or more were screened for risk or sexual victimization and risk of sexual abusiveness.
- An agency risk screening directive email was reviewed that was to inform all facilities that inmates need to be assessed, including those who entered the facility prior to the standards taking effect on 08/20/2012.
- DOC-2781B PREA screening tool for adult male facilities was reviewed and verified it contains all information required in 115.41(d) and 115.41(e). Section A is for questions answered during the inmate interview, section B is records review, section C is risk review (with the open for an override) and section D is the 30-day rescreening.
- A risk screening report of current TCC inmates who were admitted in the past 12 months was reviewed that shows the inmates DOC#, name, admission date and when sections A-D were completed. The auditor reviewed all dates and 3/78 had sections A and B completed slightly later than 72 hours (they were completed within 96 hours and happened over a holiday weekend).
- Site review observations:
  - During the on-site portion of the audit a member of the audit team observed the staff member conducting the screening interviews. They verified that the screener met with the inmates one on one and asked the appropriate questions based on the screening tool.
- Interviews with staff who perform screening for risk of victimization and abusiveness:
  - A staff member who completes the PREA screening was interviewed and stated that they screen all inmates upon admission to TCC and

that this is done within 72 hours and again within 30 days. They stated there is a guide to follow and that it includes information such as if they have ever been a victim of sexual abuse in the community or in a facility, if they have perpetrated abuse and if they identify as LGBTI. and other areas are auto populated. They stated the PIOC is met with one on one.

- Interviews with inmates:
  - Of the 21 inmate interviews, the majority(85%) of them indicated that they were asked the PREA screening questions at arrival.

115.41(f):

- ED 72 states:
  - “In addition to the intake screening detailed in section XII.A., within 30 days of arrival the facility shall reassess the PIOC’s risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the last screening.”
- The TCC PAQ reported that 73/73 (100%) inmates who entered the facility in the last 12 months whose length of stay was 30 days or more were reassessed for their risk of sexual victimization and of being sexually abusive.
- Interviews with staff who perform screening for risk of victimization and abusiveness:
  - The staff interviewed stated inmates are screened within 72 hours and again within 30 days.
- Interviews with random inmates:
  - Of the twenty-one PIOC interviewed the majority stated they had been asked the questions at least twice since they arrived at TCC. The auditor reviewed the screening and found that all who had been admitted in the past 12 months received their 30-day screening.

115.41(g):

- ED 72 states:
  - “Thereafter, a PIOC’s risk level shall be reassessed when warranted due to a referral, request, incident of sexual abuse or receipt of additional information that bears on the PIOC’s risk of sexual victimization or abusiveness.”
- Interviews with staff who perform screening for risk of victimization and abusiveness:
  - The staff interviewed stated they complete the assessments within 30 days of arrival.

115.41(h):

- ED 72 states:

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|  | <ul style="list-style-type: none"> <li>◦ “PIOCs may not be disciplined for refusing to answer or failing to disclose information in regards to the screening questions.”</li> <li>• Interviews with staff who perform screening for risk of victimization and abusiveness: <ul style="list-style-type: none"> <li>◦ Staff stated that inmates would not be disciplined for refusing to answer any of the questions during the PREA screening.</li> </ul> </li> </ul> <p>115.41(i):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “Appropriate controls shall be placed on the dissemination of information gathered from the screenings to ensure that sensitive information is not exploited to the PIOC’s detriment by staff or other PIOCs.”</li> </ul> </li> <li>• Interview with the PREA Director: <ul style="list-style-type: none"> <li>◦ She stated that the agency has outlined who has access to an inmate’s risk assessment. She stated that it is all in their computer system and when someone signs in there is a pop-up confirming that they are accessing it for a business purpose and that they have the ability to query who has accessed the assessments.</li> </ul> </li> <li>• Interview with the PREA Compliance Manager: <ul style="list-style-type: none"> <li>◦ The PCM stated that only staff with a need to know, such as himself, the captains, social workers and move sergeants, have access to the PIOC’s risk assessments.</li> </ul> </li> <li>• Interviews with staff who perform screening for risk of victimization and abusiveness: <ul style="list-style-type: none"> <li>◦ Staff indicated that access is limited.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.41 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| <b>115.42</b> | <b>Use of screening information</b>                                                                                                                                |
|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                               |
|               | <b>Auditor Discussion</b>                                                                                                                                          |
|               | Documentation reviewed: <ul style="list-style-type: none"> <li>• TCC PAQ<br/>Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual</li> </ul> |

Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22

- DAI Policy 410.30.01 Screening for Risk of Sexual Abusiveness and Sexual Victimization, dated 11/21/23
- DAI Policy 325.00.04 Inmate Drivers, dated 06/07/21
- DAI Policy 306.00.23 Special Placement Needs of Inmates, dated 04/12/21
- DAI Policy 309.00.01 PIOC Work Placement, dated 11/21/23
- DAI Policy 500.70.27 Transgender Management and Care, dated 05/29/24
- DAI Policy 500.70.26 Review for Housing Consistent with Gender Identity, dated 05/29/24
- DOC-3793 Transgender Housing Evaluation form
- DOC-1408 Inmate Work/Program Assignment Placement form
- DOC-2570 Inmate Offsite Review form
- WICS User Guide

Findings:

115.42(a-b):

- ED 72 states:
  - “Information obtained from the risk screening shall inform housing, bed, work, education and program assignments with the goal of keeping separate those PIOC at high risk of being sexually victimized from those at high risk of being sexually abusive. For the purposes of education, programming, work and recreation activities, line-of-sight monitoring by DOC staff is sufficient to maintain separation. Individualized placement determinations shall be made for each PIOC.”
- DAI policy 410.30.01 states:
  - “A. Screening information shall be used to inform staff making housing and bed assignments. The expectation is to keep PIOC who score as high risk of being sexually victimized separate from those scoring as high risk for being sexually abusive.”
  - “B. Screening information shall be used to inform staff making work, education and program assignments.”
  - “C. Depending upon each PIOC responses and history, the screening tool categorizes each as being designated a ROV, ROA or None. ROV and ROA categorizations shall be recorded as a security special handling type and security housing recommendation in WICS.”
  - “D. Individualized determinations about how to ensure the safety of each PIOC shall be made.”
- DAI policy 325.00.04 summarizes that the Warden/Superintendent/designee shall consider potential victim issues, including the ROA, in determining if an inmate can be authorized as an operator of State owned vehicles.
- DAI policy 309.00.01 PIOC Work Placement establishes guidelines for facilities to maintain a process for placement removal or transfer of all compensated PUOC work assignments including:

- “5. Risk of abusiveness (ROA) or risk of victimization (ROV)
    - a. PIOC with a ROV category shall not be placed in an unsupervised work group with PIOC in an ROA category.
    - b. Any work setting that contains PIOC with ROV and ROA categories shall be under direct supervision.”
- DAI policy 306.00.23 states that one of the criteria needed for special needs placement is substantiated inmate on inmate sexual abuse.
- DOC-1408 Inmate work/program assignment/placement form includes an area for staff to mark that PREA risk category has been reviewed and considered.
- DOC-2570 Inmate Offsite Review form also includes a checkbox for staff to verify that PREA status was reviewed.
- The WICS user guide explains the process for entering housing recommendations associated with PREA.
- Interview with the PREA Compliance Manager:
  - He stated that the ROA and ROV status is reviewed and kept separate when possible, they would not be housed together or work in the same area.
- Interviews with staff who perform screening for risk of victimization and abusiveness:
  - The staff who complete screening and assessments stated the information is used for housing, programming and job placement.

115.42(c-g):

- ED 72 states:
  - “When making facility, cell/unit housing and programmatic assignments for transgender or intersex PIOC’s the DOC shall consider on a case-by-case basis whether a placement would ensure the PIOC’s health and safety and whether the placement would present management or security problems, in addition to serious consideration of the PIOC’s own views with respect to their own safety.”
- DAI policy 500.70.27:
  - Outlines appropriate treatment, housing and accommodations for PIOC who are transgender or intersex. Including that transgender and intersex PIOC shall be given the opportunity to shower separately from other PIOC. It also states that placement and programming assignments shall be reassessed at a minimum of every six months in a reclassification hearing and shall include a review of any threats to safety. It also stated that “ facility and housing assignments shall be made on a case-by-case basis, considering PIOC health and safety as well as potential programming, management and security concerns. PIOC own views regarding safety shall be given careful consideration.”
- DAI policy 500.70.26 establishes that the DAI shall ensure equitable treatment of individuals who identify as transgender or who have an intersex condition when determining housing, classification, and programming by providing an

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|  | <p>opportunity, on a case by case basis, for gender aligned housing placement.</p> <ul style="list-style-type: none"> <li>• Form DOC-3793 includes guidelines for psychological services staff to complete when transgender PIOC request placement at a facility consistent with their gender identity.</li> <li>• Interview with the PREA Director: <ul style="list-style-type: none"> <li>◦ The PREA Director confirmed that the agency is not subject to any consent decrees, legal settlements or legal judgements that would require them to place inmates who identify at LGBTI in dedicated facilities. She stated they are assigned accordingly based on security and housing needs.</li> </ul> </li> <li>• Interview with the PREA Compliance Manager: <ul style="list-style-type: none"> <li>◦ He verified that the facility is not subject to any consent decree of legal judgment that would require a dedicated unit for anyone. He stated that they have not had any PIOC who identified as transgender in his time at TCC but that they would do the required PREA screening and talk to the PIOC to determine appropriate placement including considering single cell placement and that they would be eligible for the same jobs as everyone else. He stated that they showers are always open but they would consider a separate time if requested.</li> </ul> </li> <li>• Interviews with staff responsible for risk screening: <ul style="list-style-type: none"> <li>◦ The risk screener stated that placement and programming would be reviewed at least twice a year for transgender or intersex PIOC but they have not had any recently.</li> </ul> </li> <li>• TCC does not have any PIOC who identified as transgender intersex, gay or bisexual so no interviews for that targeted category were able to be conducted.</li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.42 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| <b>115.43</b> | <b>Protective Custody</b>                                                                                                                                                                                                                                                                                                  |
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|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                       |
|               | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                  |
|               | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22.</li> <li>• DAI Policy 306.05.01 Protective Confinement, dated 11/22/21</li> </ul> |

- DAI Policy 306.00.72 Screening for risk of Sexual Abusiveness and Sexual Victimization, dated 11/01/17
- DOC-68 Review of Inmate in Temporary Lockup form
- DOC-30 Review of Inmate in Restrictive Housing form

Interviews Conducted:

- Warden

Site Review Observations

Findings:

115.43(a):

- The TCC PAQ reported that zero inmates at risk of sexual victimization were held in involuntary segregated housing in the past 12 months.
- ED 72 states:
  - "Adult PIOC's at high risk for sexual victimization shall not be separated from the general population unless an assessment of all available alternatives has been made and a determination has been made that there is no available alternative means of separation from likely abusers. If an assessment cannot be conducted immediately, the facility may separate the PIOC involuntarily from the general population for less than 24 hours while completing the assessment."
- DAI policy 306.05.01 also states:
  - "Inmates at high risk for sexual victimization shall not be placed in involuntarily protective confinement in restrictive housing unless an assessment of all available alternatives has been made, and a determination has been made there is no available alternative means of separation from likely abusers. An inmate shall not be held for more than 24 hours pending this assessment."
- DAI policy 306.00.72 reiterates the same information regarding involuntary separation only being used if there are no available alternative means of separation from likely abusers.
- Interview with the Warden:
  - The Warden stated that inmates would not be placed in restrictive housing if they have alleged sexual abuse unless there are no available alternative means of separation from potential abusers..

115.43(b):

- ED 72 states:
  - "PIOCs separated from the general population for this purpose shall have access to programs, privileges, education or work opportunities to the extent possible. If the facility restricts access to programs,

privileges, education or work opportunities the facility shall document the opportunities limited, the reason for such limitations and the duration of the limitation.”

- There were no inmates who reported sexual abuse, or were at risk of sexual victimization, that were placed in segregated housing at TCC so no targeted interviews in that category were able to be conducted.

115.43(c):

- The TCC PAQ reported that in the past 12 months no inmates at risk of sexual victimization were assigned to involuntary segregated housing for longer than 30 days.
- ED 72 states:
  - “Involuntary separation of adult PIOC’s from the general population shall only be until alternative means of separation from likely abusers can be arranged and shall not ordinarily exceed 30 calendar days.”
- Interview with the Warden:
  - The Warden stated that agency policy does prohibit placing inmates at high risk for sexual victimization or who have alleged sexual abuse in involuntary segregation unless there is no other alternative and that they would be moved out of segregation as soon as possible.

115.43(d):

- ED 72 states:
  - “If a PIOC is involuntarily separated from the general population the facility shall document the basis for the facility’s concern for the PIOC’s safety and the reason an alternate placement can not be arranged.”
- The TCC PAQ reports that no inmates were placed in involuntary segregated housing so no file reviews were able to be done.

115.43(e):

- ED 72 states:
  - “Every 30 days, the facility shall review the PIOC’s circumstances to determine whether there is a continuing need for separation from the general population and document accordingly.”

The auditor finds the agency/facility in full compliance with PREA Provision §115.43 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.

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| <b>115.51</b> | <b>Inmate reporting</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|               | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|               | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• WIDOC Sexual Abuse and Sexual Harassment Prevention and Intervention, A Resource for Inmates handbook (English and Spanish)</li> <li>• Agency Third Party Reporting Poster (English and Spanish)</li> <li>• PIOC PREA posters (English and Spanish)</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Random Staff</li> <li>• Random Inmates</li> <li>• PREA Compliance Manager</li> </ul> <p>Site Review Observations</p> <p>Findings:</p> <p>115.51(a):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “The DOC shall provide multiple ways for PIOC’s to privately report sexual abuse and sexual harassment, retaliation by other PIOC’s or staff for reporting sexual abuse and harassment, and staff neglect or violation of responsibilities that may have contributed to such incidents.”</li> </ul> </li> <li>• WIDOC Sexual Abuse and Sexual Harassment Prevention and Intervention, A Resource for Inmates handbook details reporting methods including telling any staff person, send a request to any staff person, call a PREA reporting hotline (#777 or #888), tell a family member, friend or outside report person, file a complaint or contact local law enforcement.</li> <li>• Agency Third Party Reporting Poster states that they can tell any staff person, go to <a href="http://www.doc.wi.gov">www.doc.wi.gov</a> or contact local law enforcement.</li> <li>• The PIOC PREA poster also details the multiple reporting options available.</li> <li>• Site review observations: <ul style="list-style-type: none"> <li>◦ During the site review the audit team verified the PREA poster was posted in all living units and it includes multiple reporting options and was consistent throughout the facility. The posters were easily viewable by all inmates. While discussing the posters a PIOC also let</li> </ul> </li> </ul> |

us know all of the information was also available on their tablets and showed us using his tablet.

- Staff were informally interviewed regarding what they would do if they received a report of or witnessed an incident of possible sexual abuse or sexual harassment and they stated they would separate those involved and notify the shift supervisor then write a report.
- The audit team tested the reporting methods, 777 and 888. Both calls went to a voicemail system and the messages were sent to the PREA office at the central office who emailed me the information that they had received the same day the call was made which demonstrates the efficiency of their process.
- Interviews with random staff:
  - Twelve random staff were interviewed and all staff were able to communicate at least one method for inmates to privately report sexual abuse or sexual harassment and most knew multiple options.
- Interviews with random inmates:
  - Twenty-one inmates were interviewed and all were able to list at least one way to report with the majority listing multiple options.

115.51(b):

- ED 72 states:
  - “In addition, the DOC shall provide at least one way for PIOC’s to report sexual abuse and sexual harassment to a public or private entity that is not part of the DOC.”
- The PIOC PREA poster states that they can dial #888 and the is to report outside of WI DOC and that a PIN is not needed. It also states they can write to local law enforcement.
- The agency does not detain solely for civil immigration purposes.
- Interview with the PREA Compliance Manager (PCM):
  - He stated that TCC provides multiple options for reporting including reporting at their job site, calling one of the reporting lines or writing a letter to anyone including himself. He further stated the phones lines ho to an outside office who sends it to the facility to investigate.
- Interviews with random inmates:
  - Of the 21 inmates asked if they can report anonymously and all 21 stated yes.

115.51(c):

- ED 72 states:
  - “Staff members shall accept reports made verbally, in writing, anonymously, and from third parties; promptly document any verbal reports.”
- The TCC PAQ reported that the timeframe staff are required to document reports is immediately and that staff document via an incident report of

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|  | <p>2666D )sexual abuse/sexual harassment reporting form).</p> <ul style="list-style-type: none"> <li>Interviews of random staff: <ul style="list-style-type: none"> <li>Of the twelve random staff asked about what they would do if the received a verbal report of sexual abuse or sexual harassment all stated they would notify the shift supervisor and immediately write a report.</li> </ul> </li> <li>Interviews with random inmates: <ul style="list-style-type: none"> <li>Of the PIOC asked if they can make reports of sexual abuse or sexual harassment either in person or in writing and if someone else can make the report for them and all stated yes to all the options.</li> </ul> </li> </ul> <p>115.51(d):</p> <ul style="list-style-type: none"> <li>ED 72 states: <ul style="list-style-type: none"> <li>"Reports shall be immediately reported to a supervisor who is not the subject of the allegation, unless reporting to such person compromises the safety of the alleged victim, witness(es) or reporter. In those instances, a private report shall be made to the PREA Office or submitted electronically via the DOC's public website."</li> </ul> </li> <li>The TCC PAQ reported that staff are informed of these procedures via ED 72.</li> <li>Interviews with random staff: <ul style="list-style-type: none"> <li>Twelve random staff were asked how staff can privately report and they stated they could report to a supervisor, including the site director, or could call one of the outside reporting lines.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.51 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| 115.52 | Exhaustion of administrative remedies                                                                                                                                                                                                                                                                       |
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|        | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                        |
|        | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                   |
|        | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>TCC PAQ</li> <li>Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>Agency Admin Code Chapter 310, Complaint Procedures</li> </ul> |

- DAI Policy 310.00.01 Inmate Complaints Regarding Staff Misconduct, dated 11/20/22
- Email regarding inmate complaint that allege or appear to allege PREA, dated 03/11/22

#### Site Review Observations

#### Findings:

#### 115.52(a):

- ED 72 Administrative complaints states:
  - “All sexual abuse and sexual harassment complaints filed through the Inmate Complaint Review System shall be immediately referred to facility leadership for review and sexual abuse and/or sexual harassment investigation.” “PIOCs shall be notified within 30 days of the initial complaint that the portion of the complaint alleging sexual abuse or sexual harassment has been referred for review and possible investigation and the Inmate Complaint Review process has concluded.”
- In accordance with ED 72, the agency admin code chapter 310 and DAI policy 310.00.01 outlines the process for the handling of inmate complaints related to sexual abuse and sexual harassment.
- The email regarding inmate complaints that allege or appear to allege PREA also goes over instructions for handling an inmate complaint that appear to be sexual abuse or sexual harassment including forwarding them to the PREA Compliance Manager and backup.

#### 115.52(b):

- ED 72 states:
  - “A time limit shall not be imposed on when a PIOC may submit a complaint regarding an allegation of sexual abuse or sexual harassment though other applicable time limits may still apply to any portion of the complaint that does not allege an incident of sexual abuse or sexual harassment. All appeals shall be made in accordance with Wisconsin State statutory time limits and referred to the appropriate reviewing authority. The complaint process shall not include a mandatory informal resolution requirement.”
- Agency admin code chapter 310 section 310.08 PREA Complaint procedure states:
  - “Notwithstanding s. DOC 310.07(2) an inmate may file a complaint regarding sexual abuse or sexual harassment at any time.”

#### 115.52(c):

- ED 72 states:
  - “Each facility shall ensure that a PIOC who alleges sexual abuse or sexual harassment may submit a complaint without submitting it to the staff member who is the subject of the complaint and that such a complaint is not referred to a staff member who is the subject of the complaint. The PIOC may use an alternate method of filing.”
- Agency admin code chapter 310, section 310.08 PREA Complaint procedure states:
  - Notwithstanding s. DOC 310.07 (1) or (8), an inmate is not required to attempt to resolve the issue with the staff member who is the subject of the complaint or to file a complaint regarding sexual abuse or sexual harassment with the staff member who is subject of the complaint. The inmate may use an alternative method of filing, including submission of the complaint directly to the warden.”

115.52(d):

- The TCC PAQ reported that they have received no grievances in the past 12 months that alleged sexual abuse and that none requested an extension of the 90-day period to respond. They further reported that all complaint alleging sexual abuse are routed to facility leadership for review and action; the administrative complaint process stops.
- ED 72 states:
  - “All sexual abuse and sexual harassment complaints filed through the Inmate Complaint Review System shall be immediately referred to facility leadership for review and sexual abuse and/or sexual harassment investigation.” “PIOCs shall be notified within 30 days of the initial complaint that the portion of the complaint alleging sexual abuse or sexual harassment has been referred for review and possible investigation and the Inmate Complaint Review process has concluded.”
- No PIOC at TCC reported sexual abuse in the past 12 months so no targeted interviews for this category were able to be conducted.

115.52(e):

- ED 72 states:
  - “Third parties, including fellow PIOC’s, staff, family members, attorneys and outside advocates, shall be permitted to assist a PIOC in filing complaints related to allegations of sexual abuse or sexual harassment. A parent or legal guardian of a juvenile shall be permitted to file a grievance regarding allegations of sexual abuse on behalf of such juvenile. Such a grievance shall not be conditioned upon the juvenile agreeing to have the request filed on their behalf. Complaints filed shall be referred for sexual abuse and/or sexual harassment

investigation.”

- Agency admin code chapter 310, section 310.08 PREA Complaint procedure states:
  - “Third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, shall be permitted to assist an inmate in filing a request for administrative remedies relating to allegations of sexual abuse or sexual harassment and shall also be permitted to file such requests on behalf of inmates. Requests for administrative remedies filed under this section will be referred for a PREA investigation.”
- The TCC PAQ reported that the agency does not require the alleged victim to agree to have the request filed on their behalf and that the agency will process the complaint regardless of the source or willingness of the alleged victim so documenting the decision to decline is not applicable.

115.52(f):

- The TCC PAQ reported that zero emergency grievances alleging substantial risk of imminent sexual abuse.
- ED 72 states:
  - “If a PIOC alleges that he or she is subject to a substantial risk of imminent sexual abuse, the PIOC may contact any staff member who is not the subject of the allegation. Staff shall immediately forward the allegation to facility leadership for immediate corrective action. Facility leadership shall provide an initial response within 48 hours and issue a final decision within 5 calendar days. The initial response and final facility decision shall document the facility’s determination whether the PIOC is in substantial risk of imminent sexual abuse and the action taken in response to the emergency complaint. Further response shall be in accordance with staff reporting.”
- Agency admin code chapter 310, section 310.08 PREA Complaint procedure states:
  - “Emergency grievance procedures for complaints alleging a substantial risk of imminent sexual abuse or sexual harassment will be handled in the following manner:
    - The inmate may contact any staff member who is not the subject of the allegation for immediate corrective action.
    - The inmate may file a complaint. Complaints collected under s. DOC 310.08 shall be immediately forwarded to the warden to determine if immediate action is warranted.
    - Reports of substantial risk of imminent sexual abuse or sexual harassment outside of the complaint process under this chapter shall be immediately forwarded to the warden to determine if immediate action is warranted.
    - Further response will be in accordance with department policy.”

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|  | <p>115.52(g):</p> <ul style="list-style-type: none"> <li>ED 72 states: <ul style="list-style-type: none"> <li>“The DOC may discipline a PIOC for a complaint filed alleging sexual abuse or sexual harassment only where the DOC demonstrates that the complaint was filed in bad faith.”</li> </ul> </li> <li>Agency admin code chapter 310, section 310.08 PREA Complaint procedure states: <ul style="list-style-type: none"> <li>“The warden may discipline an inmate for filing a complaint related to alleged sexual abuse or sexual harassment only if the warden demonstrates that the inmates filed the complaint in bad faith.”</li> </ul> </li> <li>The TCC PAQ reports that zero inmate grievances alleging sexual abuse that results in disciplinary action by the agency against the inmate for having filed the grievance in bad faith in the past 12 months.</li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.52 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| 115.53 | Inmate access to outside confidential support services                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
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|        | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|        | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|        | <p>Documentation and other evidence reviewed:</p> <ul style="list-style-type: none"> <li>TCC PAQ</li> <li>Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>DOC-2937 Advocacy Request Form (blank) English and Spanish</li> <li>POC-0041B Sexual Abuse in Confinement Resource sheet for Offenders</li> <li>DAI Policy 410.50.04 Support Services and Retaliation Monitoring , dated 10/18/21</li> <li>PREA poster for inmates (English and Spanish)</li> <li>Memorandum of Understanding with Dane County Rape Crisis Center, signed 02/20/2018</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>Random Inmates</li> <li>Advocate with the local rape crisis center</li> </ul> <p>Site Review Observations</p> |

Findings:

115.53(a-c):

- ED 72 states:
  - “The facility shall provide PIOC’s with access to outside victim advocates, with whom the DOC shall maintain or attempt to enter into memoranda of understanding with, for emotional support services related to sexual abuse. Access includes giving PIOC’s mailing addresses and telephone numbers, including toll-free hotline numbers where available. The facility shall enable reasonable communication between PIOC’s and these organizations and agencies, in as confidential a manner as possible and, in advance, provide notification to PIOC’s of the extent to which such conversations will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws.”
- DOC-2937 Advocacy Request Form states that:
  - “In addition to on-site support (i.e. medical/mental health staff), victims of sexual abuse may receive support from their community’s local sexual assault service provider. Sexual assault services providers are dedicated to helping victims. Their services are free, confidential and not connected to DOC.”
  - It further states that every effort will be made to ensure that your communication with the advocate remains confidential and that a PIN is not needed to dial #999 not is the call recorded or monitored.
- DAI policy 410.50.04 states under support services:
  - “Upon notification of an alleged sexual abuse victim, the VSC shall meet with the alleged victim as soon as possible to offer internal and external support services. If the alleged victim accepts offered services, the VCS shall refer to internal supports (i.e. PSU, HSU, Chaplain, etc.) and/or facilities contact with local SASP.
    - 1. The SASP may meet with the alleged victim via telephone, videoconferencing or in person. IN person SASP visits shall be managed as all other professional visits within a correctional facility and shall be held in the same location, or equivalent, as attorney visits to ensure confidentiality.
    - 2. Prior to any SASP contact, the VSC shall inform the alleged victim of the extent to which communication with the SASP may be monitored.
    - 3. The VSC shall serve as the SASPs facility based point of contact and shall coordinate all contact between the SASP and the victim. The VSC shall ensure SASP has proper clearance to enter the facility.
    - 4. Following an investigation, if the incident is determined unfounded, the VSC may discontinue support services. If the incident is determined unsubstantiated or substantiated, the VSC shall periodically review the need for continued support

with the alleged victim, SASP and internal support providers, as needed.”

- POC-0041B Sexual Abuse in Confinement Resource sheet for Offenders provides facility specific information on support services, including how to make a call to the local sexual assault service provider.
- The PREA poster for inmates was reviewed and it has contact information for the local SASP, Reach, including how to call them or send mail to them. It also indicates that a PIN is not needed to make the call and that they are not recorded or monitored. It further states that written correspondence may be opened or inspected and may be read with the approval of the security director.
- The MOU with the Dane County Rape Crisis Center was reviewed and it shows they will provide safe and confidential emotional support, accompaniment, crisis intervention, information and referral to victims of sexual abuse in confinement as required by the PREA.
- Site review observations:
  - PREA signage was seen in the living units that included information on how to call or write the local rape crisis center. The information was current, accurate and consistent throughout the facility. The audit team tested the phones in both units and we were able to call the rape crisis line (#999) without entering a PIN and the call was answered by an advocate. During informal interviews with inmates they stated access to the phone is good and they had no complaints about access. They also stated they mainly use their tablets for calls.
- Interview with an advocate from the rape crisis center:
  - The audit team contacted the Dane County Rape Crisis Center (RCC sexual violence resource center) by phone and spoke with an advocate. She stated they are available to provide victim advocacy and emotional support services to inmates in TCC. She verified they have a phone line that is answered and would respond to Meriter Hospital when requested.
- Interviews with random inmates:
  - Of the 21 PIOC interviewed all stated they were aware of services available outside of the facility for dealing with sexual abuse if needed. Several mentioned there is a number on the poster and some mentioned their social worker had talked about it as well.
- The audit team saw posters throughout the facility with advocacy information on it and they are provided information on the local RCC in the inmate handbook during orientation.

The auditor finds the agency/facility in full compliance with PREA Provision §115.53 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.

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| <b>115.54</b> | <b>Third-party reporting</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|               | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|               | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Agency Third Party Reporting poster (English and Spanish)</li> <li>• Reporting information on the WIDOC website</li> </ul> <p>Site Review Observations</p> <p>Findings:</p> <p>115.54(a):</p> <ul style="list-style-type: none"> <li>• The agency third party reporting poster was viewed by the auditor and it contains information on who a third party can report on behalf of an inmate including, telling any staff person, on their website at <a href="http://www.doc.wi.gov">www.doc.wi.gov</a>, or contacting local law enforcement.</li> <li>• The agency website was reviewed and this auditor verified it contacts reporting information for community members. A test was sent using the report by email on the website and an email was received from the PREA office verifying they received the test report. From the time the test was sent it only took approximately 45 minutes to receive the reply. The email stated that once a report is received in this manner, the PREA office creates a report and distributes the reported information electronically to the appropriate individuals at the facility for action and follow-up.</li> <li>• Site review observations: <ul style="list-style-type: none"> <li>◦ During the site review the audit team observed PREA posters in various areas around the facility.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.54 based upon analysis of all available evidence including the documentation provided and the site review.</p> |

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| <b>115.61</b> | <b>Staff and agency reporting duties</b>             |
|               | <b>Auditor Overall Determination:</b> Meets Standard |
|               | <b>Auditor Discussion</b>                            |

Documentation reviewed:

- TCC PAQ
- Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22

Interviews Conducted:

- Random staff
- Medical staff
- Warden
- PREA Director

Site Review Observations

Findings:

115.61(a):

- ED 72 states:
  - "Staff members shall accept reports made verbally, in writing, anonymously, and from third parties; promptly document any verbal reports and immediately report:
    - a. Any knowledge, suspicion or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the DOC; b. Any incidents of retaliation against PIOC's or staff who reported such an incident; and/or c. Any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation."
- Site review observations:
  - During the site review the audit team informally talked to staff and asked about what they would do if they were to witness a possible incident of sexual abuse and they said that they would separate those involved and report it to the shift supervisor.
- Interviews with random staff:
  - Twelve random staff were asked if they are required to report any knowledge, suspicion, or information regarding sexual abuse, sexual harassment, retaliation, or other information about sexual abuse or sexual harassment all answered yes.

115.61(b):

- ED 72 states:
  - "Staff members shall not reveal any information related to a sexual abuse or sexual harassment report to anyone other than to supervisors, investigators and designated officials. Such information

shall be limited to information necessary to make treatment, investigation and other security and management decisions.”

- Interviews with random staff:
  - Twelve random staff were asked if they are required to report any knowledge, suspicion, or information regarding sexual abuse, sexual harassment, retaliation, or other information about sexual abuse or sexual harassment all answered yes. They further stated that they knew to preserve the crime scene (if applicable), separate the victim and suspect and notify their supervisor.

115.61(c):

- ED 72 states:
  - “Medical and mental health practitioners shall be required to report sexual abuse and to inform PIOC’s of the practitioner’s duty to report, and the limitations of confidentiality, at the initiation of services.”
- Interviews with medical staff:
  - A medical staff was interviewed and stated she is required to notify security staff if they have any knowledge of an incident of sexual abuse or harassment but that she has not become aware of any such incidents while in DOC.

115.61(d):

- ED 72 states:
  - “If the alleged victim is under the age of 18 or considered a vulnerable adult in accordance with State or local statute, the DOC shall report the allegation to the designated State or local services agency under applicable mandatory reporting laws.”
- As TCC does not house PIOC’s under the age of 18, this section would only apply to vulnerable adults. Since local law enforcement conducts all criminal investigations, they would be responsible for completing any necessary mandatory reports. Wisconsin State Legislature, Criminal code 940.285 Abuse of individuals at risk defines the following: “Adult at risk” 55.01 (1e): means any adult who has a physical or mental condition that substantially impairs his or her ability to care for his or her needs and who has experienced, is currently experiencing or is at risk of experiencing abuse, neglect, self-neglect, or financial exploitation “Elder adult at risk” (46.90 (1) (br): means any person age 60 or older who has experienced, is currently experiencing or is at risk of experiencing abuse, neglect, self-neglect, or financial exploitation
- Interview with the Warden:
  - The Warden stated that TCC would not have anyone under 18 nor anyone with high needs but all incidents would be reported to law enforcement.
- Interview with the PREA Director:
  - The PREA Director stated that TCC does not house any under 18. She

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|  | <p>stated that incidents involving vulnerable adults may be reported to adult protective services as well as reported to local law enforcement.</p> <p>115.61(e):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “All allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, shall be referred for investigation.”</li> </ul> </li> <li>• Interview with the Warden: <ul style="list-style-type: none"> <li>◦ The Warden said that all incidents are immediately reported to investigators.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.61 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| 115.62 | Agency protection duties                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
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|        | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|        | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|        | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Agency Head</li> <li>• Warden</li> <li>• Random staff</li> </ul> <p>Findings:</p> <p>115.62(a):</p> <ul style="list-style-type: none"> <li>• The TCC PAQ reported that they have had no incidents in the past 12 months when the agency or facility determined that an inmate was subject to substantial risk of imminent sexual abuse.</li> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “When the department or facility learns that a PIOC is subject to a</li> </ul> </li> </ul> |

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|  | <p>substantial risk of imminent sexual abuse, it shall take immediate action to protect the PIOC.”</p> <ul style="list-style-type: none"> <li>• Interview with the agency head(designee): <ul style="list-style-type: none"> <li>◦ The agency head designee stated that if they learn that an inmate is subject to substantial risk of imminent sexual abuse that they will review housing and evaluate if a unit or facility move is needed. She further stated they try to remove the alleged perpetrator rather than the victim when possible and will talk to the victim to ensure they feel safe in their current environment.</li> </ul> </li> <li>• Interview with the Warden: <ul style="list-style-type: none"> <li>◦ The Warden stated that he would remove them from any area where they may be in danger to ensure they are safe, investigate the claim and move PIOC's if needed.</li> </ul> </li> <li>• Interviews with random staff: <ul style="list-style-type: none"> <li>◦ Twelve random staff were interviewed and stated they would separate/ remove the victim from the area and notify the supervisor and investigator, they further stated this would be done immediately.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.62 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| <b>115.63</b> | <b>Reporting to other confinement facilities</b>                                                                                                                                                                                                                                                                                                                                                                                                            |
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|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                        |
|               | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|               | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• DOC-2933 Agency to agency report template</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Agency Head</li> <li>• Warden</li> </ul> <p>Findings:</p> <p>115.63(a-b):</p> |

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|  | <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “Within 72 hours of receiving an allegation that a PIOC was the victim of sexual abuse while confined at another facility, the information shall be reported by the head, or designee, of the facility to the head, or designee, of the facility where the alleged abuse occurred.”</li> </ul> </li> <li>• The TCC PAQ reports that in the past 12 months, they have had no allegations received that an inmate was abused while confined at another facility. They further reported that their response to these allegations are: “Gather information, notify supervisor and compliance manager, submit an incident report, refer the allegation to the head of the facility of the alleged abuse within 72 hours; assist with investigation as needed.”</li> <li>• The blank notification (DOC-2933) was reviewed and shows what information would be sent if an allegation was received.</li> </ul> <p>115.63(c-d):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “All notifications shall be documented and the appointing authority that receives such notification shall ensure that the allegation is investigated.”</li> </ul> </li> <li>• The Agency to agency report template provides a standard form to be used when an allegation is received that contains the necessary information and is to be completed by the facility head.</li> <li>• The TCC PAQ reports that they have received no allegations of sexual abuse from other facilities in the past 12 months.</li> <li>• Interview with the Agency Head(designee): <ul style="list-style-type: none"> <li>◦ She stated that when another agency, or facility, is notified of an allegation they ensure an investigation is completed and local law enforcement is contacted.</li> </ul> </li> <li>• Interview with the Warden: <ul style="list-style-type: none"> <li>◦ The Warden stated that if they receive an allegation from another facility or agency they would conduct an investigation including interviewing any involved parties. He further stated that they have not received any such allegations in the past year.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.63 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| <b>115.64</b> | <b>Staff first responder duties</b>                  |
|               | <b>Auditor Overall Determination:</b> Meets Standard |

## Auditor Discussion

### Documentation reviewed:

- TCC PAQ
- Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22
- Agency First Responder Card-Security, Non-Security and Healthcare
- DOC-2981 Sexual Abuse Response Checklist

### Interviews Conducted:

- Security staff and non-security staff first responders
- Random staff

### Site Review Observations

### Findings:

#### 115.64(a):

- ED 72 states:
  - “Upon learning of an allegation that a PIOC was sexually abused, the first security staff member to respond to the report shall, at a minimum: a. Separate the alleged victim and abuser; b. Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence; c. If the abuse occurred within a time period that still allows for the collection of physical evidence, request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking or eating; and d. If the abuse occurred within a time period that still allows for the collection of physical evidence, ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking or eating.”
- DOC-2981 is the Sexual Abuse response checklist and includes definitions for sexual abuse, first responder duties, how to communicate with the victim, information for the responding supervisor, SANE transport checklist and PREA kit information (if appropriate).
- Agency First Responder Card-Security, Non-Security and Healthcare were reviewed and contain appropriate action steps for the different types of staff on what to do as a first responder.
- The TCC PAQ reported that in the past 12 months, no allegations of sexual abuse were received.
- Interviews with staff first responders:
  - Two staff who may/have acted as first responders were interviewed

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|  | <p>and both stated that they would separate the victim from the suspect, secure the crime scene and make appropriate notifications.</p> <ul style="list-style-type: none"> <li>• No PIOC at TCC reported sexual abuse in the past 12 months so no targeted interviews for this category were able to be conducted.</li> </ul> <p>115.64(b):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “If the first staff responder is not a security staff member, the responder shall request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff.”</li> </ul> </li> <li>• The TCC PAQ reported that in the past 12 months, the number of times a non-security staff member was the first responder was zero.</li> <li>• Interviews with random staff: <ul style="list-style-type: none"> <li>◦ Twelve random staff from across all shifts were asked what they would do if they were the first person to be alerted that an inmate has been the victim of sexual abuse and they stated they would ask them not to take any actions to destroy evidence, separate them to ensure safety and notify a supervisor.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.64 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| 115.65 | Coordinated response                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
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|        | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|        | <p><b>Auditor Discussion</b></p> <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• DAI Policy 410.50.06 Coordinated Response Plan, dated 01/24/22</li> <li>• TCC Coordinated Response Plan dated 02/11/16</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Warden</li> </ul> <p>Findings:</p> <p>115.65(a):</p> |

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|  | <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “Each facility shall develop a written institutional plan to coordinate actions taken in response to an incident of sexual abuse, among staff first responders, medical and mental health practitioners, investigators and facility leadership.”</li> </ul> </li> <li>• DAI policy 410.50.06 provides guidelines for each facility to develop and maintain a written response plan to coordinate actions taken in response to an incident of sexual abuse.</li> <li>• The TCC coordinated response plan was reviewed and it includes all the standards requirements for staff first responders. It includes actions for first responders to take for incident of sexual abuse as well as a report of imminent sexual abuse. There are multiple steps listed based on position (security first responder, security supervisor, PCM, medical staff, mental health staff, VSC, investigator, appointing authority and incident review team). It also includes contact information for these different positions and multiple flow-charts staff can use.</li> <li>• Interview with the Warden: <ul style="list-style-type: none"> <li>◦ He stated that yes, TCC has a coordinated action plan for response to an incident of sexual abuse and that it is reviewed yearly and training is provided as well as staff are given PREA cards to help remind them of the actions they need to take.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.65 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| <b>115.66</b> | <b>Preservation of ability to protect inmates from contact with abusers</b>                                                                                                         |
|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                |
|               | <b>Auditor Discussion</b>                                                                                                                                                           |
|               | Documentation reviewed: <ul style="list-style-type: none"> <li>• TCC PAQ</li> </ul> Interviews Conducted: <ul style="list-style-type: none"> <li>• Agency Head</li> </ul> Findings: |

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|  | <p>115.66(a): The TCC PAQ reports that the agency, facility, or any other governmental entity response for collective bargaining on the agency's behalf has not entered into or renewed any collective bargaining agreements, therefore this standard is not applicable.</p> <ul style="list-style-type: none"> <li>• In the interview with the Agency Head(designee) she confirmed that WIDOC does not have collective bargaining.</li> </ul> <p>115.66(b): Auditor is not required to audit this provision.</p> <p>This standard does not apply as the agency does not have collective bargaining.</p> |
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| <b>115.67</b> | <b>Agency protection against retaliation</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|               | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|               | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• DAI Policy 410.50.04 Support Services and Retaliation Monitoring, dated 10/18/21</li> <li>• DOC-2805 Sexual Abuse Allegation Staff Retaliation Monitoring form</li> <li>• DOC-2767 Sexual Abuse Incident Victim Services Coordinator Response Checklist</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Agency Head</li> <li>• Warden</li> <li>• Designated staff members charged with monitoring retaliation</li> </ul> <p>Site Review Observations</p> <p>Findings:</p> <p>115.67(a-b):</p> <ul style="list-style-type: none"> <li>• The TCC PAQ reported that they have two retaliation monitors.</li> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ "Each facility shall designate a staff member(s) to monitor retaliation to ensure that all PIOC's and staff involved in the reporting or</li> </ul> </li> </ul> |

investigation of sexual abuse and/or sexual harassment are protected.”

- DAI policy 410.50.04 states:
  - “The Division of Adult Institutions shall provide support services and retaliation monitoring to all alleged inmate victims of sexual abuse.” It further states: “A. Following a report of sexual abuse, the VSC shall monitor the conduct and treatment of the reporter and alleged victim once every 30 days for at least 90 days. Monitoring efforts shall continue beyond 90 days if there is a continuing need. If the report is determined to be unfounded, efforts to monitor retaliation may be discontinued. B. In addition to monthly conversations with the reporter and victim, items to monitor include any inmate disciplinary reports, housing or program changes. C. The VSC shall work with appropriate supervisors to promptly remedy retaliation concerns and document actions taken.”
- DOC-2805 Sexual Abuse Allegation Staff Retaliation Monitoring form includes information on what to monitor for (performance reviews or reassignments) and DOC- 2767 Sexual Abuse Incident Victim Services Coordinator Response Checklist includes what needs to be monitored for PIOC.
- Interview with the Agency Head(designee):
  - When asked how the protects inmates and staff from retaliation she stated they follow ED 72 which mandates zero tolerance of any retaliation. She further stated that retaliation is monitored by the victim services coordinator for at least 90 days and that monitoring includes housing changes.
- Interview with the Warden:
  - The Warden stated that they have zero-tolerance for any acts of retaliation and that they would conduct interviews and investigate if any retaliation was suspected.
- Interview with the designated staff member charged with retaliation monitoring:
  - The retaliation monitor stated that when a report is made she would follow up with the PIOC at 30 days, 60 days and 90 days. She would look for housing changes or signs of possible retaliation.
- No PIOC at TCC reported sexual abuse in the past 12 months so no targeted interviews for this category were able to be conducted.
- There were no inmates in Segregated housing for risk of sexual victimization/ who allege to have suffered sexual abuse to be interviewed.

115.67(c-d):

- ED 72 states:
  - “For at least 90 days following a report of sexual abuse, the designated facility-based employee(s) shall monitor the conduct and treatment of the staff member(s) who reported the sexual abuse to determine if retaliation occurred. Monitoring shall be documented and

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|  | <p>may include reviews, performance evaluation or work reassignments. Employees shall act promptly to remedy any such retaliation. Monitoring beyond 90 days shall continue if the initial monitoring indicates continuing need.”</p> <ul style="list-style-type: none"> <li>◦ ED 72 further states “For at least 90 days following a report of sexual abuse, the facility’s victim services coordinator, or designee, shall monitor the conduct and treatment of the PIOC(s) who reported the sexual abuse and the PIOC(s) who was reported to have experienced sexual abuse to determine if retaliation occurred. Monitoring shall include documented periodic status checks.”</li> <li>• The TCC PAQ reported that no incidents of retaliation have occurred in the past 12 months.</li> <li>• Interview with the Warden: <ul style="list-style-type: none"> <li>◦ The Warden stated that if retaliation is suspected they would initiate another investigation if needed.</li> </ul> </li> <li>• Interview with the designated staff member charged with retaliation monitoring: <ul style="list-style-type: none"> <li>◦ The monitor stated she reviews items such as phone calls, changes in behavior, negative comments by staff, cell changes or disciplinary reports.. She monitors for at least 90 days and it could be longer depending on the needs of the PIOC and also meets with them in person.</li> </ul> </li> </ul> <p>115.67(e):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “For PIOC(s) or staff members who express fear of retaliation, the facility shall take appropriate protective measures.”</li> </ul> </li> <li>• Interview with the Agency head(designee): <ul style="list-style-type: none"> <li>◦ She stated that the same measures would be taken if an individual expresses fear of retaliation, including reviewing housing assignments as well as staff assignments.</li> </ul> </li> </ul> <p>115.67(f): Auditor is not required to audit this provision.</p> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.67 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| <b>115.68</b> | <b>Post-allegation protective custody</b>            |
|               | <b>Auditor Overall Determination:</b> Meets Standard |
|               | <b>Auditor Discussion</b>                            |

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|  | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• DOC-30 Review of Inmate in Restrictive Housing form</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Warden</li> </ul> <p>Site Review Observations</p> <p>Findings:</p> <p>115.68(a):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “Any use of restricted status housing to protect a PIOC who is alleged to have suffered sexual abuse shall be subject to the requirements of §115.43 and §115.343 as found within placement (section XIII).”</li> </ul> </li> <li>• DOC-30 Review of Inmate in Restrictive Housing form is used to document when a PIOC is placed in restrictive housing (RH) and includes a timeframe (30 days) on when the next review must be completed.</li> <li>• The TCC PAQ reported that there have been no incidents in the past 12 months where an inmate who alleged to have suffered sexual abuse was held in involuntary segregated housing.</li> <li>• Site review observations: <ul style="list-style-type: none"> <li>◦ During the site review it was verified that TCC does not have a segregation unit or cells.</li> </ul> </li> <li>• Interview with the Warden: <ul style="list-style-type: none"> <li>◦ He said that per policy temporary lock up would be for no longer than 21 days and normally a victim would not be placed in involuntary segregated housing.</li> </ul> </li> <li>• As TCC does not have segregated housing no specialized staff were able to be interviewed.</li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.68 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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**Auditor Overall Determination:** Meets Standard

**Auditor Discussion**

Documentation reviewed:

- TCC PAQ
- Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22
- DAI Policy 306.00.15 Inmate Investigations, dated 09/07/23
- Notification for expansion of the Internal Affairs Office (IAO) email dated 07/01/21
- Wisconsin Department of Corrections Human Resources Policy 200.30.304 Employee Disciplinary Investigations, dated 10/28/20
- DAI Policy 303.00.05 Law Enforcement Referrals, dated 02/22/21
- Agency SINC user guide
- State of Wisconsin, Department of Administration, Records Retention

Interviews Conducted:

- Investigative staff
- Warden
- PREA Director
- PREA Compliance Manager

Site Review Observations

Findings:

115.71(a):

- ED 72 states:
  - ““The DOC shall ensure that an investigation is completed for all allegations of sexual abuse and sexual harassment, including those received from third-parties and anonymous sources. DOC shall maintain a policy(ies) that governs the conduct of such investigations. The facility shall request an investigation case number within 72 hours of learning of a sexual abuse or sexual harassment incident or allegation.”
- DAI policy 306.00.15 outlines the inmate investigations process including that investigations are to be conducted promptly, thoroughly and objectively.
- The notification for expansion of the Internal Affairs Office (IAO) was sent to DOC leadership as a notice that four corrections investigators were added to enhance the agency’s ability to provide fair, impartial, thorough and timely investigations.
- Human resources policy 200.30.304 outlines employee disciplinary investigations process.

- DAI policy 303.00.05 covers the process for law enforcement referrals including that all contacts with law enforcement agencies shall be tracked and documented.
- The SINC (sensitive investigation network communication) user guide covers how to use SINC as well as the roles of the different positions, including the investigator.
- Interview with investigative staff:
  - A facility administrative investigator was interviewed. He stated that he would initiate an investigation as soon as possible. They further reported that reports made by third parties or anonymously are handled the same as any report.

115.71(b):

- Interviews with investigative staff:
  - An administrative investigator was interviewed and stated he has received training specific to conducting investigations in confinement which included techniques for interviewing sexual abuse victims and evidence collection in confinement.

115.71(c-g):

- ED 72 states:
  - "Investigators shall preserve and/or collect direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data; shall interview alleged victims, suspected perpetrators and witnesses; and shall review prior complaints and reports of sexual abuse involving the suspected perpetrator. The credibility of an alleged victim, suspect or witness shall be assessed on an individual basis and shall not be determined by the person's status as PIOC or staff member. The DOC shall not require a PIOC who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding with the investigation of such an allegation. Administrative investigations shall include an effort to determine whether staff actions or failures to act contributed to the abuse"
- Interviews with investigative staff:
  - The investigator interviewed said that the first steps would include reviewing the report, interviewing the victim, perpetrator and any witnesses. He would review any evidence, such as cameras, witness statements and anything else that is relevant. He stated he judges credibility independently and not on their status as a PIOC or staff. Criminal investigations are documented by the law enforcement agency.
- No PIOC at TCC reported sexual abuse in the past 12 months so no targeted interviews for this category were able to be conducted.

- Site review observations:
  - No paper investigation files were observed during the site review; all documents were stored electronically.

115.71(h):

- ED 72 states:
  - “Allegations of sexual abuse or sexual harassment that involve potentially criminal behavior shall be referred for investigation to local law enforcement. All referrals to law enforcement shall be documented. The policy describing such referrals, in addition to the investigative responsibilities of the DOC and local law enforcement, shall be published and maintained on the DOC’s website.”
- The TCC PAQ reported that law enforcement is responsible for referring potentially criminal conduct for prosecution. They further reported that there has been no substantiated allegations involving potentially criminal conduct during the review period that were referred for prosecution.
- Interviews with investigative staff:
  - He stated that any referral for prosecution would be done by the sheriffs department.

115.71(i):

- The State of Wisconsin, Department of Administration, Records Retention was reviewed and it states that “although the investigation is complete and closed the retention of PREA records mandate retention until event plus 5 years.”
- As no reports of sexual abuse or sexual harassment were received in the past 12 months no investigations were able to be reviewed.

115.71(j):

- Interview with the investigator:
  - He stated they would do their best to continue the investigation.

115.71(k):

- Auditor is not required to audit this provision.

115.71(l):

- Interview with the Warden/PREA Compliance Manager::
  - The Warden/PCM stated that they maintain a relationship with Dane

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|  | <p>County and would contact any other agency if needed.</p> <ul style="list-style-type: none"> <li>• Interview with the PREA Director: <ul style="list-style-type: none"> <li>◦ She stated that the facility investigators would conduct the administrative case parallel to the local law enforcement detective conducting their investigation.</li> </ul> </li> <li>• Interview with Investigative staff: <ul style="list-style-type: none"> <li>◦ He stated that they assist outside law enforcement as needed, including helping schedule interviews and collecting evidence.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.71 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| 115.72 | Evidentiary standard for administrative investigations                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
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|        | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|        | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|        | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• DAI Policy 306.00.15 Inmate Investigations, dated 05/17/21</li> <li>• Wisconsin Department of Corrections Human Resources Policy 200.30.304 Employee Disciplinary Investigations, dated 10/28/20</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Investigative staff</li> </ul> <p>Findings:</p> <p>115.72(a):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “The DOC shall impose no standard higher than a preponderance of evidence in determining whether the allegation of sexual abuse or sexual harassment are substantiated.”</li> </ul> </li> <li>• DAI policy 306.00.15 states: <ul style="list-style-type: none"> <li>◦ “The Warden/designee may return the packet for further investigation or shall recommend a substantiated, unsubstantiated, or unfounded disposition based upon a preponderance of evidence standard. The</li> </ul> </li> </ul> |

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|  | <p>entire file shall be submitted, via SINC, to the PREA office for a final review and disposition.”</p> <ul style="list-style-type: none"> <li>• Human Resources Policy 200.30.304 states: <ul style="list-style-type: none"> <li>◦ “No standard higher than a preponderance of evidence shall be used to determine whether allegations are substantiated.”</li> </ul> </li> <li>• Interview with investigative staff: <ul style="list-style-type: none"> <li>◦ The investigator stated that this determination is made by the PREA office.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.72 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| 115.73 Reporting to inmates |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
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|                             | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|                             | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|                             | <p>Documentation reviewed:</p> <p>TCC PAQ<br/> Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22<br/> Agency PREA Investigation Notification DOC-2768 - substantiated findings<br/> Agency PREA Investigation Notification DOC-2768A - unsubstantiated findings<br/> Agency PREA Investigation Notification DOC - 2768B - unfounded findings<br/> Agency PREA Investigation Notification DOC-2768C - Report does not constitute sexual abuse or sexual harassment</p> <p>Interviews Conducted:</p> <p>Warden<br/> Investigative staff</p> <p>Findings:</p> <p>115.73(a-b):</p> <ul style="list-style-type: none"> <li>• The TCC PAQ reported that no administrative and/or criminal investigations of alleged sexual abuse and sexual harassment were initiated in the past 12</li> </ul> |

months.

- ED 72 states:
  - “Following an investigation of an allegation that a PIOC suffered sexual abuse in a DOC facility, the facility shall inform the alleged victim, and document such notification, as to whether the allegation has been determined to be substantiated, unsubstantiated or unfounded. If the DOC did not conduct the investigation, it shall request the relevant information from the investigative agency in order to inform the alleged victim. The DOC’s obligation to report shall terminate if the alleged victim is released from custody.”
- Templates of notifications (2768, A, B and C) for substantiated, unsubstantiated and unfounded incidents were reviewed as well as a template that can be sent to advise the PIOC that the report does not constitute sexual abuse or sexual harassment as defined by PREA.
- Interview with the Warden/PCM:
  - The Warden stated that the victims receive notification following an investigation from the PREA office.
- Interview with investigative staff:
  - The investigator said that the notification is completed by the central PREA office.
- No PIOC at TCC reported sexual abuse in the past 12 months so no targeted interviews for this category were able to be conducted.
- As there were no sexual abuse reports in the past 12 months no notifications were required.

115.73(c-e):

- ED 72 states:
  - “Following a substantiated or unsubstantiated allegation of staff-on-PIOC sexual abuse the DOC shall inform the alleged victim, and document such notification, whenever the staff member is no longer posted within the alleged victim’s unit; the staff member is no longer employed at the facility; or the DOC learns that the staff member has been indicted or convicted on a charge related to the initial allegation of sexual abuse.”
- Sample outcome notifications were reviewed for cases involving staff which include checkboxes where the agency can indicate if the staff member is no longer posted within the assigned living unit, no longer employed at the facility or indicted or convicted on a charge relates to sexual abuse committed within the facility.

115.73(f): Auditor is not required to audit this provision.

The auditor finds the agency/facility in full compliance with PREA Provision §115.73 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.

| 115.76 | Disciplinary sanctions for staff                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
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|        | <p data-bbox="256 185 959 219"><b>Auditor Overall Determination:</b> Meets Standard</p> <p data-bbox="256 264 544 297"><b>Auditor Discussion</b></p> <p data-bbox="256 342 624 376">Documentation reviewed:</p> <ul data-bbox="331 443 1465 600" style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• WIDOC, Executive Directive 2 (ED 2): Employee Discipline, dated 01/14/19</li> </ul> <p data-bbox="256 645 384 678">Findings:</p> <p data-bbox="256 723 408 757">115.76(a):</p> <ul data-bbox="331 824 1465 1059" style="list-style-type: none"> <li>• ED 72 states: <ul data-bbox="437 857 1422 981" style="list-style-type: none"> <li>◦ “Employees who are found to have violated the DOC sexual abuse, sexual harassment and retaliation policies shall be subject to disciplinary sanctions up to and including termination.”</li> </ul> </li> <li>• ED 2 “establishes the framework under which the Department may fairly and consistently impose discipline.”</li> </ul> <p data-bbox="256 1104 408 1137">115.76(b):</p> <ul data-bbox="331 1205 1465 1529" style="list-style-type: none"> <li>• The TCC PAQ reported that they have had no incidents in the past 12 months where staff have violated agency sexual abuse or sexual harassment policies.</li> <li>• ED 72 states: <ul data-bbox="437 1328 1358 1406" style="list-style-type: none"> <li>◦ “Termination is the presumptive sanction for an employee who engaged in sexual abuse.”</li> </ul> </li> <li>• As there were no staff who were reported to have violated agency sexual abuse or sexual harassment policies in the last 12 months there were no records to review.</li> </ul> <p data-bbox="256 1574 408 1608">115.76(c):</p> <ul data-bbox="331 1675 1465 1877" style="list-style-type: none"> <li>• ED 72 states: <ul data-bbox="437 1709 1465 1877" style="list-style-type: none"> <li>◦ “Sanctions shall be commensurate with the nature and circumstances of the violation, the staff member’s disciplinary history and the sanctions imposed for comparable offenses by other staff with similar histories.”</li> </ul> </li> </ul> <p data-bbox="256 1921 408 1955">115.76(d):</p> <ul data-bbox="331 2022 555 2056" style="list-style-type: none"> <li>• ED 72 states:</li> </ul> |

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|  | <ul style="list-style-type: none"> <li>◦ “All terminations for violations of the DOC sexual abuse and sexual harassment policies, including resignations that would have resulted in termination if not for the resignation, shall be reported to any relevant licensing bodies.”</li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.76 based upon analysis of all available evidence including the documentation provided.</p> |
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| <b>115.77</b> | <b>Corrective action for contractors and volunteers</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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|               | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|               | <p><b>Auditor Discussion</b></p> <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Warden</li> </ul> <p>Findings:</p> <p>115.77(a-b):</p> <ul style="list-style-type: none"> <li>• ED 72 states:</li> <li>• “Any volunteer or contractor who engages in sexual abuse shall be prohibited from contact with PIOC’s and shall be reported to relevant licensing bodies. Appropriate remedial measures shall be taken by the facility to ensure the safety of PIOC’s in contact with volunteers and contractors.”</li> <li>• The TCC PAQ reported that there have been no incidents in which a contractor or volunteer were reported to law enforcement agencies or relevant licensing bodies.</li> <li>• There were no investigations or complaints regarding any contractors or volunteers in the past 12 months so there were no investigations to review.</li> <li>• Interview with the Warden: <ul style="list-style-type: none"> <li>◦ He stated that in case of any violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer they would be reported to outside law enforcement and removed from contact with any PIOC.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.77</p> |

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|  | based upon analysis of all available evidence including the documentation provided and interviews conducted. |
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| <b>115.78</b> | <b>Disciplinary sanctions for inmates</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|               | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|               | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>• Department of Corrections, Chapter DOC 303.01, Discipline</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• Warden</li> </ul> <p>Findings:</p> <p>115.78(a):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “PIOCs who have committed PIOC-on-PIOC sexual abuse are subject to disciplinary sanctions pursuant to a formal disciplinary process.”</li> </ul> The TCC PAQ reported that in the past 12 months, there has been no administrative findings of inmate-on-inmate sexual abuse and no criminal findings of guilt for inmate-on-inmate sexual abuse</li> </ul> <p>115.78(b):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “Sanctions shall be commensurate with the nature and circumstances of the violation, the PIOC’s disciplinary history and the sanctions imposed for comparable offenses by other PIOCs with similar histories.”</li> </ul> </li> <li>• Interview with the Warden: <ul style="list-style-type: none"> <li>◦ He stated that any disciplinary sanctions are based on the incident and that mental disability or mental illness would be considered when determining sanctions.</li> </ul> </li> </ul> <p>115.78(c):</p> |

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|  | <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “The disciplinary process shall consider whether a perpetrating PIOC’s mental disabilities or mental illness contributed to their behavior when determining what type of sanction, if any, should be imposed.”</li> </ul> </li> </ul> <p>115.78(d):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “The facility shall consider requiring perpetrating PIOC’s to participate in interventions, such as therapy or counseling, to address and correct underlying reasons or motivations for the abuse.”</li> </ul> </li> <li>• There are no mental health staff at TCC so no specialized interviews were able to be conducted.</li> </ul> <p>115.78(e):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “A PIOC may only be disciplined for sexual contact with a staff member upon a finding that the staff member did not consent to such contact.”</li> </ul> </li> </ul> <p>115.78(f):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “Reports of sexual abuse or sexual harassment made in good faith based upon a reasonable belief that the alleged conduct occurred shall not constitute falsely reporting an incident or lying, even if an investigation does not establish evidence to substantiate the allegation.”</li> </ul> </li> </ul> <p>115.78(g):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “While consensual sexual activity between PIOC’s is prohibited in the DOC facilities, the DOC may not deem consensual sexual activities as sexual abuse if it is determined that the activity is not coerced.”</li> </ul> </li> <li>• Department of Corrections, Chapter DOC 303.01, Discipline, outlines the infractions for inmates for sexual conduct.</li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.78 based upon analysis of all available evidence including the documentation provided and interviews conducted.</p> |
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**Auditor Overall Determination:** Meets Standard

**Auditor Discussion**

Documentation reviewed:

- TCC PAQ
- Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22
- DAI Policy 500.70.01 Mental Health Screening, Assessment and Referral, dated 04/01/24
- DAI Policy 410.30.01 Screening for Risk of Sexual Abusiveness and Sexual Victimization, dated 05/24/21
- Screenshot of the Agency Electronic Medical Record
- Non-Health Disclosure Form - DOC-1163 (blank)
- Confidentiality Form -DOC-1923 (blank)
- PHI Disclosure Form - DOC-1163A (blank)
- DOC-2781B PREA Screening Tool – Adult Male Facility
- DOC-3473E PSU PREA Follow-Up Note (blank)

Interviews Conducted:

- Inmates who Disclose Sexual Victimization at Risk Screening
- Staff Responsible for Risk Screening
- Medical Staff

Site Review Observations

Findings:

115.81(a-b):

- ED 72 states:
  - “If the intake screening, transfer screening or rescreening indicates a PIOC has previously experienced prior sexual victimization, whether it occurred in an institutional or community setting, employees setting, staff shall ensure the PIOC is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the screening. If the screening indicates a PIOC has previously perpetrated sexual abuse, whether in an institutional or community setting, staff shall ensure the PIOC is offered a follow-up meeting with a mental health practitioner within 14 days of the screening.”
- DAI policy 500.70.01 outlines the PREA referral process for PSU staff.
- The Agency Risk Screening Referral shows that if an inmate answers yes to having ever been a victim of unwanted or abusive sexual contact (either in the community or while confined) the person completing the form and then select Yes or No for it they accepted a referral to medical or mental health,

including the date the referral was made. It also shows that if an inmate states they have had sexual contact with someone without their consent or because they forced, coerced or threatened them are offered a referral to medical or mental health.

- The Screenshot of the Agency Electronic Medical Record shows that one of the reasons for visit that can be selected is PREA follow-up.
- DOC-2781B PREA Screening Tool – Adult Male Facility shows that is they answer yes to having ever been the victim of unwanted or abusive sexual contact or to having sexual contact in confinement with someone without their consent or because they forced, coerced or threatened them that they are to be offered a referral to mental health. If they accept the offer, it states to complete a DOC-3183.
- DOC-3473E PSU PREA Follow-Up Note shows what notes PSU can leave during a follow-up meeting with a PIOC.
- The TCC PAQ reports that no inmates who disclosed prior victimization accepted a referral to PSU.
- Site review observations:
- During the site review the audit team observed that records are kept electronically.
- Interviews with inmates who disclosed victimization during risk screening:
  - Three inmates were interviewed who disclosed victimization during risk screening and two of them stated they were offered a referral to PSU but both stated they declined the offer.
- Interviews of staff responsible for risk screening:
  - A staff member who completes the screening was interviewed and she verified that if an inmate indicates they have either experienced sexual victimization (either in the community or an institutional setting) or if they have previously perpetrated sexual abuse, he offers a follow up meeting with PSU. In their computer system it prompts her to offer and if they say yes the referral is entered immediately and they are seen within 14 days.

115.81(c): Not applicable as the facility is not a jail.

115.81(d):

- DAI policy 410.30.01 states:
  - “Information related to sexual victimization or abusiveness occurring in a confinement setting shall be confidential and strictly limited to medical and mental health clinicians and other employees, as necessary, to inform treatment plans and security and management decisions, including, but not limited to: housing, bed, work, education and program assignments.”
- During the site review security staff were asked if they had access to medical or mental health records and all stated they did not. They further stated that they do not have access to the risk assessments, just staff who do the

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|  | <p>screening have access.</p> <p>115.81(e):</p> <ul style="list-style-type: none"> <li>ED 72 states: <ul style="list-style-type: none"> <li>“Medical and mental health practitioners shall obtain informed consent from PIOC before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the PIOC is under the age of 18.”</li> </ul> </li> <li>Non-Health Disclosure Form - DOC-1163, Confidentiality Form -DOC-1923, PHI Disclosure Form - DOC-1163A all explain the limits to confidentiality of health information and require an inmate’s signature before treatment is provided.</li> <li>Interviews with medical staff: <ul style="list-style-type: none"> <li>One medical staff was interviewed and she confirmed that TCC does not hold inmates under the age of 18 and if they learned of prior sexual abuse they would refer them to PSU.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.81 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| 115.82 | Access to emergency medical and mental health services                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
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|        | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|        | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|        | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>TCC PAQ</li> <li>Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>DAI Policy 500.30.19 Sexual Abuse-Health Services Unit Procedure in the Even of Sexual Abuse, dated 11/21/23</li> <li>DAI Policy 500.70.01 Mental Health Screening, Assessment and Referral, dated 04/01/24</li> <li>DAI 316.00.01-Inmate Co-Payment for Health Services (attachment)</li> <li>Off-Site Service request and report, DOC 3001 (blank)</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>Medical staff</li> </ul> |

- Staff who have acted as first responders

#### Site Review Observations

#### Findings:

##### 115.82(a):

- ED 72 states:
  - “Victims of sexual abuse shall receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment.”
- DAI policy 500.30.19 outlines services for sexual abuse including:
  - “The medical plan of care shall include: 1. timely and unimpeded access to emergency medical treatment without cost to the PIOC 2. transfer to offsite for a SANE assessment when determined evidentiarily or medically appropriate by health care staff in consultation with the SANE 3. Contact the PSU supervisor/designee or on-call clinician to initiate mental health services.”DOC 3001 Off-Site Service request and report was provided to show what medical and mental health staff maintain as secondary materials documenting the timeliness of emergency medical treatment and crisis intervention services that were provided.
- Interviews with medical staff:
  - A medical staff member was interviewed and she stated that PIOC receive timely and unimpeded access to emergency medical treatment and crisis intervention services. She also stated that the nature and scope of services are determined according to their professional judgment.
- No PIOC reported sexual abuse at TCC so no interviews of that targeted population were able to be conducted.

##### 115.82(b):

- ED 72 states:
  - “In the event that no qualified medical or mental health practitioners are on duty at the time a report of recent abuse is made, security staff first responders shall take preliminary steps to protect the victim and shall immediately notify the appropriate medical and mental health practitioner(s)”
- Interviews with staff who may be first responders:
  - Two staff who may/have acted as first responders were interviewed and both stated that they would separate the victim from the suspect,

|  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
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|  | <p>secure the crime scene and make appropriate notifications.</p> <p>115.82(c):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “The DOC’s medical response shall include the timely dissemination of information and access to emergency contraception and sexually transmitted infections prophylaxis.”</li> </ul> </li> <li>• DAI policy 500.30.19 covers in detail the response to an incident of sexual abuse by medical and mental health, including testing for STI’s and other communicable diseases.</li> <li>• Interviews with medical staff: <ul style="list-style-type: none"> <li>◦ The medical staff interviewed stated that victims of sexual abuse would be offered timely information about access to sexually transmitted infection, including STI prophylaxis.</li> </ul> </li> </ul> <p>115.82(d):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “All medical and mental health treatment services shall be provided to the victim without financial cost, regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident, and in a manner consistent with the community level of care.”</li> </ul> </li> <li>• DAI 316.00.01 Inmate Co-Payment for Health Services (attachment) shows there is no copayment for treatment for an actual medical or dental emergency as determined by a physician, dentist or registered nurse, a written referral from a PREA risk assessment screener and crisis intervention evaluation and treatment related to sexual abuse in confinement.</li> <li>• DAI policy 500.70.01 states: <ul style="list-style-type: none"> <li>◦ “PSU staff shall attempt to conduct a mental health evaluation of all known PIOC-on-PIOC abusers within 60 days when DOC staff first learn of the abuse history.”</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.82 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| <b>115.83</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b> |
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**Auditor Overall Determination:** Meets Standard

**Auditor Discussion**

Documentation reviewed:

- TCC PAQ
- Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22
- DAI Policy 500.30.19 Sexual Abuse-Health Services Unit Procedure in the Event of Sexual Abuse, dated 11/21/23
- DAI Policy 500.70.01 Mental Health Screening, Assessment and Referral, dated 04/08/19

Interviews Conducted:

- Medical staff

Site Review Observations

Findings:

115.83(a-b):

- ED 72 states:
  - “The facility shall offer medical and mental health evaluation and, as appropriate, treatment to all PIOC’s who have been victimized by sexual abuse in any confinement setting. The evaluation and treatment of such victims shall include, as appropriate, follow-up services, treatment plans and, when necessary, referral for continued care following their transfer to, or placement in, other facilities or their release from custody.”
- Interviews with medical staff:
  - Medical staff were interviewed and stated that they would provide care as needed including preserving evidence, referring for a SANE exam and completing documentation.

115.83(c, g):

- ED 72 states:
  - “All medical and mental health treatment services shall be provided to the victim without financial cost, regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident, and in a manner consistent with the community level of care.”

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|  | <ul style="list-style-type: none"> <li>Interviews with medical staff: <ul style="list-style-type: none"> <li>HSU staff interviewed indicated that they feel that the services offered are consistent with the community level of care.</li> </ul> </li> </ul> <p>115.83(d): This section is not applicable since TCC is an all-male facility.</p> <p>115.83(e): This section is not applicable since TCC is an all-male facility.</p> <p>115.83(f):</p> <ul style="list-style-type: none"> <li>ED 72 states: <ul style="list-style-type: none"> <li>"Victims of sexual abuse shall be offered tests for sexually transmitted infections."</li> </ul> </li> <li>DAI policy 500.30.19 states that testing for STD's, other communicable diseases and pregnancy shall be included in their plan of care.</li> </ul> <p>115.83(h):</p> <ul style="list-style-type: none"> <li>ED 72 states:' <ul style="list-style-type: none"> <li>"Facilities shall attempt to conduct a mental health evaluation of all known PIOC on PIOC abusers within 60 days of learning such abuse history and offer treatment when deemed appropriate by mental health practitioners."</li> </ul> </li> <li>DAI policy 500.70.01 states: <ul style="list-style-type: none"> <li>"PSU staff shall attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of when DOC staff first learn of the abuse history."</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.83 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| <b>115.86</b> | <b>Sexual abuse incident reviews</b>                                                                                                                                                                                                                                                                                                   |
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|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                   |
|               | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                              |
|               | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>TCC PAQ</li> <li>Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> <li>DAI Policy 300.00.70 Assault by Inmate, Reporting and Tracking, dated 05/15/20</li> </ul> |

- DAI Policy 410.50.01 Sexual Abuse Incident Review, dated 05/29/24
- Sexual Abuse Incident Review (SAIR) Form -DOC-2863

#### Interviews Conducted:

- Warden
- PREA Compliance Manager
- Incident Review team

#### Site Review Observations

#### Findings:

- 115.86(a-c):
  - The TCC PAQ reported that there were no criminal and/or administrative investigations of alleged sexual abuse completed at the facility during the review period.
- ED 72 states:
  - “All facilities shall conduct a review within 30 days of the conclusion of every sexual abuse investigation unless the allegation was determined to be unfounded. The team shall consist of upper-level management officials with input from supervisors, investigators, and medical and mental health practitioners.”
- DAI policy 300.00.70 states that:
  - “The Warden/designee shall convene an incident review team following substantiated and unsubstantiated cases of sexual abuse. 1. The Team shall consist of upper level management officials with input from supervisors, investigators, and medical and mental health practitioners. 2. The team shall document their findings in SINC to include recommendations for improvement and implementation efforts.”
- DAI policy 410.50.01 outlines procedures for conducting the review and who as a minimum shall be included in the review process.
- DOC-2683 shows the format and requirements for an incident review. It shows who the review team consists of, including their full name and title. The form also shows that all required information from this standard is to be reviewed for each case.
- Interview with the Warden:
  - The Warden said that TCC does have an incident review team and that it includes himself, the Captain, a social worker, and is overseen by the PREA office.

#### 115.86(d):

- ED 72 requires:\
  - “The review team shall 1. Consider whether the allegation or

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|  | <p>investigation indicates a need to change policy or practice to better prevent, detect or respond to sexual abuse; 2. Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender or intersex identification, status or perceived status; gang affiliation; or was motivated or otherwise caused by other group dynamics at the facility; 3. Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse; 4. Assess the adequacy of staffing levels in that area during different shifts; 5. Assess whether monitoring technology should be deployed or augmented to supplement supervision by employees; and 6. Prepare a report of its findings, including but not necessarily limited to determinations made in the above items, and any recommendations for improvement, and submit such report to the facility head and PREA Compliance Manager.</p> <ul style="list-style-type: none"> <li>• Interview with the Warden/PCM: <ul style="list-style-type: none"> <li>◦ The Warden/PCM said that they review how the incident happened and how to correct it. He verified they review all required factors.</li> </ul> </li> <li>• Interview with a member of the incident review team: <ul style="list-style-type: none"> <li>◦ The incident review team member stated that they do consider any underlying motivations for the incident as well as examine the area where it as alleged to have occurred, assess the adequacy of staff level in that area, review training and staffing needs, and assess whether monitoring technology, such as cameras, should be changed or added to.</li> </ul> </li> </ul> <p>115.86(e):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “The facility shall implement the recommendations for improvement, or shall document its reason for not doing so.”</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.86 based upon analysis of all available evidence including the documentation provided and interviews conducted.</p> |
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| <b>115.87</b> | <b>Data collection</b>                               |
|               | <b>Auditor Overall Determination:</b> Meets Standard |
|               | <b>Auditor Discussion</b>                            |
|               | Documentation reviewed:                              |

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|  | <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22.</li> <li>• Survey of Sexual Victimization (SSV) 2017-2022</li> <li>• Agency Annual PREA Reports, 2021-2023</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• PREA Director</li> </ul> <p>Findings:</p> <p>115.87(a-f):</p> <ul style="list-style-type: none"> <li>• ED 72 states:</li> <li>• “The DOC shall collect accurate, uniform data from incident-based documents such as reports, investigation files and sexual abuse incident reviews for every allegation of sexual abuse within facilities, including facilities with which it contracts for the confinement of PIOC’s, using a standardized instrument and set of definitions. The extracted data, at minimum, shall include the information to answer all questions from the most recent version of the Department of Justice Survey of Sexual Victimization. This data shall be aggregated annually, reported to the Department of Justice as requested and, with personal identifiers removed, posted publicly to the DOC’s website annually.”</li> <li>• The SSV forms were reviewed that show they were completed from 2017-2022. 2022 would be the most recent report requested for submission.</li> <li>• The auditor reviewed the agency's website and it includes the PREA annual reports from 2010-2023 and the SSV from 2012-2021.</li> <li>• Interview with the PREA Director: <ul style="list-style-type: none"> <li>◦ She stated that the SSV is completed annually and that the contract agency information is included in the agency's annual report.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.87 based upon analysis of all available evidence including the documentation provided and interviews conducted.</p> |
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| <b>115.88</b> | <b>Data review for corrective action</b>             |
|               | <b>Auditor Overall Determination:</b> Meets Standard |
|               | <b>Auditor Discussion</b>                            |
|               | Documentation reviewed:                              |

- TCC PAQ
- Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22
- Agency Annual PREA Reports, 2018-2023
- Screenshot of PREA annual reports on agency's public website

Interviews Conducted:

- Agency Head
- PREA Director
- PREA Compliance Manager

Findings:

115.88(a-d):

- ED 72 states:
  - "The data collected and aggregated shall be analyzed to assess and improve effectiveness of the DOC's sexual abuse prevention, detection and response policies, practices and training by identifying problem areas; taking corrective action on an ongoing basis; and preparing an annual report of its findings and corrective actions for each facility as well as the DOC as a whole. The report shall, additionally, include a comparison of the current year's data and corrective actions with those from previous years and shall provide an assessment of the DOC's progress in addressing sexual abuse. Corrective action reports shall also be posted publicly to the DOC's website. The DOC may redact specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility, but must indicate the nature of the material redacted."
- The agency annual PREA reports were reviewed and this auditor verified they contain all information required in this standard, including a report of its findings from its data review and any corrective actions for each facility, as well as the agency as a whole.
- This auditor verified the annual report is available on the agency's website at <https://doc.wi.gov/Pages/AboutDOC/PrisonRapeEliminationAct.aspx>.
- Interview with the Agency Head (designee):
  - She stated that after each substantiated or unsubstantiated case an incident review is conducted which includes facility leadership, victim services coordinator, investigator, medical staff and mental health staff. An after action report may be completed including any recommendations from the incident review such as upgrades/improvements needed to physical plant or monitoring technology. She also stated that she does review the PREA annual report and that it is signed by the Secretary.
- Interview with the PREA Director:

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|  | <ul style="list-style-type: none"> <li>◦ The PREA Director stated that the agency does review data collected and aggregated pursuant to 115.87. She stated it is securely retained in the computer system (SINC database) and access to the information is based on their role. She further stated that there is an annual report prepared by her office which includes findings from its data review and any corrective actions for each facility. Nothing is redacted as there is no identifying information included in the report.</li> <li>• Interview with the PREA Compliance Manager: <ul style="list-style-type: none"> <li>◦ He stated that TCC has had no incidents but if they did they would be reviewed and they would implement and changes if needed. He also reported he would work with the PREA unit at Central Office for data for the report if needed.</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.88 based upon analysis of all available evidence including the documentation provided and interviews conducted.</p> |
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| <b>115.89</b> | <b>Data storage, publication, and destruction</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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|               | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|               | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|               | <p>Documentation reviewed:</p> <ul style="list-style-type: none"> <li>• TCC PAQ</li> <li>• Wisconsin Department of Corrections (WIDOC) Executive Directive 72: Sexual Abuse and Sexual Harassment in Confinement (PREA), dated 08/02/22</li> </ul> <p>Interviews Conducted:</p> <ul style="list-style-type: none"> <li>• PREA Director</li> </ul> <p>Site Review Observations</p> <p>Findings:</p> <p>115.89(a, d):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “All data shall be securely retained and maintained for at least 10 years after the date of initial collection.”</li> </ul> </li> <li>• Interview with the PREA Director: <ul style="list-style-type: none"> <li>◦ She stated that all data collected is securely retained in their SINC database.</li> </ul> </li> </ul> |

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|  | <ul style="list-style-type: none"> <li>• Site review observations: <ul style="list-style-type: none"> <li>◦ During the site review no paper files regarding the PREA standards were observed, everything was maintained electronically.</li> </ul> </li> </ul> <p>115.89(b-c):</p> <ul style="list-style-type: none"> <li>• ED 72 states: <ul style="list-style-type: none"> <li>◦ “The DOC shall collect accurate, uniform data from incident-based documents such as reports, investigation files and sexual abuse incident reviews for every allegation of sexual abuse within facilities, including facilities with which it contracts for the confinement of PIOC’s, using a standardized instrument and set of definitions. The extracted data, at minimum, shall include the information to answer all questions from the most recent version of the Department of Justice Survey of Sexual Victimization. This data shall be aggregated annually, reported to the Department of Justice as requested and, with personal identifiers removed, posted publicly to the DOC’s website annually.”</li> </ul> </li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.89 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| <b>115.401</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
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|                | <b>Auditor Overall Determination:</b> Meets Standard                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|                | <b>Auditor Discussion</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|                | <p>115.401(a-b):</p> <ul style="list-style-type: none"> <li>• The auditor reviewed the agencies website at <a href="https://doc.wi.gov/Pages/-AboutDOC/PrisonRapeEliminationAct.aspx">https://doc.wi.gov/Pages/-AboutDOC/PrisonRapeEliminationAct.aspx</a> and verified the agency has posted the final PREA audit reports for all facilities that have been audited.</li> </ul> <p>115.401(h):</p> <ul style="list-style-type: none"> <li>• During the on-site portion of the audit the audit team was able to access and view all areas of the facility.</li> </ul> <p>115.401(i):</p> <ul style="list-style-type: none"> <li>• TCC provided all requested documents in a timely manner and was able to view the electronic system onsite where documents are stored.</li> </ul> |

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|  | <p>115.401(m):</p> <ul style="list-style-type: none"> <li>TCC provided offices for the auditors to use which provided enough space to conduct private interviews of inmates and staff where others were unable to hear the conversations.</li> </ul> <p>115.401(n):</p> <ul style="list-style-type: none"> <li>The auditor received no letters from TCC PIOC. The audit notice was observed to be posted throughout the facility and through informal conversations with staff and inmates they confirmed they had been posted for several weeks. Photos of the audit notice postings were received via email six weeks before the onsite portion of the audit.</li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.401 based upon analysis of all available evidence including the documentation provided, the site review and interviews conducted.</p> |
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| 115.403 | Audit contents and findings                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
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|         | <p><b>Auditor Overall Determination:</b> Meets Standard</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|         | <p><b>Auditor Discussion</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|         | <p>115.403(f):</p> <ul style="list-style-type: none"> <li>The auditor reviewed the agency's website at <a href="https://doc.wi.gov/Pages/-AboutDOC/PrisonRapeEliminationAct.aspx">https://doc.wi.gov/Pages/-AboutDOC/PrisonRapeEliminationAct.aspx</a> and verified the final audit reports for all facilities are posted.</li> </ul> <p>The auditor finds the agency/facility in full compliance with PREA Provision §115.403 based upon analysis of all available evidence including the documentation reviewed.</p> |

**Appendix: Provision Findings****115.11 (a) Zero tolerance of sexual abuse and sexual harassment; PREA coordinator**

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| Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment? | yes |
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| Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment? | yes |
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**115.11 (b) Zero tolerance of sexual abuse and sexual harassment; PREA coordinator**

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| Has the agency employed or designated an agency-wide PREA Coordinator? | yes |
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| Is the PREA Coordinator position in the upper-level of the agency hierarchy? | yes |
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| Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities? | yes |
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**115.11 (c) Zero tolerance of sexual abuse and sexual harassment; PREA coordinator**

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| If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.) | yes |
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| Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.) | yes |
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**115.12 (a) Contracting with other entities for the confinement of inmates**

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| If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.) | yes |
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**115.12 (b) Contracting with other entities for the confinement of inmates**

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| Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure | yes |
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|                   |                                                                                                                                                                                                                                                                   |     |
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|                   | that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)                                                                                           |     |
| <b>115.13 (a)</b> | <b>Supervision and monitoring</b>                                                                                                                                                                                                                                 |     |
|                   | Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?                                                                                 | yes |
|                   | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices?                                                                   | yes |
|                   | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy?                                                                                       | yes |
|                   | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies?                                                            | yes |
|                   | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies?                                                     | yes |
|                   | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)? | yes |
|                   | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population?                                                                                  | yes |
|                   | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff?                                                                             | yes |
|                   | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift?                                                                  | yes |
|                   | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into                                                                                                                                           | yes |

|                   |                                                                                                                                                                                                                                                                 |     |
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|                   | consideration: Any applicable State or local laws, regulations, or standards?                                                                                                                                                                                   |     |
|                   | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?                                           | yes |
|                   | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?                                                                                              | yes |
| <b>115.13 (b)</b> | <b>Supervision and monitoring</b>                                                                                                                                                                                                                               |     |
|                   | In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)                                                                                  | na  |
| <b>115.13 (c)</b> | <b>Supervision and monitoring</b>                                                                                                                                                                                                                               |     |
|                   | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?                     | yes |
|                   | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies?     | yes |
|                   | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan? | yes |
| <b>115.13 (d)</b> | <b>Supervision and monitoring</b>                                                                                                                                                                                                                               |     |
|                   | Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?                                      | yes |
|                   | Is this policy and practice implemented for night shifts as well as day shifts?                                                                                                                                                                                 | yes |
|                   | Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?                            | yes |

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| <b>115.14 (a)</b> | <b>Youthful inmates</b>                                                                                                                                                                                                                                                                                             |     |
|                   | Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).) | na  |
| <b>115.14 (b)</b> | <b>Youthful inmates</b>                                                                                                                                                                                                                                                                                             |     |
|                   | In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).)                                                                                                         | na  |
|                   | In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).)                                                                        | na  |
| <b>115.14 (c)</b> | <b>Youthful inmates</b>                                                                                                                                                                                                                                                                                             |     |
|                   | Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).)                                                                                                                       | na  |
|                   | Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).)                                                | na  |
|                   | Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).)                                                                                                                                          | na  |
| <b>115.15 (a)</b> | <b>Limits to cross-gender viewing and searches</b>                                                                                                                                                                                                                                                                  |     |
|                   | Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?                                                                                                                                   | yes |
| <b>115.15 (b)</b> | <b>Limits to cross-gender viewing and searches</b>                                                                                                                                                                                                                                                                  |     |
|                   | Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)                                                                                                                             | na  |
|                   | Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the                                                                                                                  | na  |

|                   |                                                                                                                                                                                                                                                                                                           |     |
|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
|                   | facility does not have female inmates.)                                                                                                                                                                                                                                                                   |     |
| <b>115.15 (c)</b> | <b>Limits to cross-gender viewing and searches</b>                                                                                                                                                                                                                                                        |     |
|                   | Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?                                                                                                                                                                                                  | yes |
|                   | Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)?                                                                                                                                                                       | na  |
| <b>115.15 (d)</b> | <b>Limits to cross-gender viewing and searches</b>                                                                                                                                                                                                                                                        |     |
|                   | Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?   | yes |
|                   | Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks? | yes |
|                   | Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit?                                                                                                                                                                                   | yes |
| <b>115.15 (e)</b> | <b>Limits to cross-gender viewing and searches</b>                                                                                                                                                                                                                                                        |     |
|                   | Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status?                                                                                                                                  | yes |
|                   | If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?          | yes |
| <b>115.15 (f)</b> | <b>Limits to cross-gender viewing and searches</b>                                                                                                                                                                                                                                                        |     |
|                   | Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?                                                                                       | yes |
|                   | Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?                                                                         | yes |

| 115.16 (a) | <b>Inmates with disabilities and inmates who are limited English proficient</b>                                                                                                                                                                                                                                                      |     |
|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
|            | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?                           | yes |
|            | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?                          | yes |
|            | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?                        | yes |
|            | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?                         | yes |
|            | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?                              | yes |
|            | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.) | yes |
|            | Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing?                                                                                                                                                                                                                | yes |
|            | Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?                                                                                                                   | yes |
|            | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication                                                                                                                                                                                                         | yes |

|                   |                                                                                                                                                                                                                                                                                                                                                                       |     |
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|                   | with inmates with disabilities including inmates who: Have intellectual disabilities?                                                                                                                                                                                                                                                                                 |     |
|                   | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?                                                                                                                                                       | yes |
|                   | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?                                                                                                                                                      | yes |
| <b>115.16 (b)</b> | <b>Inmates with disabilities and inmates who are limited English proficient</b>                                                                                                                                                                                                                                                                                       |     |
|                   | Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?                                                                                                                                         | yes |
|                   | Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?                                                                                                                                                                              | yes |
| <b>115.16 (c)</b> | <b>Inmates with disabilities and inmates who are limited English proficient</b>                                                                                                                                                                                                                                                                                       |     |
|                   | Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations? | yes |
| <b>115.17 (a)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                                 |     |
|                   | Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?                                                                                                       | yes |
|                   | Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?                                | yes |
|                   | Does the agency prohibit the hiring or promotion of anyone who                                                                                                                                                                                                                                                                                                        | yes |

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|                   | may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?                                                                                                                                                                                 |     |
|                   | Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?                                                                         | yes |
|                   | Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?  | yes |
|                   | Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?                                                                                                       | yes |
| <b>115.17 (b)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                              |     |
|                   | Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates?                                                                                                                                                                                                    | yes |
|                   | Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates?                                                                                                                                                                                     | yes |
| <b>115.17 (c)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                              |     |
|                   | Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check?                                                                                                                                                                                                                        | yes |
|                   | Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse? | yes |
| <b>115.17 (d)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                              |     |
|                   | Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates?                                                                                                                                                                                                     | yes |

|                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |     |
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| <b>115.17 (e)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                                                                                                                                               |     |
|                   | Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees?                                                                                                                                                                                                                        | yes |
| <b>115.17 (f)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                                                                                                                                               |     |
|                   | Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?                                                                                                                                                                                                                                                    | yes |
|                   | Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?                                                                                                                                                                                                                   | yes |
|                   | Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?                                                                                                                                                                                                                                                                                                                                                                                | yes |
| <b>115.17 (g)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                                                                                                                                               |     |
|                   | Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?                                                                                                                                                                                                                                                                                                                                   | yes |
| <b>115.17 (h)</b> | <b>Hiring and promotion decisions</b>                                                                                                                                                                                                                                                                                                                                                                                                                                               |     |
|                   | Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)                                                                                            | yes |
| <b>115.18 (a)</b> | <b>Upgrades to facilities and technologies</b>                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |
|                   | If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.) | na  |
| <b>115.18 (b)</b> | <b>Upgrades to facilities and technologies</b>                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |

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|                   | If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)                  | na  |
| <b>115.21 (a)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                                                                                                                 |     |
|                   | If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)                                                                                            | yes |
| <b>115.21 (b)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                                                                                                                 |     |
|                   | Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)                                                                                                                                                                                                                                                                                | na  |
|                   | Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) | yes |
| <b>115.21 (c)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                                                                                                                 |     |
|                   | Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?                                                                                                                                                                                                                                                                                | yes |
|                   | Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?                                                                                                                                                                                                                                                                                                                                                     | yes |
|                   | If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?                                                                                                                                                                                                                                                                                     | yes |

|                   |                                                                                                                                                                                                                                                                                                                                                                                                         |     |
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|                   | Has the agency documented its efforts to provide SAFEs or SANEs?                                                                                                                                                                                                                                                                                                                                        | no  |
| <b>115.21 (d)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                              |     |
|                   | Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?                                                                                                                                                                                                                                                                                                    | yes |
|                   | If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.)                                                             | yes |
|                   | Has the agency documented its efforts to secure services from rape crisis centers?                                                                                                                                                                                                                                                                                                                      | yes |
| <b>115.21 (e)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                              |     |
|                   | As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?                                                                                                                                             | yes |
|                   | As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?                                                                                                                                                                                                                                                                                | yes |
| <b>115.21 (f)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                              |     |
|                   | If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)                                                                | yes |
| <b>115.21 (h)</b> | <b>Evidence protocol and forensic medical examinations</b>                                                                                                                                                                                                                                                                                                                                              |     |
|                   | If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.) | yes |
| <b>115.22 (a)</b> | <b>Policies to ensure referrals of allegations for investigations</b>                                                                                                                                                                                                                                                                                                                                   |     |

|                                                                                  |                                                                                                                                                                                                                                                                                                    |     |
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|                                                                                  | Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?                                                                                                                                                                               | yes |
|                                                                                  | Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?                                                                                                                                                                          | yes |
| <b>115.22 (b) Policies to ensure referrals of allegations for investigations</b> |                                                                                                                                                                                                                                                                                                    |     |
|                                                                                  | Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior? | yes |
|                                                                                  | Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?                                                                                                                                                                    | yes |
|                                                                                  | Does the agency document all such referrals?                                                                                                                                                                                                                                                       | yes |
| <b>115.22 (c) Policies to ensure referrals of allegations for investigations</b> |                                                                                                                                                                                                                                                                                                    |     |
|                                                                                  | If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)                                 | yes |
| <b>115.31 (a) Employee training</b>                                              |                                                                                                                                                                                                                                                                                                    |     |
|                                                                                  | Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?                                                                                                                                                         | yes |
|                                                                                  | Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?                                                             | yes |
|                                                                                  | Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment?                                                                                                                                                        | yes |
|                                                                                  | Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?                                                                                                          | yes |
|                                                                                  | Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?                                                                                                                                                        | yes |

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|                   | Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?                                                                                       | yes |
|                   | Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?                                                                                 | yes |
|                   | Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates?                                                                                                    | yes |
|                   | Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates? | yes |
|                   | Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?                                                   | yes |
| <b>115.31 (b)</b> | <b>Employee training</b>                                                                                                                                                                                                           |     |
|                   | Is such training tailored to the gender of the inmates at the employee's facility?                                                                                                                                                 | yes |
|                   | Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?                                                              | yes |
| <b>115.31 (c)</b> | <b>Employee training</b>                                                                                                                                                                                                           |     |
|                   | Have all current employees who may have contact with inmates received such training?                                                                                                                                               | yes |
|                   | Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?                                   | yes |
|                   | In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?                                                           | yes |
| <b>115.31 (d)</b> | <b>Employee training</b>                                                                                                                                                                                                           |     |
|                   | Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?                                                                                        | yes |
| <b>115.32 (a)</b> | <b>Volunteer and contractor training</b>                                                                                                                                                                                           |     |

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|                   | Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?                                                                                                                       | yes |
| <b>115.32 (b)</b> | <b>Volunteer and contractor training</b>                                                                                                                                                                                                                                                                                                                                      |     |
|                   | Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)? | yes |
| <b>115.32 (c)</b> | <b>Volunteer and contractor training</b>                                                                                                                                                                                                                                                                                                                                      |     |
|                   | Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?                                                                                                                                                                                                                                                 | yes |
| <b>115.33 (a)</b> | <b>Inmate education</b>                                                                                                                                                                                                                                                                                                                                                       |     |
|                   | During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment?                                                                                                                                                                                                                                     | yes |
|                   | During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?                                                                                                                                                                                                                                          | yes |
| <b>115.33 (b)</b> | <b>Inmate education</b>                                                                                                                                                                                                                                                                                                                                                       |     |
|                   | Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?                                                                                                                                                                            | yes |
|                   | Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?                                                                                                                                                                      | yes |
|                   | Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?                                                                                                                                                                            | yes |
| <b>115.33 (c)</b> | <b>Inmate education</b>                                                                                                                                                                                                                                                                                                                                                       |     |
|                   | Have all inmates received the comprehensive education referenced in 115.33(b)?                                                                                                                                                                                                                                                                                                | yes |

|                   |                                                                                                                                                                                                                                                                                                                                                                                                             |     |
|-------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
|                   | Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?                                                                                                                                                                                                                  | yes |
| <b>115.33 (d)</b> | <b>Inmate education</b>                                                                                                                                                                                                                                                                                                                                                                                     |     |
|                   | Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?                                                                                                                                                                                                                                                                           | yes |
|                   | Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?                                                                                                                                                                                                                                                                                                 | yes |
|                   | Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?                                                                                                                                                                                                                                                                                    | yes |
|                   | Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?                                                                                                                                                                                                                                                                                   | yes |
|                   | Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?                                                                                                                                                                                                                                                                              | yes |
| <b>115.33 (e)</b> | <b>Inmate education</b>                                                                                                                                                                                                                                                                                                                                                                                     |     |
|                   | Does the agency maintain documentation of inmate participation in these education sessions?                                                                                                                                                                                                                                                                                                                 | yes |
| <b>115.33 (f)</b> | <b>Inmate education</b>                                                                                                                                                                                                                                                                                                                                                                                     |     |
|                   | In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?                                                                                                                                                                                               | yes |
| <b>115.34 (a)</b> | <b>Specialized training: Investigations</b>                                                                                                                                                                                                                                                                                                                                                                 |     |
|                   | In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | yes |
| <b>115.34 (b)</b> | <b>Specialized training: Investigations</b>                                                                                                                                                                                                                                                                                                                                                                 |     |
|                   | Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)                                                                                                                                                                                            | yes |
|                   | Does this specialized training include proper use of Miranda and                                                                                                                                                                                                                                                                                                                                            | yes |

|                   |                                                                                                                                                                                                                                                                                                                                                                                                       |     |
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|                   | Garrrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)                                                                                                                                                                                                                                                            |     |
|                   | Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)                                                                                                                                                                              | yes |
|                   | Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)                                                                                                                           | yes |
| <b>115.34 (c)</b> | <b>Specialized training: Investigations</b>                                                                                                                                                                                                                                                                                                                                                           |     |
|                   | Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)                                                                                                                  | yes |
| <b>115.35 (a)</b> | <b>Specialized training: Medical and mental health care</b>                                                                                                                                                                                                                                                                                                                                           |     |
|                   | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)                           | yes |
|                   | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)                                              | yes |
|                   | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | yes |
|                   | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or                                                                                                                                                                                                   | yes |

|                   |                                                                                                                                                                                                                                                                                                                            |     |
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|                   | suspicious of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)                                                                                                                               |     |
| <b>115.35 (b)</b> | <b>Specialized training: Medical and mental health care</b>                                                                                                                                                                                                                                                                |     |
|                   | If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.)                                           | na  |
| <b>115.35 (c)</b> | <b>Specialized training: Medical and mental health care</b>                                                                                                                                                                                                                                                                |     |
|                   | Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | yes |
| <b>115.35 (d)</b> | <b>Specialized training: Medical and mental health care</b>                                                                                                                                                                                                                                                                |     |
|                   | Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)                                                               | yes |
|                   | Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.)  | yes |
| <b>115.41 (a)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                                                                 |     |
|                   | Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?                                                                                                                                                                     | yes |
|                   | Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?                                                                                                                                                              | yes |
| <b>115.41 (b)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                                                                 |     |
|                   | Do intake screenings ordinarily take place within 72 hours of arrival at the facility?                                                                                                                                                                                                                                     | yes |
| <b>115.41 (c)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                                                                 |     |
|                   | Are all PREA screening assessments conducted using an objective                                                                                                                                                                                                                                                            | yes |

|                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |
|-------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
|                   | screening instrument?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |     |
| <b>115.41 (d)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                           |     |
|                   | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?                                                                                                                                                                                                                                                                                                             | yes |
|                   | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?                                                                                                                                                                                                                                                                                                                                                              | yes |
|                   | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?                                                                                                                                                                                                                                                                                                                                                   | yes |
|                   | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?                                                                                                                                                                                                                                                                                                                                | yes |
|                   | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?                                                                                                                                                                                                                                                                                                                    | yes |
|                   | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?                                                                                                                                                                                                                                                                                                | yes |
|                   | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)? | yes |
|                   | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?                                                                                                                                                                                                                                                                                                                 | yes |
|                   | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?                                                                                                                                                                                                                                                                                                                                       | yes |
|                   | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10)                                                                                                                                                                                                                                                                                                                                                                                    | yes |

|                   |                                                                                                                                                                                                                                                                             |     |
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|                   | Whether the inmate is detained solely for civil immigration purposes?                                                                                                                                                                                                       |     |
| <b>115.41 (e)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                  |     |
|                   | In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse?                                                                                                                 | yes |
|                   | In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses?                                                                                                     | yes |
|                   | In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse?                                                                                    | yes |
| <b>115.41 (f)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                  |     |
|                   | Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening? | yes |
| <b>115.41 (g)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                  |     |
|                   | Does the facility reassess an inmate's risk level when warranted due to a referral?                                                                                                                                                                                         | yes |
|                   | Does the facility reassess an inmate's risk level when warranted due to a request?                                                                                                                                                                                          | yes |
|                   | Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse?                                                                                                                                                                        | yes |
|                   | Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?                                                                                           | yes |
| <b>115.41 (h)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                  |     |
|                   | Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?                                           | yes |
| <b>115.41 (i)</b> | <b>Screening for risk of victimization and abusiveness</b>                                                                                                                                                                                                                  |     |
|                   | Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive                                                                                        | yes |

|                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
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|                   | information is not exploited to the inmate's detriment by staff or other inmates?                                                                                                                                                                                                                                                                                                                                                                                                |     |
| <b>115.42 (a)</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                              |     |
|                   | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?                                                                                                                                                                                                                       | yes |
|                   | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?                                                                                                                                                                                                                           | yes |
|                   | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?                                                                                                                                                                                                                          | yes |
|                   | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?                                                                                                                                                                                                                     | yes |
|                   | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?                                                                                                                                                                                                                       | yes |
| <b>115.42 (b)</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                              |     |
|                   | Does the agency make individualized determinations about how to ensure the safety of each inmate?                                                                                                                                                                                                                                                                                                                                                                                | yes |
| <b>115.42 (c)</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                              |     |
|                   | When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)? | yes |
|                   | When making housing or other program assignments for transgender or intersex inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would                                                                                                                                                                                                                                          | yes |

|                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |
|-------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
|                   | present management or security problems?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |     |
| <b>115.42 (d)</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                   | Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate?                                                                                                                                                                                                                                                                                                                                                                                                                     | yes |
| <b>115.42 (e)</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                   | Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?                                                                                                                                                                                                                                                                                                                                                                                              | yes |
| <b>115.42 (f)</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                   | Are transgender and intersex inmates given the opportunity to shower separately from other inmates?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | yes |
| <b>115.42 (g)</b> | <b>Use of screening information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                   | Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.) | yes |
|                   | Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)                | yes |
|                   | Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing                                                                                                                                      | yes |

|                   |                                                                                                                                                                                                                                                                                                                   |     |
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|                   | solely for the placement of LGBT or I inmates pursuant to a consent degree, legal settlement, or legal judgement.)                                                                                                                                                                                                |     |
| <b>115.43 (a)</b> | <b>Protective Custody</b>                                                                                                                                                                                                                                                                                         |     |
|                   | Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers? | yes |
|                   | If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?                                                                                                                          | yes |
| <b>115.43 (b)</b> | <b>Protective Custody</b>                                                                                                                                                                                                                                                                                         |     |
|                   | Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible?                                                                                                                                                            | yes |
|                   | Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible?                                                                                                                                                          | yes |
|                   | Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible?                                                                                                                                                           | yes |
|                   | Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible?                                                                                                                                                  | yes |
|                   | If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)                                      | yes |
|                   | If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)                                                    | yes |
|                   | If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)                                                  | yes |
| <b>115.43 (c)</b> | <b>Protective Custody</b>                                                                                                                                                                                                                                                                                         |     |

|                                      |                                                                                                                                                                                                                                                                         |     |
|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
|                                      | Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?                                                                              | yes |
|                                      | Does such an assignment not ordinarily exceed a period of 30 days?                                                                                                                                                                                                      | yes |
| <b>115.43 (d) Protective Custody</b> |                                                                                                                                                                                                                                                                         |     |
|                                      | If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety?                                                                    | yes |
|                                      | If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged?                                                               | yes |
| <b>115.43 (e) Protective Custody</b> |                                                                                                                                                                                                                                                                         |     |
|                                      | In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS? | yes |
| <b>115.51 (a) Inmate reporting</b>   |                                                                                                                                                                                                                                                                         |     |
|                                      | Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?                                                                                                                                                     | yes |
|                                      | Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?                                                                                                 | yes |
|                                      | Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?                                                                                             | yes |
| <b>115.51 (b) Inmate reporting</b>   |                                                                                                                                                                                                                                                                         |     |
|                                      | Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?                                                                                           | yes |
|                                      | Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?                                                                                                                      | yes |
|                                      | Does that private entity or office allow the inmate to remain                                                                                                                                                                                                           | yes |

|                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |     |
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|                   | anonymous upon request?                                                                                                                                                                                                                                                                                                                                                                                                                                                           |     |
|                   | Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.)                                                                                                                                                                                           | na  |
| <b>115.51 (c)</b> | <b>Inmate reporting</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                           |     |
|                   | Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?                                                                                                                                                                                                                                                                                                                                                   | yes |
|                   | Does staff promptly document any verbal reports of sexual abuse and sexual harassment?                                                                                                                                                                                                                                                                                                                                                                                            | yes |
| <b>115.51 (d)</b> | <b>Inmate reporting</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                           |     |
|                   | Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?                                                                                                                                                                                                                                                                                                                                                                     | yes |
| <b>115.52 (a)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |
|                   | Is the agency exempt from this standard?<br>NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse. | yes |
| <b>115.52 (b)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |
|                   | Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)                                                                                                                                                               | yes |
|                   | Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                                                                  | yes |
| <b>115.52 (c)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |
|                   | Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from                                                                                                                                                                                                                                                                                 | yes |

|                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |     |
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|                   | this standard.)                                                                                                                                                                                                                                                                                                                                                                                                                                                          |     |
|                   | Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                                                                                                                         | yes |
| <b>115.52 (d)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                                                                                                                             |     |
|                   | Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)                                                                                                                           | yes |
|                   | If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)                                                                                                | yes |
|                   | At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)                                                                                                                                              | yes |
| <b>115.52 (e)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                                                                                                                             |     |
|                   | Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)                                                                                                                                                                                          | yes |
|                   | Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.) | yes |
|                   | If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                                                                                                                 | yes |
| <b>115.52 (f)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                                                                                                                             |     |

|                   |                                                                                                                                                                                                                                                                                                                                                                                   |     |
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|                   | Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)                                                                                                                                                                   | yes |
|                   | After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.). | yes |
|                   | After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                                | yes |
|                   | After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                       | yes |
|                   | Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)                                                                                                                                                                         | yes |
|                   | Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                                             | yes |
|                   | Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)                                                                                                                                                                                                                      | yes |
| <b>115.52 (g)</b> | <b>Exhaustion of administrative remedies</b>                                                                                                                                                                                                                                                                                                                                      |     |
|                   | If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)                                                                                                                                      | yes |
| <b>115.53 (a)</b> | <b>Inmate access to outside confidential support services</b>                                                                                                                                                                                                                                                                                                                     |     |
|                   | Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?                                                       | yes |
|                   | Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers,                                                                                                                                                                                                                                                         | na  |

|                   |                                                                                                                                                                                                                                                                  |     |
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|                   | including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.)                                                            |     |
|                   | Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible?                                                                                                                 | yes |
| <b>115.53 (b)</b> | <b>Inmate access to outside confidential support services</b>                                                                                                                                                                                                    |     |
|                   | Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?               | yes |
| <b>115.53 (c)</b> | <b>Inmate access to outside confidential support services</b>                                                                                                                                                                                                    |     |
|                   | Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?                         | yes |
|                   | Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?                                                                                                                                                   | yes |
| <b>115.54 (a)</b> | <b>Third-party reporting</b>                                                                                                                                                                                                                                     |     |
|                   | Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?                                                                                                                                                        | yes |
|                   | Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?                                                                                                                                      | yes |
| <b>115.61 (a)</b> | <b>Staff and agency reporting duties</b>                                                                                                                                                                                                                         |     |
|                   | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency? | yes |
|                   | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?                    | yes |
|                   | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual                  | yes |

|                   |                                                                                                                                                                                                                                                                                                                    |     |
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|                   | abuse or sexual harassment or retaliation?                                                                                                                                                                                                                                                                         |     |
| <b>115.61 (b)</b> | <b>Staff and agency reporting duties</b>                                                                                                                                                                                                                                                                           |     |
|                   | Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions? | yes |
| <b>115.61 (c)</b> | <b>Staff and agency reporting duties</b>                                                                                                                                                                                                                                                                           |     |
|                   | Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?                                                                                                                                 | yes |
|                   | Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?                                                                                                                                | yes |
| <b>115.61 (d)</b> | <b>Staff and agency reporting duties</b>                                                                                                                                                                                                                                                                           |     |
|                   | If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?                                                 | yes |
| <b>115.61 (e)</b> | <b>Staff and agency reporting duties</b>                                                                                                                                                                                                                                                                           |     |
|                   | Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?                                                                                                                                           | yes |
| <b>115.62 (a)</b> | <b>Agency protection duties</b>                                                                                                                                                                                                                                                                                    |     |
|                   | When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?                                                                                                                                                              | yes |
| <b>115.63 (a)</b> | <b>Reporting to other confinement facilities</b>                                                                                                                                                                                                                                                                   |     |
|                   | Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?                                               | yes |
| <b>115.63 (b)</b> | <b>Reporting to other confinement facilities</b>                                                                                                                                                                                                                                                                   |     |
|                   | Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?                                                                                                                                                                                                      | yes |

|                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |     |
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| <b>115.63 (c)</b> | <b>Reporting to other confinement facilities</b>                                                                                                                                                                                                                                                                                                                                                                                                                            |     |
|                   | Does the agency document that it has provided such notification?                                                                                                                                                                                                                                                                                                                                                                                                            | yes |
| <b>115.63 (d)</b> | <b>Reporting to other confinement facilities</b>                                                                                                                                                                                                                                                                                                                                                                                                                            |     |
|                   | Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?                                                                                                                                                                                                                                                                                                                      | yes |
| <b>115.64 (a)</b> | <b>Staff first responder duties</b>                                                                                                                                                                                                                                                                                                                                                                                                                                         |     |
|                   | Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?                                                                                                                                                                                                                                                                                         | yes |
|                   | Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?                                                                                                                                                                                                                              | yes |
|                   | Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?     | yes |
|                   | Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? | yes |
| <b>115.64 (b)</b> | <b>Staff first responder duties</b>                                                                                                                                                                                                                                                                                                                                                                                                                                         |     |
|                   | If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?                                                                                                                                                                                                                                                        | yes |
| <b>115.65 (a)</b> | <b>Coordinated response</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                 |     |
|                   | Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in                                                                                                                                                                                                                                                                        | yes |

|                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                 |     |
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|                   | response to an incident of sexual abuse?                                                                                                                                                                                                                                                                                                                                                                                                        |     |
| <b>115.66 (a)</b> | <b>Preservation of ability to protect inmates from contact with abusers</b>                                                                                                                                                                                                                                                                                                                                                                     |     |
|                   | Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted? | yes |
| <b>115.67 (a)</b> | <b>Agency protection against retaliation</b>                                                                                                                                                                                                                                                                                                                                                                                                    |     |
|                   | Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?                                                                                                                                                                                                                | yes |
|                   | Has the agency designated which staff members or departments are charged with monitoring retaliation?                                                                                                                                                                                                                                                                                                                                           | yes |
| <b>115.67 (b)</b> | <b>Agency protection against retaliation</b>                                                                                                                                                                                                                                                                                                                                                                                                    |     |
|                   | Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?                                                                                 | yes |
| <b>115.67 (c)</b> | <b>Agency protection against retaliation</b>                                                                                                                                                                                                                                                                                                                                                                                                    |     |
|                   | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?                                                                                                | yes |
|                   | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?                                                                                       | yes |
|                   | Except in instances where the agency determines that a report of                                                                                                                                                                                                                                                                                                                                                                                | yes |

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|                   | sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?                                                                    |     |
|                   | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports?       | yes |
|                   | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?                | yes |
|                   | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?                | yes |
|                   | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff? | yes |
|                   | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?                | yes |
|                   | Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?                                                                                                       | yes |
| <b>115.67 (d)</b> | <b>Agency protection against retaliation</b>                                                                                                                                                                         |     |
|                   | In the case of inmates, does such monitoring also include periodic status checks?                                                                                                                                    | yes |
| <b>115.67 (e)</b> | <b>Agency protection against retaliation</b>                                                                                                                                                                         |     |
|                   | If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?                              | yes |
| <b>115.68 (a)</b> | <b>Post-allegation protective custody</b>                                                                                                                                                                            |     |
|                   | Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?                                                                  | yes |
| <b>115.71 (a)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                             |     |
|                   | When the agency conducts its own investigations into allegations                                                                                                                                                     | yes |

|                   |                                                                                                                                                                                                                                                                 |     |
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|                   | of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)                   |     |
|                   | Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).) | yes |
| <b>115.71 (b)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                        |     |
|                   | Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?                                                                                                   | yes |
| <b>115.71 (c)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                        |     |
|                   | Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?                                                                                        | yes |
|                   | Do investigators interview alleged victims, suspected perpetrators, and witnesses?                                                                                                                                                                              | yes |
|                   | Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?                                                                                                                                                       | yes |
| <b>115.71 (d)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                        |     |
|                   | When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?            | yes |
| <b>115.71 (e)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                        |     |
|                   | Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff?                                                                            | yes |
|                   | Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?                                                  | yes |
| <b>115.71 (f)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                        |     |
|                   | Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?                                                                                                                              | yes |

|                   |                                                                                                                                                                                                                                                                                                      |     |
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|                   | Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?                                                                    | yes |
| <b>115.71 (g)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                                                             |     |
|                   | Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?                                                                               | yes |
| <b>115.71 (h)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                                                             |     |
|                   | Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?                                                                                                                                                                                                   | yes |
| <b>115.71 (i)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                                                             |     |
|                   | Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?                                                                                                                             | yes |
| <b>115.71 (j)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                                                             |     |
|                   | Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?                                                                                                                     | yes |
| <b>115.71 (l)</b> | <b>Criminal and administrative agency investigations</b>                                                                                                                                                                                                                                             |     |
|                   | When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).) | yes |
| <b>115.72 (a)</b> | <b>Evidentiary standard for administrative investigations</b>                                                                                                                                                                                                                                        |     |
|                   | Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?                                                                                                         | yes |
| <b>115.73 (a)</b> | <b>Reporting to inmates</b>                                                                                                                                                                                                                                                                          |     |
|                   | Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?                                            | yes |

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| <b>115.73 (b)</b> | <b>Reporting to inmates</b>                                                                                                                                                                                                                                                                                                                                                                                    |     |
|                   | If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)                                                                        | yes |
| <b>115.73 (c)</b> | <b>Reporting to inmates</b>                                                                                                                                                                                                                                                                                                                                                                                    |     |
|                   | Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit?                                                        | yes |
|                   | Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?                                                             | yes |
|                   | Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?      | yes |
|                   | Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility? | yes |
| <b>115.73 (d)</b> | <b>Reporting to inmates</b>                                                                                                                                                                                                                                                                                                                                                                                    |     |
|                   | Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?                                                                                                                              | yes |
|                   | Following an inmate's allegation that he or she has been sexually                                                                                                                                                                                                                                                                                                                                              | yes |

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|                   | abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?                                                                                                                                                  |     |
| <b>115.73 (e)</b> | <b>Reporting to inmates</b>                                                                                                                                                                                                                                                                                                                                       |     |
|                   | Does the agency document all such notifications or attempted notifications?                                                                                                                                                                                                                                                                                       | yes |
| <b>115.76 (a)</b> | <b>Disciplinary sanctions for staff</b>                                                                                                                                                                                                                                                                                                                           |     |
|                   | Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?                                                                                                                                                                                                                      | yes |
| <b>115.76 (b)</b> | <b>Disciplinary sanctions for staff</b>                                                                                                                                                                                                                                                                                                                           |     |
|                   | Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?                                                                                                                                                                                                                                                                  | yes |
| <b>115.76 (c)</b> | <b>Disciplinary sanctions for staff</b>                                                                                                                                                                                                                                                                                                                           |     |
|                   | Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories? | yes |
| <b>115.76 (d)</b> | <b>Disciplinary sanctions for staff</b>                                                                                                                                                                                                                                                                                                                           |     |
|                   | Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies(unless the activity was clearly not criminal)?                                                                                              | yes |
|                   | Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?                                                                                                                                           | yes |
| <b>115.77 (a)</b> | <b>Corrective action for contractors and volunteers</b>                                                                                                                                                                                                                                                                                                           |     |
|                   | Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?                                                                                                                                                                                                                                                                  | yes |
|                   | Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?                                                                                                                                                                                                                  | yes |

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|                   | Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?                                                                                                                                                                                                                      | yes |
| <b>115.77 (b)</b> | <b>Corrective action for contractors and volunteers</b>                                                                                                                                                                                                                                                                 |     |
|                   | In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?                                                                              | yes |
| <b>115.78 (a)</b> | <b>Disciplinary sanctions for inmates</b>                                                                                                                                                                                                                                                                               |     |
|                   | Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?                                                       | yes |
| <b>115.78 (b)</b> | <b>Disciplinary sanctions for inmates</b>                                                                                                                                                                                                                                                                               |     |
|                   | Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?                                                                                                      | yes |
| <b>115.78 (c)</b> | <b>Disciplinary sanctions for inmates</b>                                                                                                                                                                                                                                                                               |     |
|                   | When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?                                                                                                                | yes |
| <b>115.78 (d)</b> | <b>Disciplinary sanctions for inmates</b>                                                                                                                                                                                                                                                                               |     |
|                   | If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits? | yes |
| <b>115.78 (e)</b> | <b>Disciplinary sanctions for inmates</b>                                                                                                                                                                                                                                                                               |     |
|                   | Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact?                                                                                                                                                                           | yes |
| <b>115.78 (f)</b> | <b>Disciplinary sanctions for inmates</b>                                                                                                                                                                                                                                                                               |     |
|                   | For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish                                                             | yes |

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|                   | evidence sufficient to substantiate the allegation?                                                                                                                                                                                                                                                                                                                                           |     |
| <b>115.78 (g)</b> | <b>Disciplinary sanctions for inmates</b>                                                                                                                                                                                                                                                                                                                                                     |     |
|                   | If the agency prohibits all sexual activity between inmates, does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)                                                                                                                                      | yes |
| <b>115.81 (a)</b> | <b>Medical and mental health screenings; history of sexual abuse</b>                                                                                                                                                                                                                                                                                                                          |     |
|                   | If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison).              | yes |
| <b>115.81 (b)</b> | <b>Medical and mental health screenings; history of sexual abuse</b>                                                                                                                                                                                                                                                                                                                          |     |
|                   | If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)                            | yes |
| <b>115.81 (c)</b> | <b>Medical and mental health screenings; history of sexual abuse</b>                                                                                                                                                                                                                                                                                                                          |     |
|                   | If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a jail).                  | na  |
| <b>115.81 (d)</b> | <b>Medical and mental health screenings; history of sexual abuse</b>                                                                                                                                                                                                                                                                                                                          |     |
|                   | Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law? | yes |
| <b>115.81 (e)</b> | <b>Medical and mental health screenings; history of sexual abuse</b>                                                                                                                                                                                                                                                                                                                          |     |
|                   | Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior                                                                                                                                                                                                                                                                      | yes |

|                   |                                                                                                                                                                                                                                                                       |     |
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|                   | sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?                                                                                                                                                        |     |
| <b>115.82 (a)</b> | <b>Access to emergency medical and mental health services</b>                                                                                                                                                                                                         |     |
|                   | Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment? | yes |
| <b>115.82 (b)</b> | <b>Access to emergency medical and mental health services</b>                                                                                                                                                                                                         |     |
|                   | If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62?                                         | yes |
|                   | Do security staff first responders immediately notify the appropriate medical and mental health practitioners?                                                                                                                                                        | yes |
| <b>115.82 (c)</b> | <b>Access to emergency medical and mental health services</b>                                                                                                                                                                                                         |     |
|                   | Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?          | yes |
| <b>115.82 (d)</b> | <b>Access to emergency medical and mental health services</b>                                                                                                                                                                                                         |     |
|                   | Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?                                                                          | yes |
| <b>115.83 (a)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                    |     |
|                   | Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?                                                                | yes |
| <b>115.83 (b)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                    |     |
|                   | Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?      | yes |
| <b>115.83 (c)</b> | <b>Ongoing medical and mental health care for sexual abuse</b>                                                                                                                                                                                                        |     |

|                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |     |
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|                   | <b>victims and abusers</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |     |
|                   | Does the facility provide such victims with medical and mental health services consistent with the community level of care?                                                                                                                                                                                                                                                                                                                                                                                         | yes |
| <b>115.83 (d)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                   | Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)                                                                                                           | na  |
| <b>115.83 (e)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                   | If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.) | na  |
| <b>115.83 (f)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                   | Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?                                                                                                                                                                                                                                                                                                                                                                                   | yes |
| <b>115.83 (g)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                   | Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?                                                                                                                                                                                                                                                                                                                        | yes |
| <b>115.83 (h)</b> | <b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |
|                   | If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)                                                                                                                                                                                                                               | yes |

|                   |                                                                                                                                                                                                                                                                               |     |
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| <b>115.86 (a)</b> | <b>Sexual abuse incident reviews</b>                                                                                                                                                                                                                                          |     |
|                   | Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?                                         | yes |
| <b>115.86 (b)</b> | <b>Sexual abuse incident reviews</b>                                                                                                                                                                                                                                          |     |
|                   | Does such review ordinarily occur within 30 days of the conclusion of the investigation?                                                                                                                                                                                      | yes |
| <b>115.86 (c)</b> | <b>Sexual abuse incident reviews</b>                                                                                                                                                                                                                                          |     |
|                   | Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?                                                                                                                   | yes |
| <b>115.86 (d)</b> | <b>Sexual abuse incident reviews</b>                                                                                                                                                                                                                                          |     |
|                   | Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?                                                                                                   | yes |
|                   | Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility? | yes |
|                   | Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?                                                                                                                | yes |
|                   | Does the review team: Assess the adequacy of staffing levels in that area during different shifts?                                                                                                                                                                            | yes |
|                   | Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?                                                                                                                                                | yes |
|                   | Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?     | yes |
| <b>115.86 (e)</b> | <b>Sexual abuse incident reviews</b>                                                                                                                                                                                                                                          |     |
|                   | Does the facility implement the recommendations for improvement, or document its reasons for not doing so?                                                                                                                                                                    | yes |

|                   |                                                                                                                                                                                                                                                                                   |     |
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| <b>115.87 (a)</b> | <b>Data collection</b>                                                                                                                                                                                                                                                            |     |
|                   | Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?                                                                                                | yes |
| <b>115.87 (b)</b> | <b>Data collection</b>                                                                                                                                                                                                                                                            |     |
|                   | Does the agency aggregate the incident-based sexual abuse data at least annually?                                                                                                                                                                                                 | yes |
| <b>115.87 (c)</b> | <b>Data collection</b>                                                                                                                                                                                                                                                            |     |
|                   | Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?                                                                              | yes |
| <b>115.87 (d)</b> | <b>Data collection</b>                                                                                                                                                                                                                                                            |     |
|                   | Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?                                                                                              | yes |
| <b>115.87 (e)</b> | <b>Data collection</b>                                                                                                                                                                                                                                                            |     |
|                   | Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)                                                      | yes |
| <b>115.87 (f)</b> | <b>Data collection</b>                                                                                                                                                                                                                                                            |     |
|                   | Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)                                                                                              | yes |
| <b>115.88 (a)</b> | <b>Data review for corrective action</b>                                                                                                                                                                                                                                          |     |
|                   | Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?                    | yes |
|                   | Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis? | yes |
|                   | Does the agency review data collected and aggregated pursuant                                                                                                                                                                                                                     | yes |

|                    |                                                                                                                                                                                                                                                                                              |     |
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|                    | to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole? |     |
| <b>115.88 (b)</b>  | <b>Data review for corrective action</b>                                                                                                                                                                                                                                                     |     |
|                    | Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?                                                                            | yes |
| <b>115.88 (c)</b>  | <b>Data review for corrective action</b>                                                                                                                                                                                                                                                     |     |
|                    | Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?                                                                                                                     | yes |
| <b>115.88 (d)</b>  | <b>Data review for corrective action</b>                                                                                                                                                                                                                                                     |     |
|                    | Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?                                                                        | yes |
| <b>115.89 (a)</b>  | <b>Data storage, publication, and destruction</b>                                                                                                                                                                                                                                            |     |
|                    | Does the agency ensure that data collected pursuant to § 115.87 are securely retained?                                                                                                                                                                                                       | yes |
| <b>115.89 (b)</b>  | <b>Data storage, publication, and destruction</b>                                                                                                                                                                                                                                            |     |
|                    | Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?                      | yes |
| <b>115.89 (c)</b>  | <b>Data storage, publication, and destruction</b>                                                                                                                                                                                                                                            |     |
|                    | Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?                                                                                                                                                                               | yes |
| <b>115.89 (d)</b>  | <b>Data storage, publication, and destruction</b>                                                                                                                                                                                                                                            |     |
|                    | Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?                                                                                            | yes |
| <b>115.401 (a)</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                         |     |

|                    |                                                                                                                                                                                                                                                                                                                                              |     |
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|                    | During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)                        | yes |
| <b>115.401 (b)</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                         |     |
|                    | Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.)                                                                                                                                                                                                            | no  |
|                    | If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)      | na  |
|                    | If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.) | yes |
| <b>115.401 (h)</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                         |     |
|                    | Did the auditor have access to, and the ability to observe, all areas of the audited facility?                                                                                                                                                                                                                                               | yes |
| <b>115.401 (i)</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                         |     |
|                    | Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?                                                                                                                                                                                                             | yes |
| <b>115.401 (m)</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                         |     |
|                    | Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?                                                                                                                                                                                                                                              | yes |
| <b>115.401 (n)</b> | <b>Frequency and scope of audits</b>                                                                                                                                                                                                                                                                                                         |     |
|                    | Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?                                                                                                                                                                                | yes |
| <b>115.403</b>     | <b>Audit contents and findings</b>                                                                                                                                                                                                                                                                                                           |     |

| (f) |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|-----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|     | <div data-bbox="320 185 1273 555"> <p>The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)</p> </div> <div data-bbox="1302 185 1353 219">yes</div> |