

 <p style="text-align: center;">DIVISION OF ADULT INSTITUTIONS</p> <p style="text-align: center;">POLICY AND PROCEDURES</p>	DAI Policy #: 500.70.30	Page 1 of 5
	Original Effective Date: 11/01/15	New Effective Date: 01/25/21
	Supersedes: 500.70.30	Dated: 11/01/15
	Administrator's Approval: Makda Fessahaye, Administrator	
Required Posting or Restricted:		
<input type="checkbox"/> Patient <input checked="" type="checkbox"/> All Staff <input type="checkbox"/> Restricted		
Chapter: 500 Health Services		
Subject: Behavior Management Plans		

POLICY

The Division of Adult Institutions shall establish a process for the development of Behavior Management Plans for patients with at-risk behaviors.

REFERENCES

Wisconsin Administrative Code Ch. DOC 303 – Discipline
Wisconsin Administrative Code Ch. DOC 306 – Security
Wisconsin Administrative Code Ch. DOC 308 – Administrative Confinement
Wisconsin Administrative Code Ch. DOC 311 – Observation Status
Wisconsin Administrative Code Ch. DOC 314 – Mental Health Treatment for Inmates
Wisconsin Statutes s. 302.85 – Medical Care of Prisoners
DAI Policy 306.05.01 – Protective Confinement
DAI Policy 308.00.01 – Administrative Confinement
DAI Policy 500.00.05 – Medical Observation and Monitoring
DAI Policy 500.70.06 – Consent for Mental Health Services
DAI Policy 500.70.14 – Mental Health Multidisciplinary Teams
DAI Policy 500.70.17 – Mental Health Treatment – Special Units
DAI Policy 500.70.24 – Clinical Observation

DEFINITIONS, ACRONYMS AND FORMS

Administrative Confinement – The segregated confinement of an inmate whose continued presence in general population poses a serious threat to life, self, staff, or other patients, or to the secure or orderly operation of the facility.

Behavior Management Plan – A non-punitive and multidisciplinary written plan to address patient behaviors that threaten the safety of the patient or others, impair the safe and secure operation of the facility, or result in disciplinary action. The plan shall identify target behaviors, the appropriate staff responses to those behaviors, and guidance to the patient regarding more constructive behaviors.

Clinical Observation – Involuntary or voluntary non-punitive status used for the temporary confinement of a patient to ensure the safety of the patient or the safety of others.

Controlled Separation – Restrictive status for an inmate who exhibits disruptive, destructive, or out of control behavior.

DAI Policy #: 500.70.30	New Effective Date: 01/25/21	Page 2 of 5
Chapter: 500 Health Services		
Subject: Behavior Management Plans		

Disciplinary Separation – Punitive status of an inmate for violation of Wisconsin Administrative Code Ch. 303, with no extension of the mandatory release/extended supervision date.

DOC – Department of Corrections

DOC-30 – Review of Offender in Program Segregation, Disciplinary Separation, or Administrative Confinement

DOC-30 Instructions – Instructions for Completing DOC-30

HSU Staff – Employees classified as HSU Manager, Psychiatrist, Physician, Physician Assistant, Pharmacist, Dentist, Nurse Practitioner, Optometrist, Registered Nurse, Licensed Practical Nurse, Physical Therapist, Radiologic Technician, or any other clinical classification that is directly supervised by Health Services.

Mental Health Codes – Designation made by PSU staff in WICS that identifies patients who are not on the mental health caseload (MH-0), on the mental health caseload but not with a serious mental illness (MH-1), with serious mental illness (MH-2a and MH-2b) or with an intellectual disability (ID).

Mental Health Multidisciplinary Team – Staff who regularly meet to review the status of patients per DAI Policy 500.70.14.

Mental Health Treatment Plan – A series of written statements specifying a patient's particular course of care, treatment or monitoring and the roles of mental health care staff in carrying it out.

Protective Confinement – Separation from the general population necessary to ensure the safety and welfare of that patient.

PSU – Psychological Services Unit

PSU Staff – Employees classified as Psychologist Supervisor, Psychologist-Licensed, Psychological Associate, Crisis Intervention Worker, Psychological Services Assistant, Clinical Social Worker, or any other clinical classification that is directly supervised by Psychological Services.

TLU – Temporary Lockup

DAI Policy #: 500.70.30	New Effective Date: 01/25/21	Page 3 of 5
Chapter: 500 Health Services		
Subject: Behavior Management Plans		

PROCEDURE

I. Behavior Management Plan Development

- A. Behavior Management Plans may be developed by the Restrictive Housing Review Committee, Mental Health Multidisciplinary Team, housing unit team or an ad-hoc multidisciplinary team organized for a specific situation or patient. If an ad-hoc team is utilized, it shall include, at minimum, PSU, Security and HSU staff.
- B. Patients shall be offered the opportunity to provide input to their own Behavior Management Plan.
- C. Behavior Management Plans shall focus on behaviors that place the patient's safety or the safety of others at risk, interfere with the safe and secure operation of the facility or impede the patient's placement in general population.
- D. Behavior Management Plans shall be documented in the health care record and list the following:
 1. Target behaviors.
 2. Goals.
 3. Interventions.
 4. Patient input, including suggestions and objections.
- E. Behavior Management Plans shall utilize positive incentives and positive reinforcement strategies as much as possible to change the target behaviors.
- F. Restrictions and conditions shall be in place as long as needed to keep the patient and others safe. When behavior has improved for a sufficient amount of time, the team responsible for the Behavior Management Plan shall reduce restrictions accordingly.
- G. Staff shall provide the patient with a copy of the Behavior Management Plan (and any subsequent modified plans) when it is safe to do so. If a paper copy is withheld for safety reasons, staff shall verbally review the plan with the patient and document such review.
- H. Behavior Management Plans shall contain the minimum necessary protected health information. They shall not list specific health care diagnoses or medications.
- I. Any patient with a MH-2A, MH-2B or ID mental health code who receives a disciplinary separation disposition of 60 days or more shall have a Behavior Management Plan developed within 10 days of disposition.
- J. Any patient with a MH-2A, MH-2B or ID mental health code who is placed in Administrative Confinement shall have a Behavior Management Plan developed within 10 days of placement.

DAI Policy #: 500.70.30	New Effective Date: 01/25/21	Page 4 of 5
Chapter: 500 Health Services		
Subject: Behavior Management Plans		

II. Mental Health Treatment Plan

When needed, PSU staff may write a separate Mental Health Treatment Plan as outlined in DAI Policy 500.70.17 using the principles of consent outlined in DAI Policy 500.70.06.

III. Review of Behavior Management Plans

- A. The multidisciplinary team responsible for the Behavior Management Plan shall review the plan at least once per month and more often as indicated. The plan may be modified or discontinued based upon patient behavior.
- B. Behavior Management Plans do not replace or supersede disciplinary sanctions. However, the multidisciplinary team, in collaboration with the Security Director, may recommend modifications of disciplinary sanctions to the Warden if this would serve as a reinforcement of positive behavior or be in the best interest of the patient.
- C. Behavior Management Plans require a collaborative effort among staff from various disciplines.
1. If the multidisciplinary team needs assistance to resolve differing perspectives about the best approach to a patient's care, the Warden shall be consulted.
 2. The Warden may consult with appropriate DAI clinical staff including the Psychology Director, Medical Director, and/or BHS Director.
- D. If a patient on a Behavior Management Plan is scheduled for transfer, the Security Director/designee shall send the receiving facility a copy of the Behavior Management Plan in advance of the transfer for informational purposes. The receiving facility may modify the Behavior Management Plan as needed to correspond to differing physical plant and resources.

Bureau of Health Services: Michael Rivers **Date Signed:** 1/22/21
Michael Rivers, Director of Healthcare Administration

POSITION VACANT **Date Signed:** _____
Dr. Paul Bekx, MD, Medical Director

Kevin Kallas **Date Signed:** 1/12/21
Dr. Kevin Kallas, Mental Health Director

Mary Muse **Date Signed:** 1/22/21
Mary Muse, Nursing Director

Administrator's Approval: [Signature] **Date Signed:** 01/19/21
Makda Fessahaye, Administrator

DIVISION OF ADULT INSTITUTIONS FACILITY IMPLEMENTATION PROCEDURES

Facility: Name		
Original Effective Date:	DAI Policy Number: 500.70.30	Page 5 of 5
New Effective Date: 00/00/00	Supersedes Number:	Dated:
Chapter: 500 Health Services		
Subject: Behavior Management Plans		
Will Implement <input type="checkbox"/> As written <input type="checkbox"/> With below procedures for facility implementation		
Warden's/Center Superintendent's Approval:		

REFERENCES

DEFINITIONS, ACRONYMS AND FORMS

FACILITY PROCEDURE

- I.
 - A.
 - 1.
 - a.
 - B.
 - C.
- II.
 - A.
 - B.
 - C.