

 <p style="text-align: center;"><b>DIVISION OF ADULT INSTITUTIONS</b></p> <p style="text-align: center;"><b>POLICY AND PROCEDURES</b></p>	<b>DAI Policy #:</b> 309.51.01	<b>Page</b> 1 of 9
	<b>Original Effective Date:</b> 11/01/91	<b>New Effective Date:</b> 03/16/20
	<b>Supersedes:</b> 309.51.01	<b>Dated:</b> 01/01/13
	<b>Administrator's Approval:</b> Makda Fessahaye, Administrator	
<b>Required Posting or Restricted:</b>		
<input checked="" type="checkbox"/> <b>Inmate</b> <input checked="" type="checkbox"/> <b>All Staff</b> <input type="checkbox"/> <b>Restricted</b>		
<b>Chapter:</b> 309 Resources for Inmates		
<b>Subject:</b> Legal Loans		
<b>Guidance Document</b>	<input checked="" type="checkbox"/> <b>Yes</b> <input type="checkbox"/> <b>No</b>	<b>Posting date</b> 03/09/20

**POLICY**

The Division of Adult Institutions (DAI) shall loan inmates without sufficient funds in their regular account up to \$100 annually to access the courts.

**REFERENCES**

28 U.S.C. § 1915 Proceedings in forma pauperis

§ 115.401 Frequency and scope of PREA audits

Wisconsin Statutes s. 301.32 – Property of Prisoners, Residents, and Probationers

Wisconsin Statutes s. 301.328 – Judgment for Litigation Loans to Prisoners and limitations on Litigation Loans to Prisoners

Wisconsin Statutes s. 809.30 – Rule (Appeals in s. 971.17 proceedings and in criminal, Ch. 48, 51, 55, 938, and 980 cases)

Wisconsin Statutes s. 809.32 – Appellate Claims (Rule-No merit reports)

Wisconsin Statutes s. 809.62 – Rule (Petition for review)

Wisconsin Statutes s. 814.29 – Security for costs, service and fees for indigents, also commonly referred to as the “Prison Reform Litigation Act” (PLRA).

Wisconsin Statutes s. 893.82(5) – Claims Against State Employees; Notice of Claim Limitations of Damages

Wisconsin Statutes s. 971.17 – Commitment of persons found not guilty by reason of mental disease or mental defect

Wisconsin Statutes s. 973.195 – Appellate Claims (Sentence Adjustment)

Wisconsin Statutes s. 974.06 – Post conviction Procedure

Wisconsin Statutes s. 974.07 – Appellate Claims (Motion for post conviction DNA testing for certain evidence)

Wisconsin Administrative Code s. DOC 309.04(3) – Inmate Mail

Wisconsin Administrative Code s. DOC 309.155 – Legal Services

Wisconsin Administrative Code s. DOC 309.49 – Disbursement of General Account Funds

Wisconsin Administrative Code s. DOC 309.51 – Funds for Legal Correspondence and Copying

Wisconsin Administrative Code Ch. DOC 310 – Complaint Procedures

DAI Policy 309.04.01 – Inmate Mail

DAI Policy 309.15.01 – Institution Law Library

DAI Policy 309.45.02 – Inmate Trust System Deductions

Executive Directive 72- Sexual Abuse and Sexual Harassment in Confinement

**DEFINITIONS, ACRONYMS AND FORMS**

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BOCM – Bureau of Offender Classification and Movement

Calendar Year- A calendar year is January 1 to December 31

CCE – Corrections Complaint Examiner

DAI – Division of Adult Institutions

DOC – Department of Corrections

DOC-184 – Disbursement Request

Data Storage Device – Instrument in various formats to facilitate transfer or storage of computer generated documents and media. Often a portable/removable device commonly known as a USB drive, flash drive, jump drive, thumb drive, USB key, USB stick, memory stick or USB portable hard drive. Other formats may include read only computer disks (CD, CD-ROM, DVD-ROM) and ‘floppy’ disks.

DOC-1290 – Loan Application and Repayment Agreement

DOC-1292 – Administrative Review of Initial Classification (IC) or Re-Classification (RC) Decision

ICE – Institution Complaint Examiner

ICRS – Inmate Complaint Review System

Inmate Monies – All funds, including but not limited to awards, allowances, compensation, institution inmate payroll, gifts, hobby sales, or from any other source that comes under the control of a Wisconsin facility disbursed by the facility for the benefit of an inmate.

Inmate Personal Legal Documents – Documents created by the court, the inmate, or opposing counsel that directly relate to the case, plus necessary exhibits, which may or may not include documents in the social services file, education file, etc. Also, these are legal documents that pertain to an inmate’s own case, rather than a different inmate’s case.

Legal Loan – Lending of funds or supplies by the DOC to an inmate for the purpose of litigation expenses, with the expectation and requirement that the inmate repay the loan or subsidy.

PACER – Public Access to Court Electronic Records

PREA – Prison Rape Elimination Act

TRIP -Tax Refund Intercept Program

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WCCA – Wisconsin Circuit Court Access

WICS– Wisconsin Integrated Corrections System

## **PROCEDURE**

### **I. General**

- A. Legal loan can be used for correspondence to courts, Attorney General for Notice of Claims, attorneys, parties' in litigation, inmate complaint review system under ch. 310 or the parole commission related to themselves.
- B. Legal loans shall be provided to an inmate without sufficient funds in his/her regular account up to \$100 annually to purchase:
  1. Supplies to include paper, writing utensil and data storage device.
  2. Photocopies.
  3. Postage for correspondence.
- C. Funds in an inmate's regular account at the time of purchase shall be utilized prior to the loan being charged.
- D. Inmates who are represented by an attorney are not eligible for legal loan funds in that case except in order to respond to a no-merit brief in a criminal appeal.
- E. Legal loan shall not be used to pay for legal services, public records requests, federal or state filing fees or personal use.
- F. Legal loan may be used for copies from the inmate's own social service file if the documents are related to the case.
- G. Legal loan may be used to send confidential information or correspondence to the institution's identified PREA auditor within 6 weeks' notice of an audit.
- H. Inmate monies shall be applied as outlined in DAI Policy 309.45.02 for repayment of unpaid legal loans.
- I. The facility shall charge the amount loaned under this subsection to the inmate's general account for future repayment.
- J. Inmates shall only use the legal loan supplies provided to him/her for their own legal work.
- K. Inmates shall reapply for each legal loan at the beginning of each calendar year and also if transferred to another facility.

### **II. Loan Approval and Denial**

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- A. Inmates applying for a legal loan shall fully complete and submit a DOC-1290 for each matter a loan is requested.
- B. Consideration for legal loan eligibility may include but not be limited to the following factors:
1. The nature of pending litigation and current legal needs identified by the court, (i.e. a Schedule Order or court imposed deadlines).
  2. The failure to provide realistic estimates of the cost of the documented current legal needs.
  3. The failure to provide requested supporting documentation of a current legal need.
  4. The refusal to allow facility staff to open an envelope in their presence to verify the contents are entirely legal mail shall be denied access to legal loan funds for that mailing.
  5. The failure to use the legal supplies in the manner pursuant to the legal loan application.
  6. If the Security Director has reason to believe the mail contains contraband for mail addressed to an ICE or CCE, the inmate shall allow facility staff to open the envelope in the inmate's presence or the inmate shall be denied access to legal loan funds for that mailing.
- C. Inmates may not exceed an open legal loan amount of \$100 annually without Warden's approval and demonstrates an extraordinary need in one of the following areas:
1. The inmate is defending himself or herself against a pending criminal charge and is unrepresented by counsel in that case. WCCA/PACER may be utilized to verify assigned counsel.
  2. The inmate has challenged or seeks to challenge his or her underlying criminal conviction and/or sentence in a first appeal as of right under Wisconsin Statutes s. 809.30 and is unrepresented by counsel in that appeal.
  3. The inmate seeks to file a petition for review in the Supreme Court, pursuant to Wisconsin Statutes s. 809.62, of an adverse decision in the Court of Appeals on a case pertaining to proceedings under Wisconsin Statutes s. 971.17, Chapters 48, 51, 55, 938, or 980, or a criminal conviction or sentence, and is unrepresented by counsel.
  4. The inmate needs to respond to a no-merit report pursuant to Wisconsin Statutes s. 809.32(1)(e) or to respond to a no-merit petition for review pursuant to Wisconsin Statutes s. 809.32(4)(c).
  5. The inmate seeks to file his or her first post-conviction motion under Wisconsin Statutes s. 974.06 in a given case and is unrepresented by counsel in that proceeding.
  6. The inmate has filed or seeks to file a motion under Wisconsin Statutes s. 974.07 for post-conviction DNA testing and is unrepresented by counsel in that proceeding.

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7. The inmate has filed or seeks to file a petition for sentence adjustment under Wisconsin Statutes s. 973.195 and is unrepresented by counsel in that proceeding.
8. The inmate seeks to file a motion for sentence credit.
9. The inmate is defending himself or herself against a pending termination of parental rights case and is unrepresented by counsel in that case.
10. The inmate is representing himself or herself in a first appeal of a termination of parental rights and is unrepresented by counsel in that appeal.
11. The inmate is named as an active defendant or respondent in a case commenced by someone other than the inmate, and the inmate's rights of a significant constitutional magnitude are at stake.
12. The inmate has requested a certified copy of his/her trust account statement per 28 U.S.C. § 1915(a) (2) and Wis. Stat. § 814.29(1m)(h).
13. The inmate seeks to access the ICRS under Wisconsin Administrative Code Ch. DOC 310.
14. The inmate seeks to submit a DOC-1292 to the BOCM Director.
15. The inmate seeks to submit documents or correspondence to the Parole Commission.
16. The inmate is filing his/her first federal habeas case for a state court conviction.
17. Court order requiring submission of specified documents.
18. The inmate is mailing a "Notice of Claim" to the Attorney General.

D. If a loan is denied, staff shall inform the inmate of the reason for the decision in writing.

### **III. Appropriate Use of Legal Loan Funds for Supplies, Postage, Printouts and Photocopies**

- A. Legal supplies issued to inmates under a loan agreement shall be charged to their account.
- B. Supplies shall be limited to 8 ½" X 11" paper, 9" X 12" or 10" X 13" manila envelopes, 4 1/8" X 9 ½" (#10) letter envelopes, carbon paper, pens and data storage device.
- C. Postage covered under legal loans includes first class mail addressed to courts, sheriff departments, clerk of courts, authorized attorneys, parties in litigation, the ICRS, the parole commission and DOC-1292 to the BOCM Director.
  1. Inmates may use legal loan funds for postage by attaching a DOC-184 to the unsealed envelope. The DOC-184 shall contain the complete mailing address and case and/or complaint number, if applicable.
  2. The envelope may be sealed only if it is clearly addressed to an ICE, a CCE, an attorney, the Attorney General or Assistant Attorney General of Wisconsin, or the clerk or judge of any state or federal court.

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3. Certified mail is only allowed for "Notice of Claims" to the Attorney General's office.

D. Legal loan funds may be used to copy inmate personal legal documents.

E. Inmates shall not use legal loan funds for copies of documents in their Health Care Records, except when an inmate can demonstrate a clear need for the records for the litigation for which the loan has been approved.

F. Photocopying of legal research materials is prohibited under this procedure.

**IV. Facilities Shall:**

A. Witness and process the DOC-1290.

B. Establish and maintain a file of the processed DOC-1290s.

C. Enter legal loan transactions in WICS and apply inmate funds toward repayment of legal loans in accordance with DAI Policy 309.45.02.

D. Track amount of legal loans annually.

E. Pursue repayment of legal loan balances pursuant to Wisconsin Statutes s. 301.328.

F. Pursue repayment of legal loan balances via TRIP.

**V. Inmates Shall:**

A. Complete and submit a DOC-1290 for each legal loan each calendar year.

B. Provide any additional documentation requested by the Warden/designee.

C. Keep track of the amount remaining of their legal loan in anticipation of reaching the \$100 cap, inform the court and opposing counsel, if necessary.

Administrator's Approval: \_\_\_\_\_



Date Signed: 02/28/20

Μάρσαλ Γουδραϊνιτς, ΑΔΙΤΗΡΗΣΙΑΙΟΤ

**DIVISION OF ADULT INSTITUTIONS FACILITY IMPLEMENTATION PROCEDURES**

<b>Facility:</b> Chippewa Valley Correctional Treatment Facility		
<b>Original Effective Date:</b> 06/19/09	<b>DAI Policy Number:</b> 309.51.01	<b>Page</b> 7 of 9
<b>New Effective Date:</b> 12/11/20	<b>Supersedes Number:</b> 309.51.01	<b>Dated:</b> 02/01/13
<b>Chapter:</b> 309 Resources for Inmates		
<b>Subject:</b> Legal Loans		
<b>Will Implement</b> <input type="checkbox"/> As written <input checked="" type="checkbox"/> With below procedures for facility implementation		
<b>Warden's/Center Superintendent's Approval:</b> Timothy A. Nelson, Warden		

**REFERENCES**

DAI Policy 309.56.01 – Technology

**DEFINITIONS, ACRONYMS, AND FORMS**

DOC-761 – Interview/Information Request

DOC-2386 – Legal Supplies Requisition

CMSD – Correctional Management Services Director

CVCTF – Chippewa Valley Correctional Treatment Facility

**FACILITY PROCEDURE**

- I. Legal loan application
  - A. Inmates may apply for a legal loan by submitting a DOC-761 to the Business Office. The request shall include:
    1. Purpose/nature of pending litigation.
    2. Current legal needs.
    3. Estimated cost of anticipated legal needs.
    4. Court case information.
  - B. Approvals and denials shall be communicated to requesting inmate.
  - C. Legal Loan List
    1. A legal loan list shall be maintained.
    2. CVCTF staff providing approved legal loan services shall review the list to confirm an inmate's legal loan eligibility.
  
- II. Legal loan eligible expenses
  - A. Legal Supplies:
    1. Inmates may request legal supplies, once per month.
    2. Supply orders shall be delivered to inmates.
    3. Data Storage Devices purchases shall be processed in accordance with DAI 309.56.01.
  
  - B. Legal Loan Photocopies:
 

Inmates requesting photocopies shall submit the material to be copied and a completed DOC-184.
  
  - C. Legal Postage:
 

Inmates requesting postage shall submit the correspondence and a completed DOC-184.

**DIVISION OF ADULT INSTITUTIONS FACILITY IMPLEMENTATION PROCEDURES**

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**RESPONSIBILITY**

- I. Business Office Staff
  - A. Receive, review and forward requests for legal loans to the Financial Program Supervisor or designee for approval or denial.
  - B. Receive and forward to the CMSD requests for legal loan extensions.
  - C. Forward approved DOC-1290 to requesting inmate and maintain file of signed DOC-1290 forms.
  - D. Receive and review all DOC-184's for legal loan postage. Forward to other departments for processing.
  - E. Post DOC-184's to inmate account in WICS.
  - F. Maintain the legal loan list in the CVCTF group folder.
  - G. Forward a DOC-2386 to all inmates on the active legal loan list upon their initial approval and on a monthly basis thereafter.
  - H. Receive and process the DOC-2386 for legal supplies.
  - I. Deliver legal supplies and obtain inmate signature.
  - J. Maintain required legal loan supplies.
  - K. File legal loan paperwork as needed.
  
- II. Records Office
 

Receive and notarize the DOC-1290 as required.
  
- III. Librarian
  - A. Receive DOC-184 requests for legal loan photocopies.
  - B. Review legal loan list in the CVCTF group folder for inmate eligibility.
  - C. Review material to be photocopied to ensure it meets the criteria for legal loan photocopies.
  - D. Make requested copies. Enter number of copies made, monetary amount due and date before signing the DOC-184.
    1. Give yellow copy to the requesting inmate.
    2. Forward the white copy to the Business Office.
  
- IV. Mailroom Staff:
  - A. Receive DOC-184 requests for legal loan postage.
  - B. Apply postage to envelope and mail legal correspondence.
  - C. Sign, date and enter monetary amount due on the DOC-184 for legal loan postage.
  - D. Forward DOC-184 to the Business Office.
  
- V. Financial Program Supervisor/Designee
  - A. Receive, review and approve or deny requests for legal loans.
  - B. Forward approval or denial of legal loan to the Business Office.
  - C. Communicate approvals or denials to inmates requesting legal loans.
  
- VI. Correctional Management Services Director
  - A. Receive, review and approve or deny requests for legal loan extensions.
  - B. Forward approval or denial to the Financial Program Supervisor/Designee.



**DIVISION OF ADULT INSTITUTIONS FACILITY IMPLEMENTATION PROCEDURES**

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VII. Inmate

- A. Submit DOC-761 requesting legal loan or legal loan extension to the Business Office.
- B. Sign and date DOC-1290 and return to the Business Office.
- C. Submit DOC-2386 requesting legal loan supplies to the Business Office.
- D. Submit DOC-184 requesting legal photocopies to Library or legal postage to the Business Office.
- E. Provide required documents, signatures or information as requested regarding legal loan requests.